



Renewable & Appropriate Energy Laboratory

**RAEL**

# **The Science and Policy of Sustainable Energy**

**Daniel Kammen**

Energy and Resources Group, Goldman School of Public Policy  
& Department of Nuclear Engineering  
Director, Renewable and Appropriate Energy Laboratory  
University of California, Berkeley

Science Envoy for the U. S. State Department

Economic Challenges for Energy, Madrid, Spain - February 15, 2017

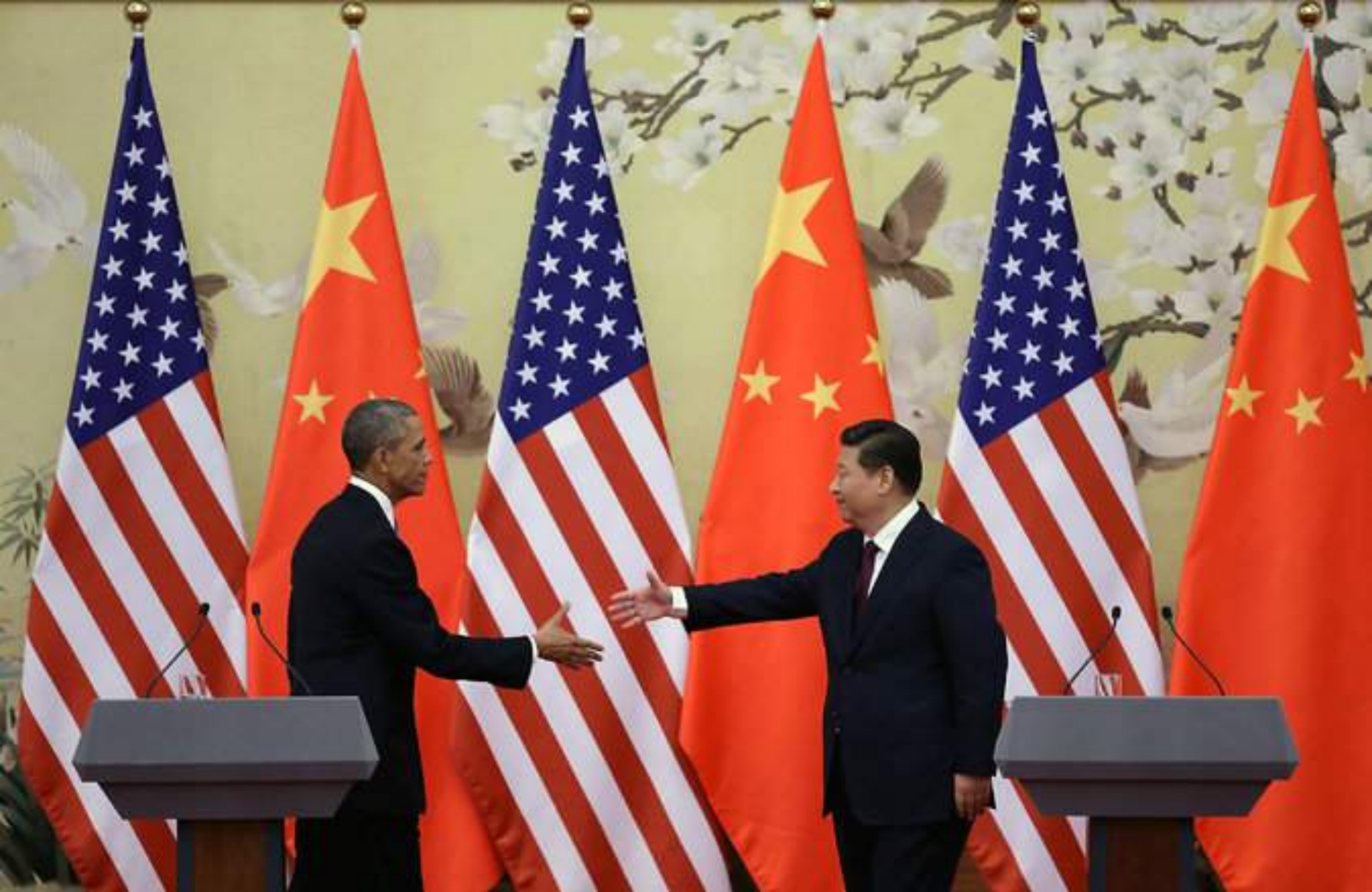
## Takeaway message:

Scientific and technical transformations are critical to enabling a sustainable energy system, but it is the energy-information nexus that provides the 'killer app' for innovation and change

**This is true on-grid *and* off-grid in developing nations**

# **A revolution in climate politics**

## **U.S.- China Joint Announcement on Climate Change, 2014**







Paris, France

PRESIDENT

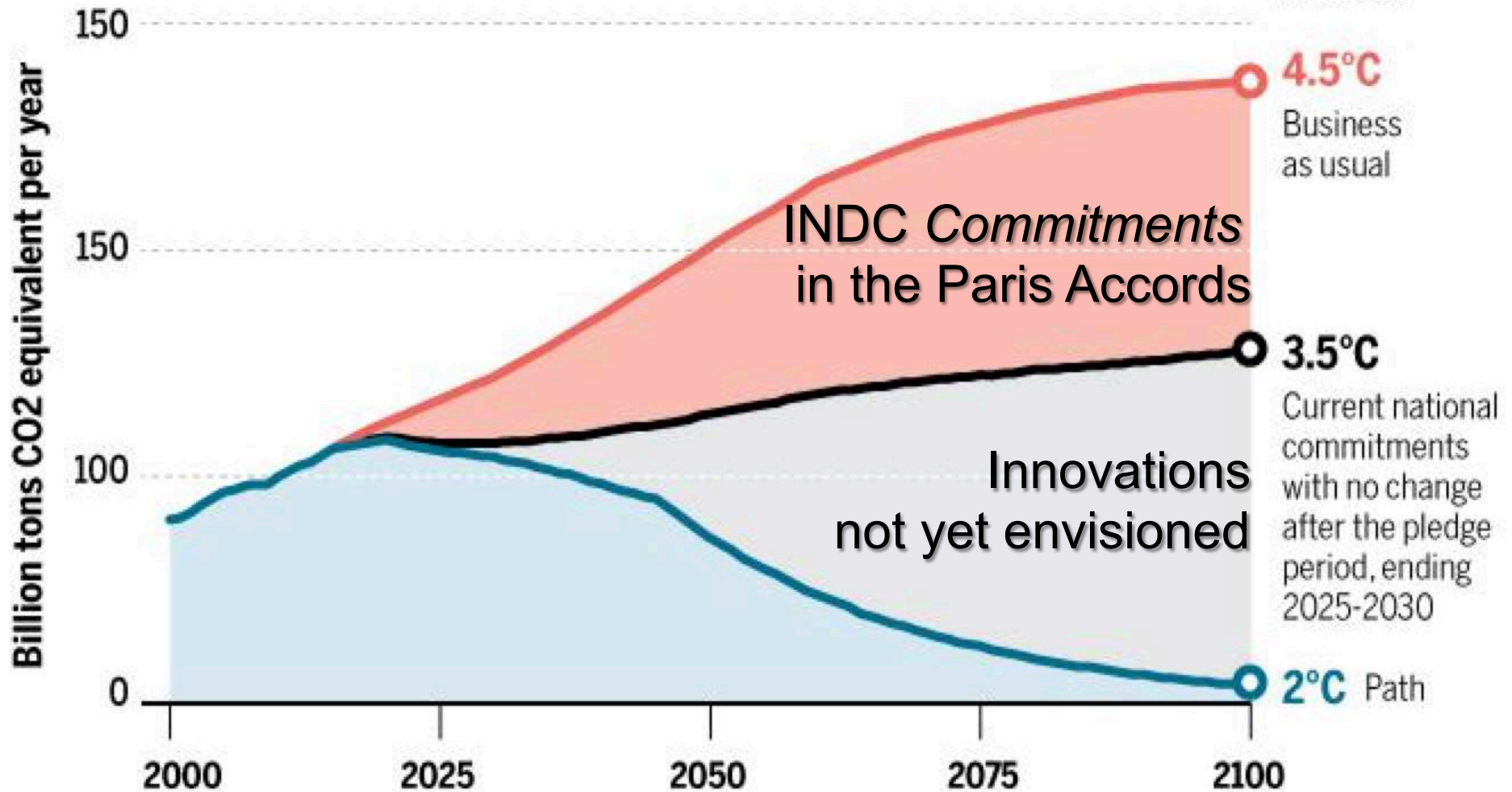
SECRET



# How much warming by 2100?

Global Emissions of Greenhouse Gases

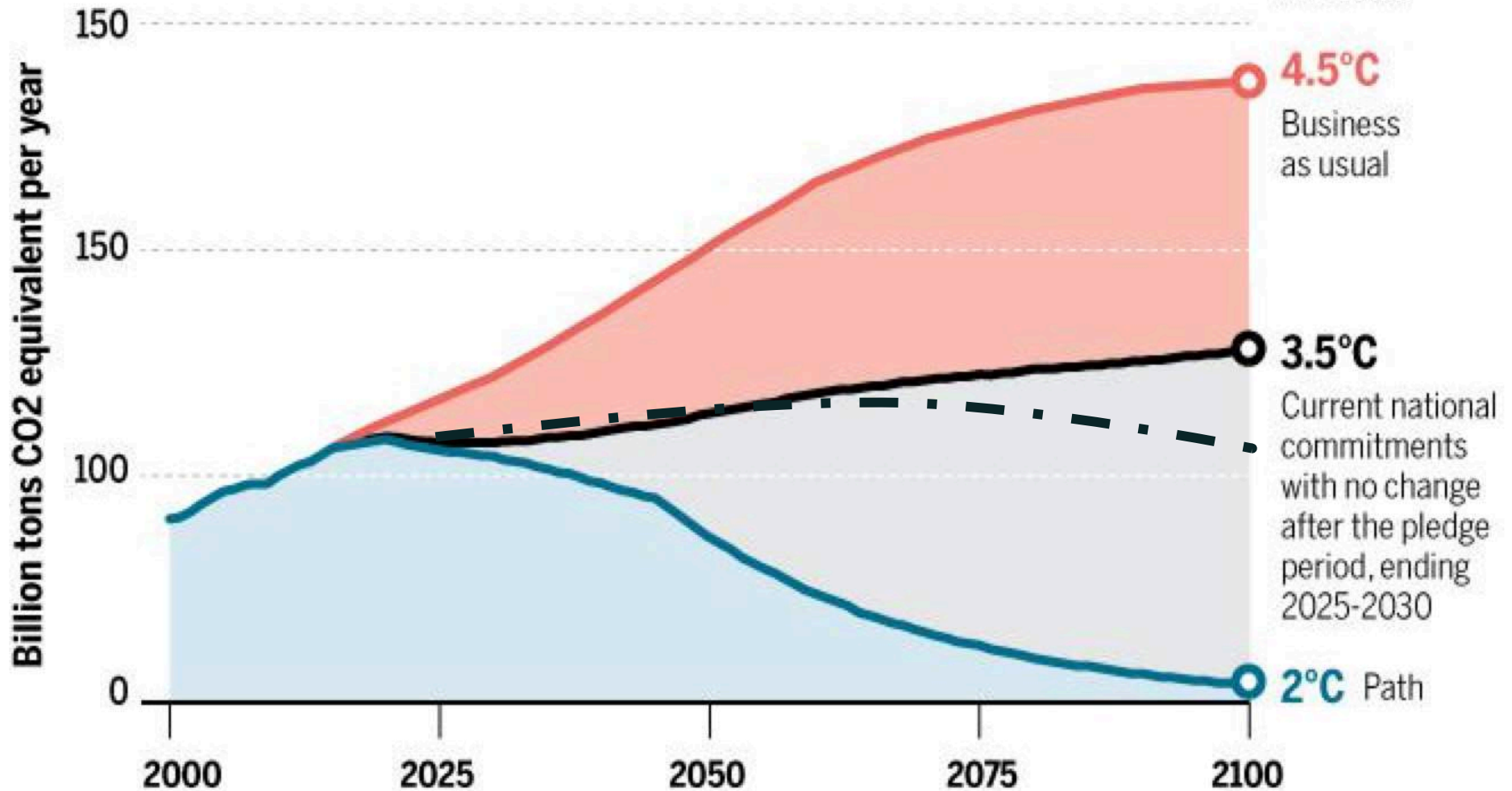
Estimated  
temperature  
in 2100:



# How much warming by 2100?

## Global Emissions of Greenhouse Gases

Estimated  
temperature  
in 2100:



The Journal of Alternative Facts

## We Have All the Best Climates, Really, They're Great

Iwas A. Scientistonce \*

\* and now I have all my research approved by a public relations office

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### Abstract

The research presented in this paper is really the best research that you will ever see. We have methods, the best methods, and we used them to study climate. As you may already know, the Earth, led by America, has all the best climates. In this paper we refute prior work by out-of-touch scientists who insist that the climate is changing – why would it change, when it's so great already? It is not getting warmer. In fact, our findings show that you were cold at least one day last year. Our (really fantastic) data also reveals that America has all the best CO2 levels, really great levels. In our discussion, we reveal that there is no reason to believe a bunch of scientists who spent all their time learning and studying “facts” instead of being out in the real world making jobs. Our alternative facts definitively prove that scientists are losers. Finally, we had peer reviews, by all the best people, our people, because politicians know the most about science, the very best things about science.

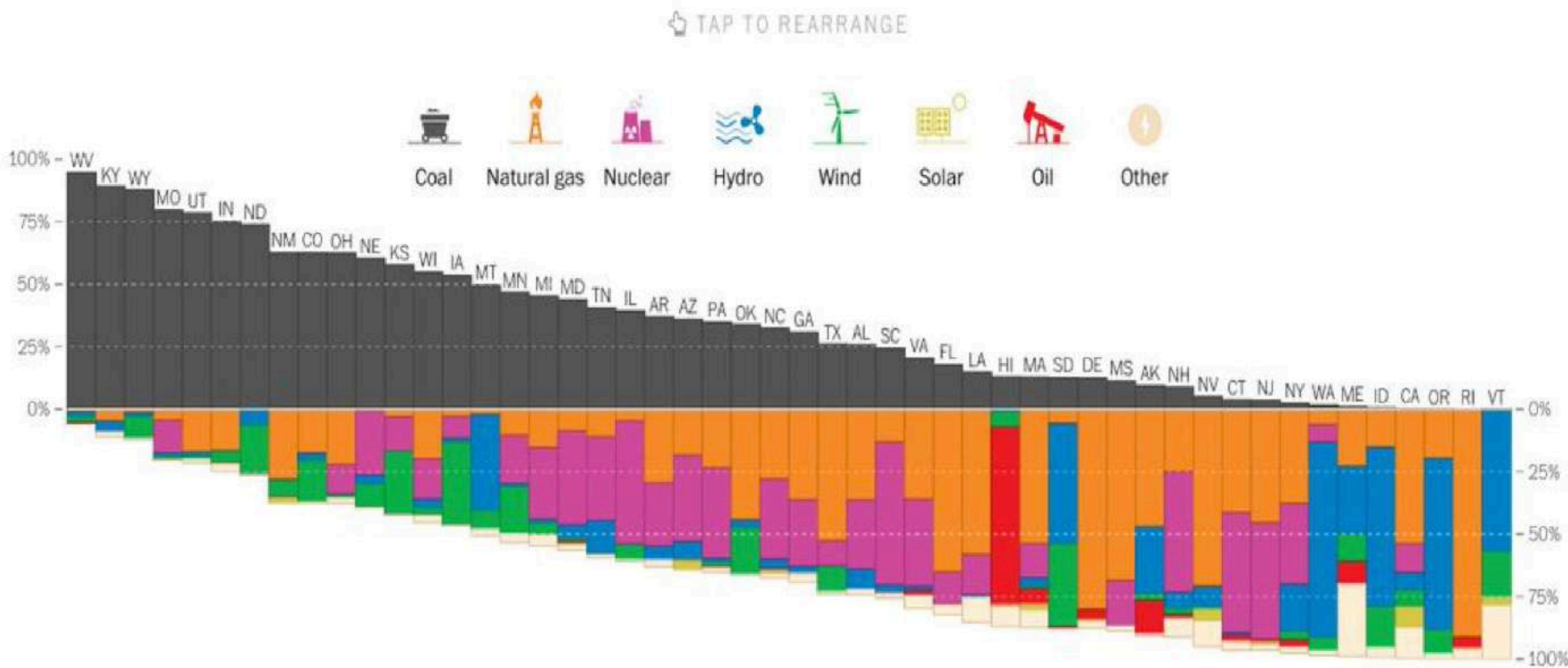
Keywords: climate, “data”, “facts”, #makeclimategreatagain, “science”

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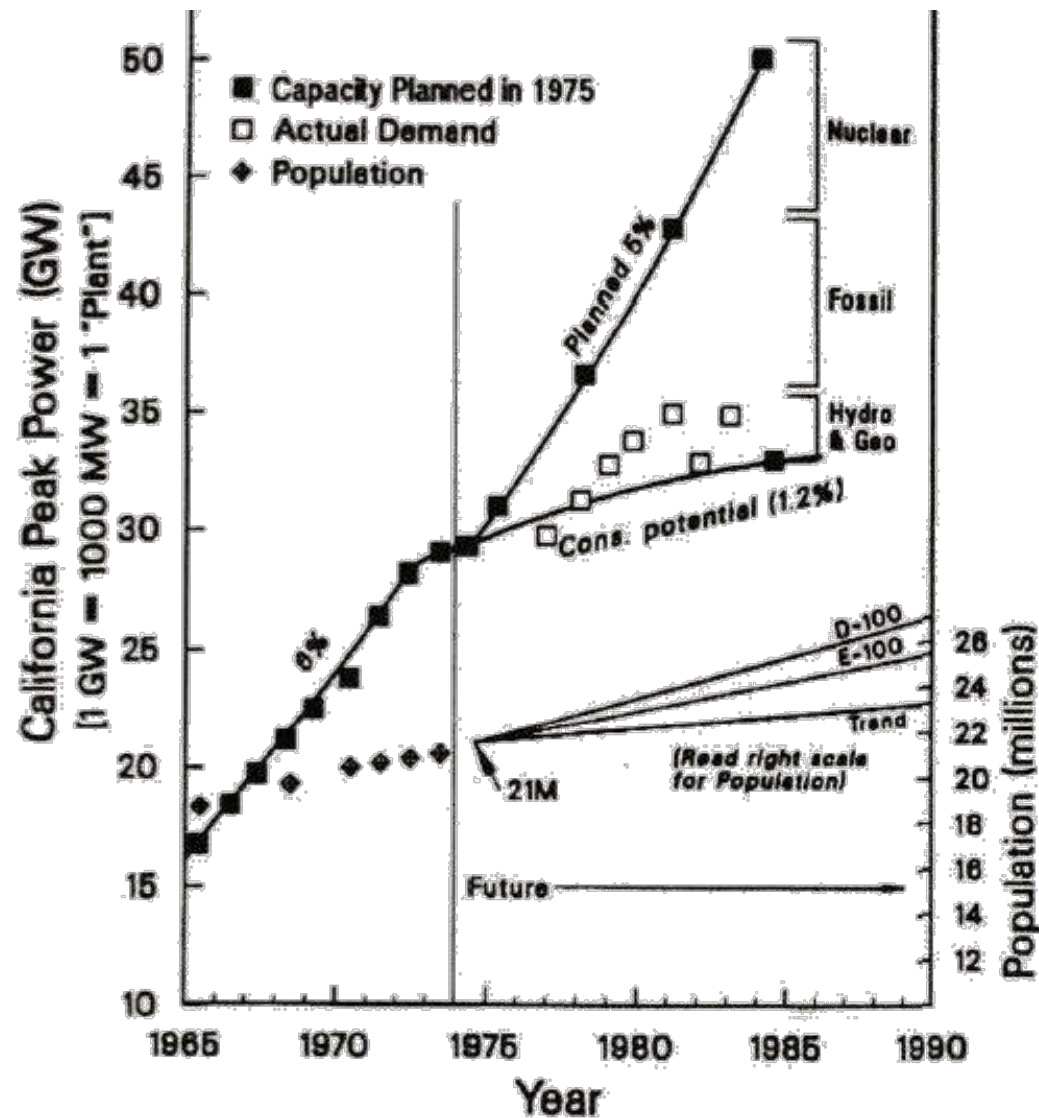


# Electricity generation by power source, January to May 2015

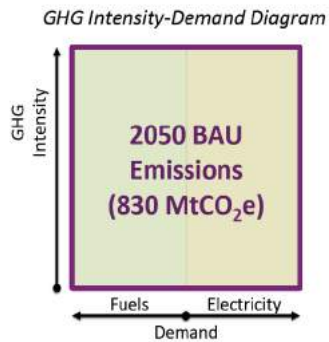
Local electric utilities take advantage of the power sources most accessible to them: coal mines, dammed rivers, new supplies of natural gas or nuclear plants to generate the bulk of the nation's electricity. This shows the source of electricity generation in each state in 2015.



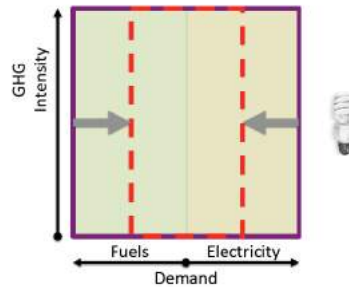
## CA Peak Power: Testimony by Goldstein and Rosenfeld (Dec. 1974)



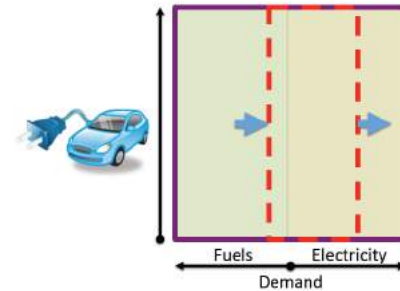
## Four Actions to Reduce Emissions



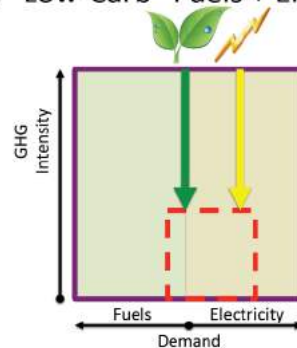
### 1. Efficiency



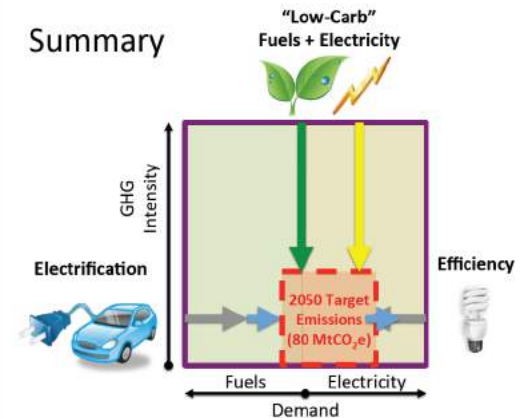
### 2. Electrification



### 3. "Low-Carb" Fuels + Electricity



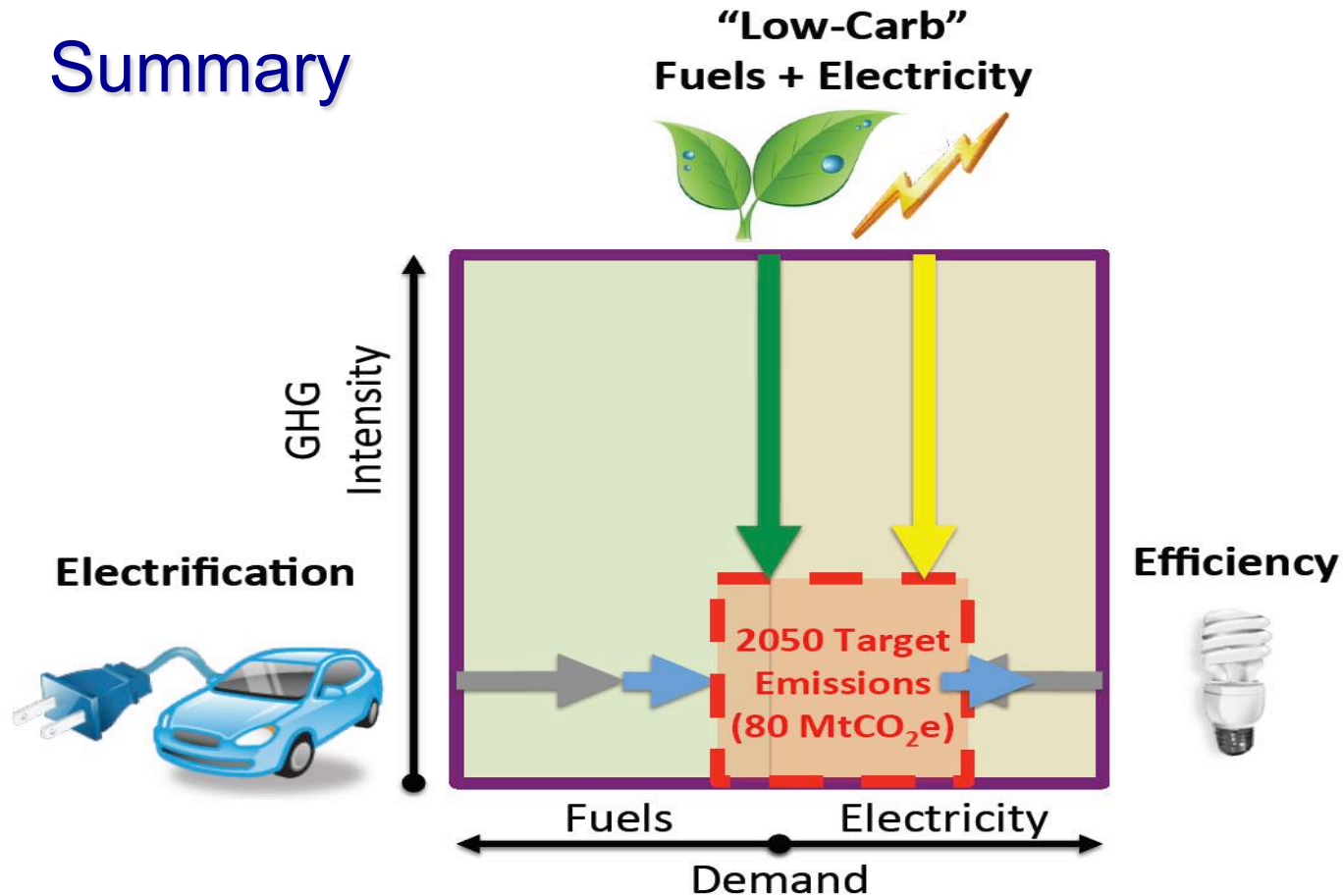
## Summary



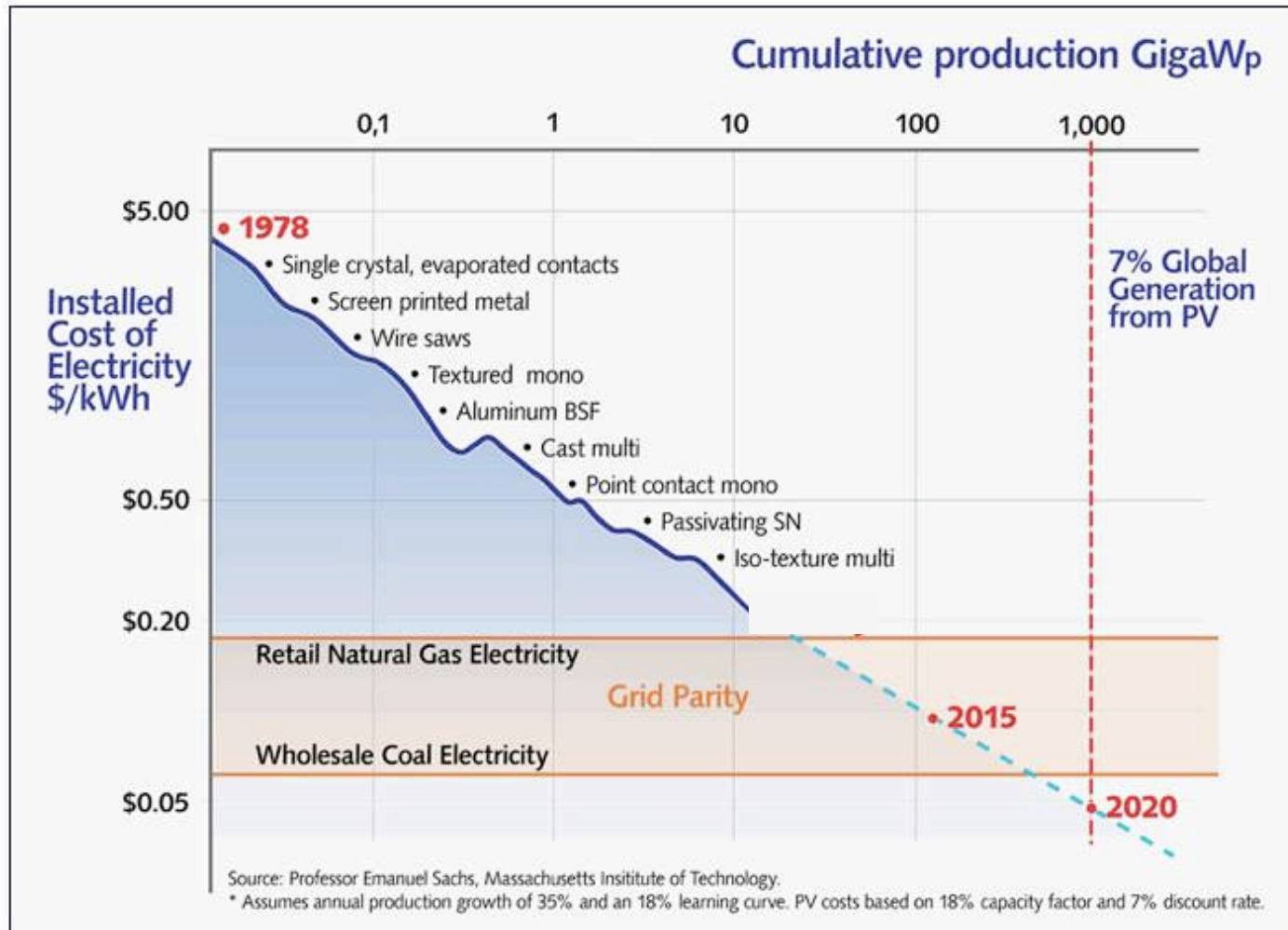
<http://rael.berkeley.edu>



# Summary



## Solar cost decreases 10% per year



# June 2, 2016: Dubai Electricity and Water Authority (DEWA)

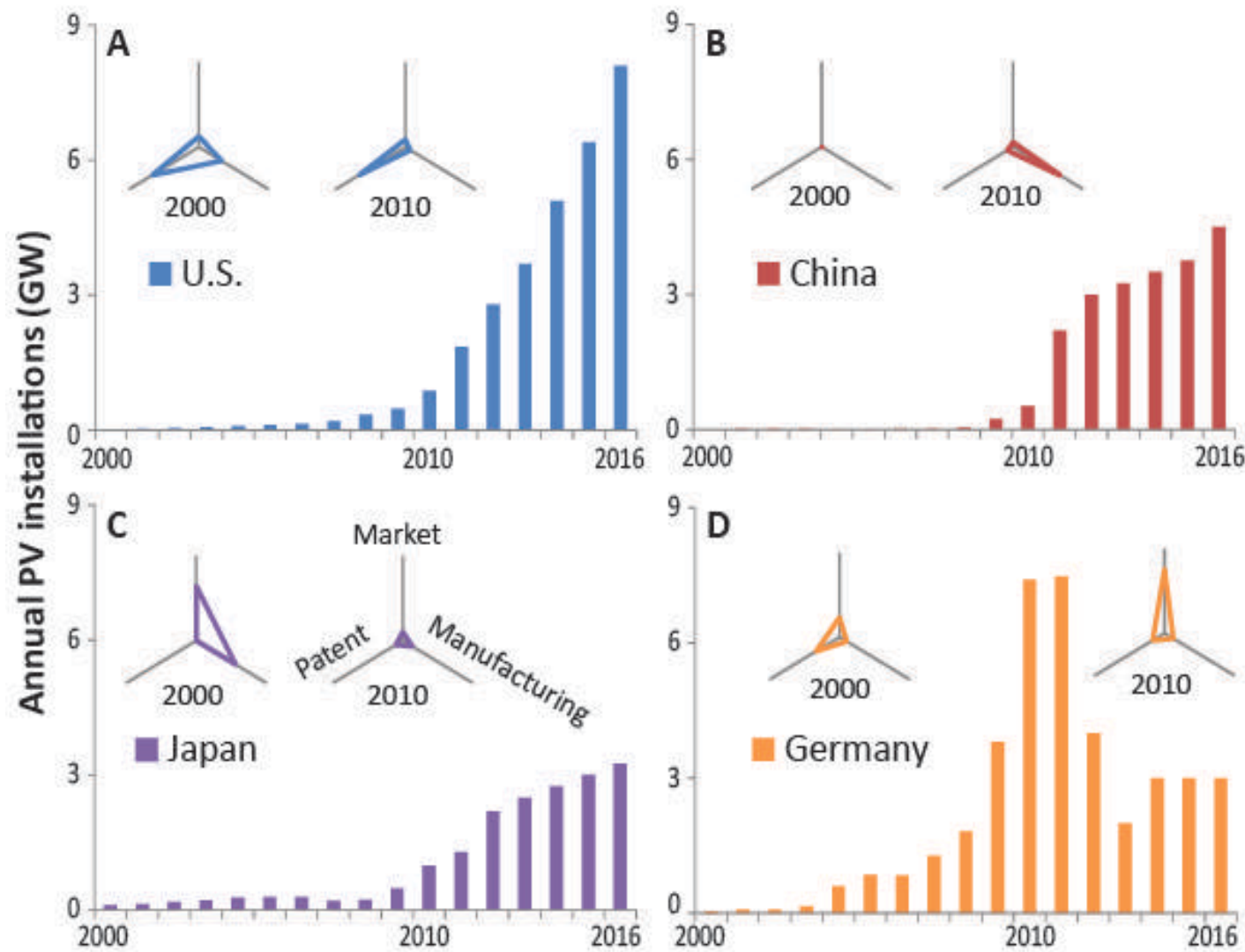


**800 MW of solar at 2.54 UScents/kWh**

**Location: Mohammed bin Rashid Al Maktoum Solar Park**  
**Dubai's goal: lowest carbon footprint of any city in the world**



# The Solar Energy Industry is an International Partnership



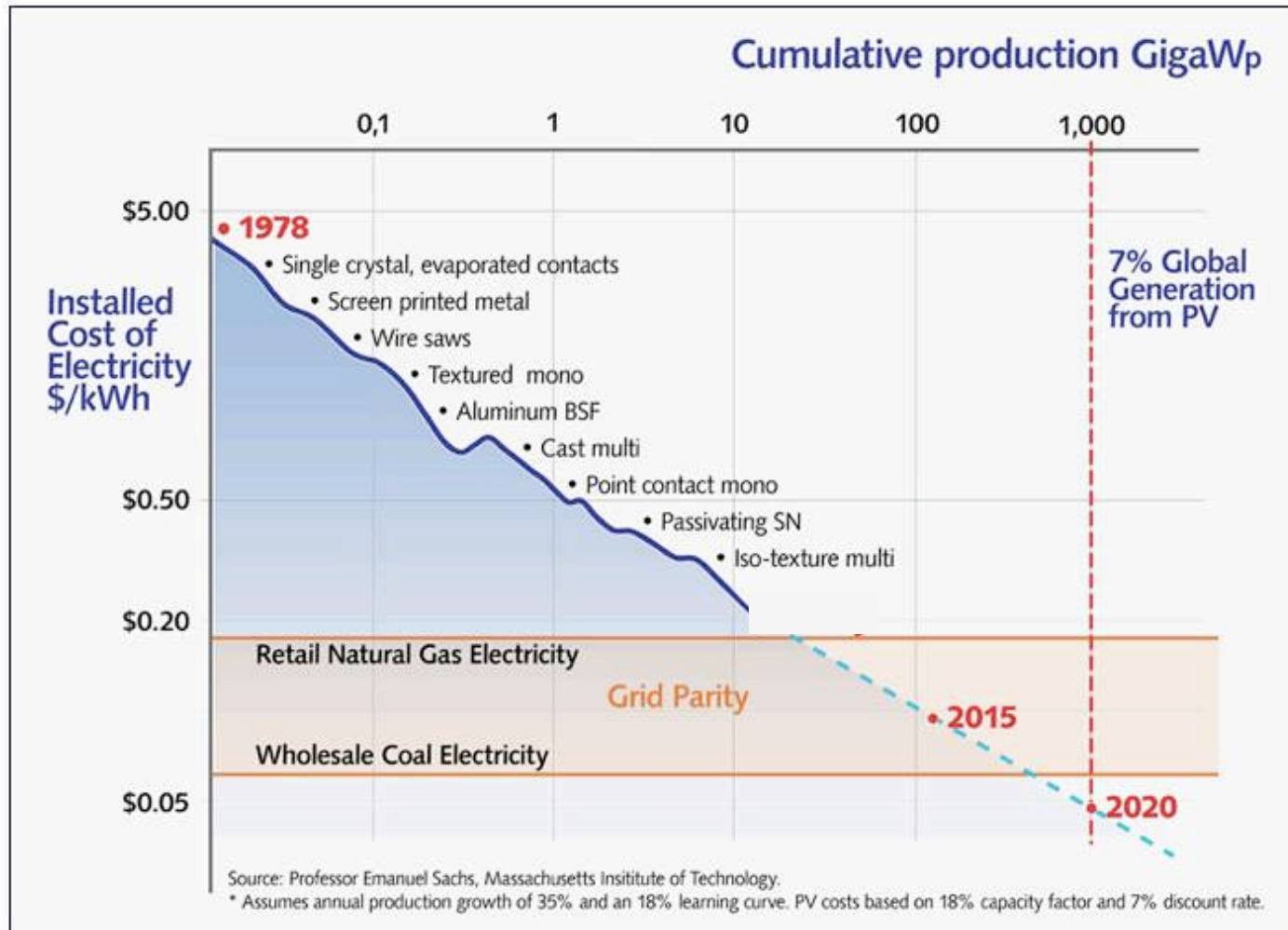
Renewable & Appropriate Energy Laboratory

**RAEL**

**Berkeley**  
UNIVERSITY OF CALIFORNIA

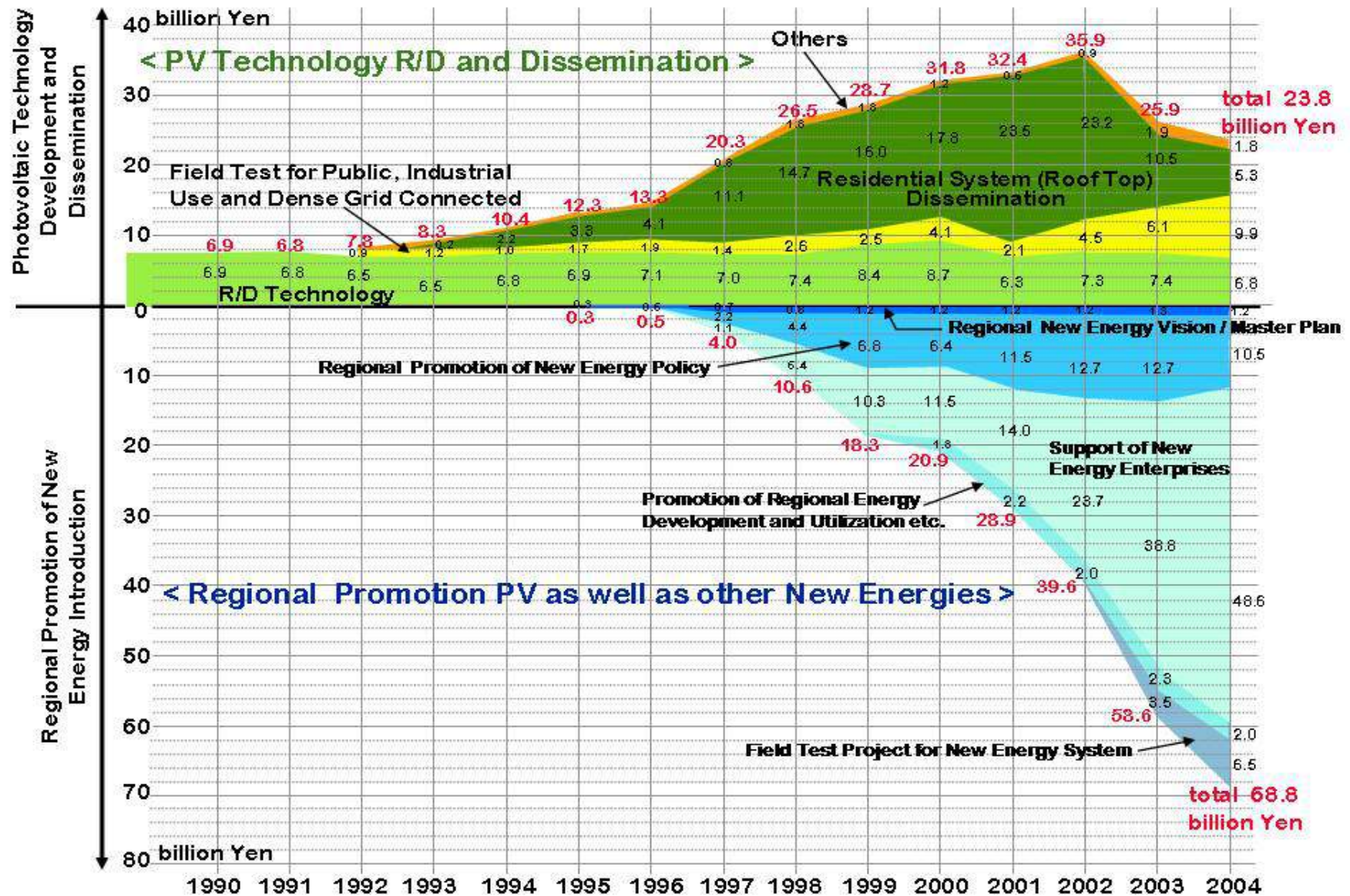
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## Solar cost decreases 10% per year



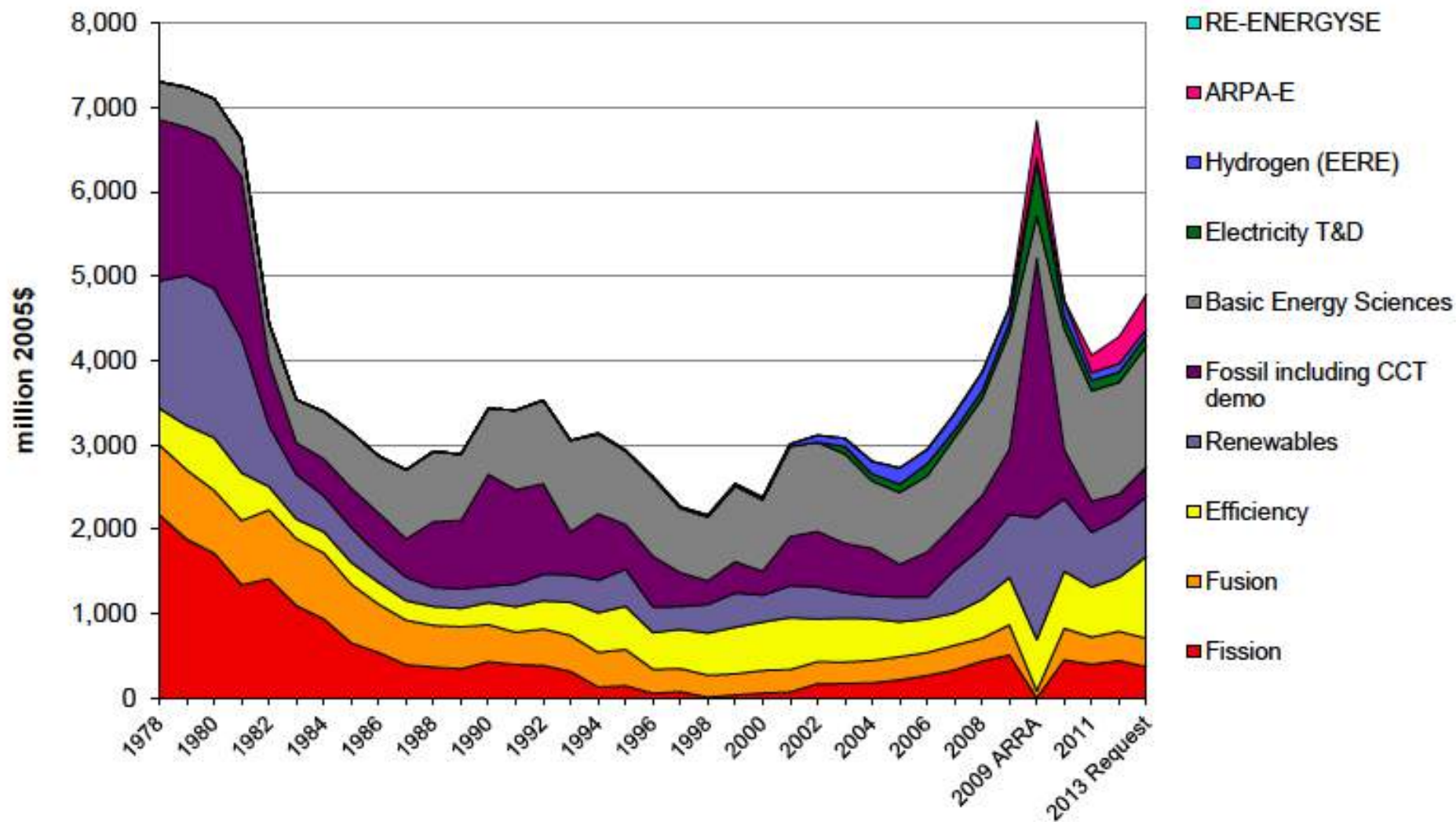
# Japanese “Sunshine” Program

way too much detail, but technology push/demand pull is clear



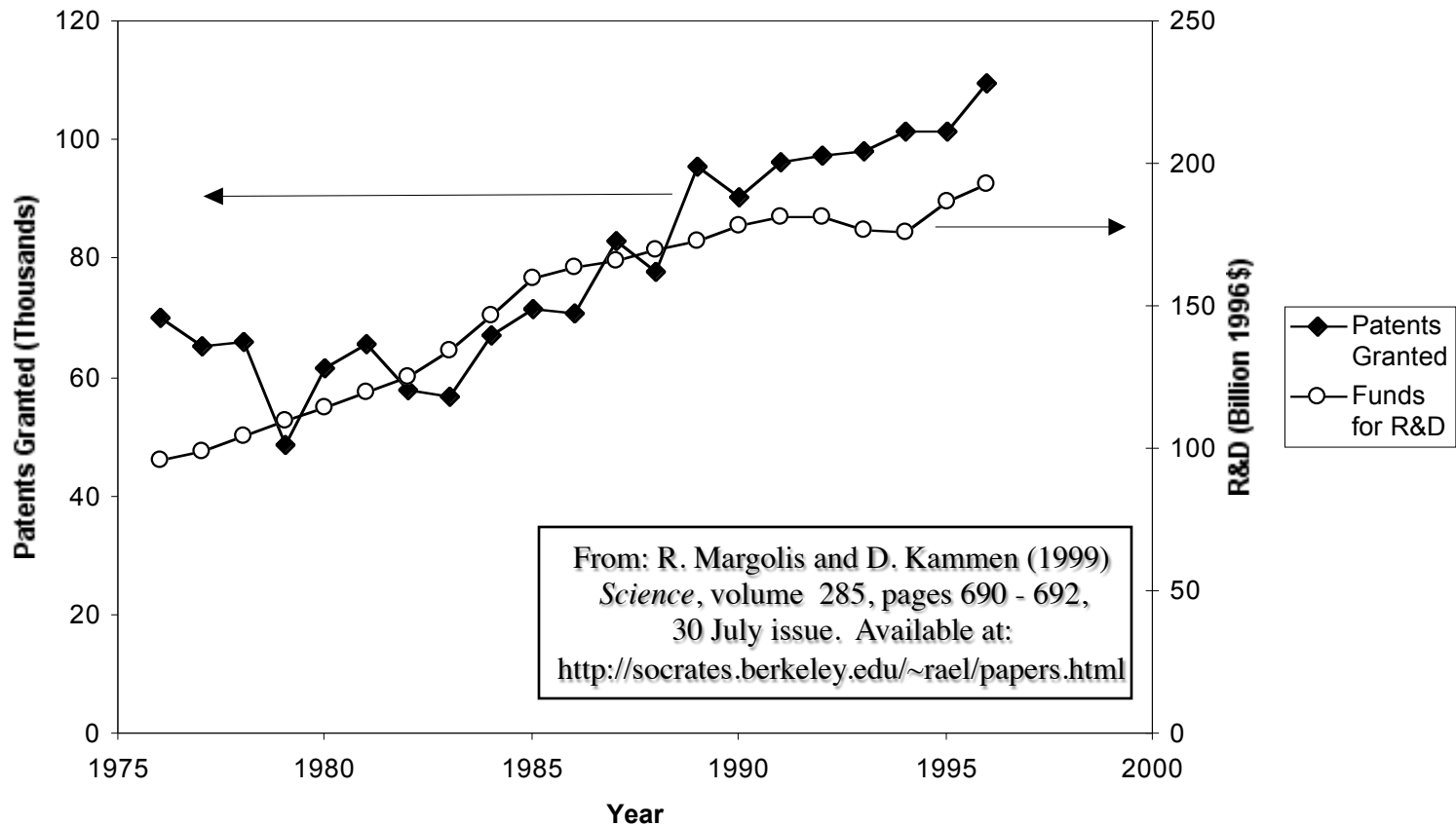


# U.S. DOE Energy RD&D FY1978-FY2013 Request



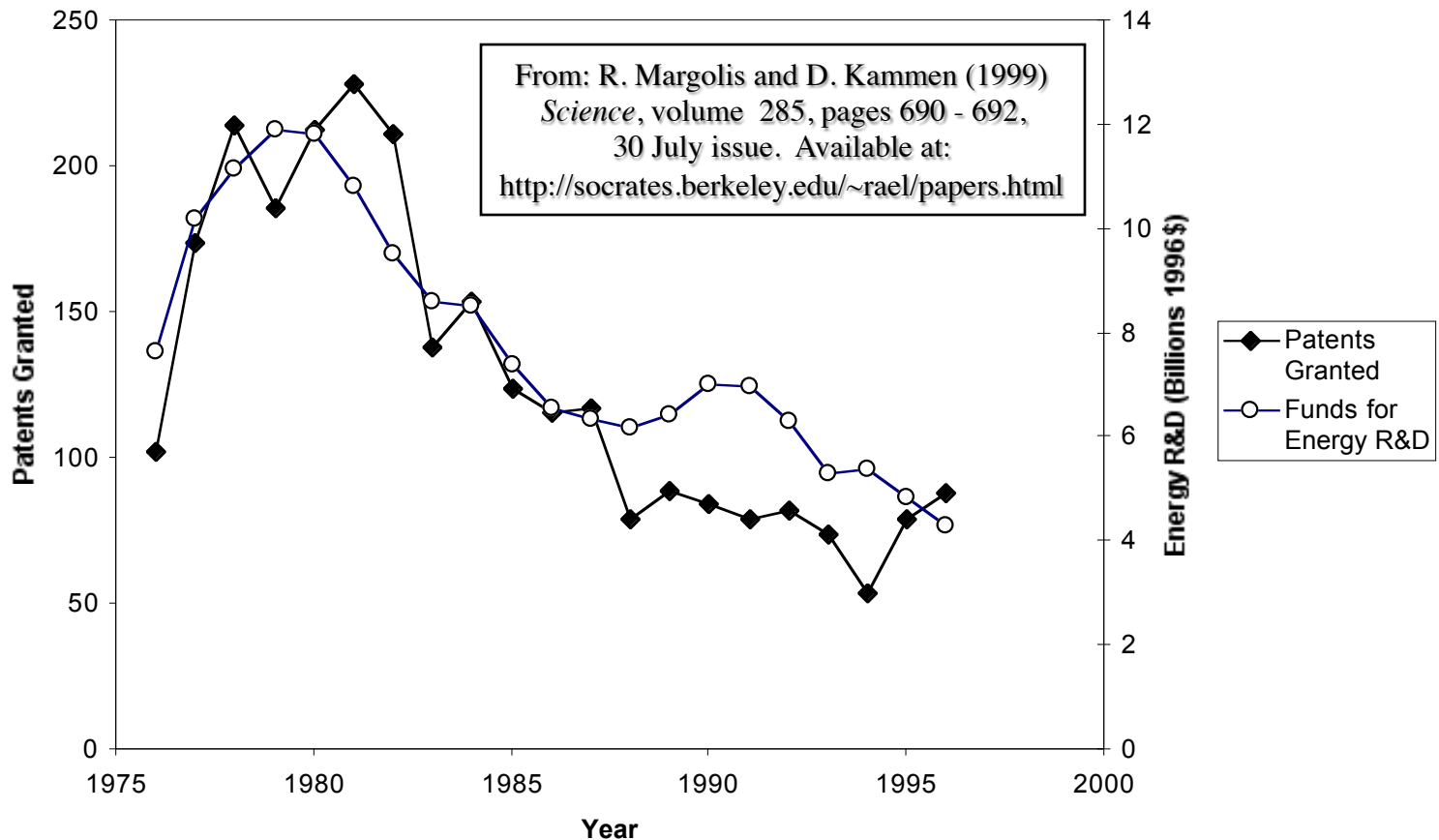
# Federal R&D Policy Can be Effective

Figure 1. Total U.S. patents granted and total U.S. investments in R&D.

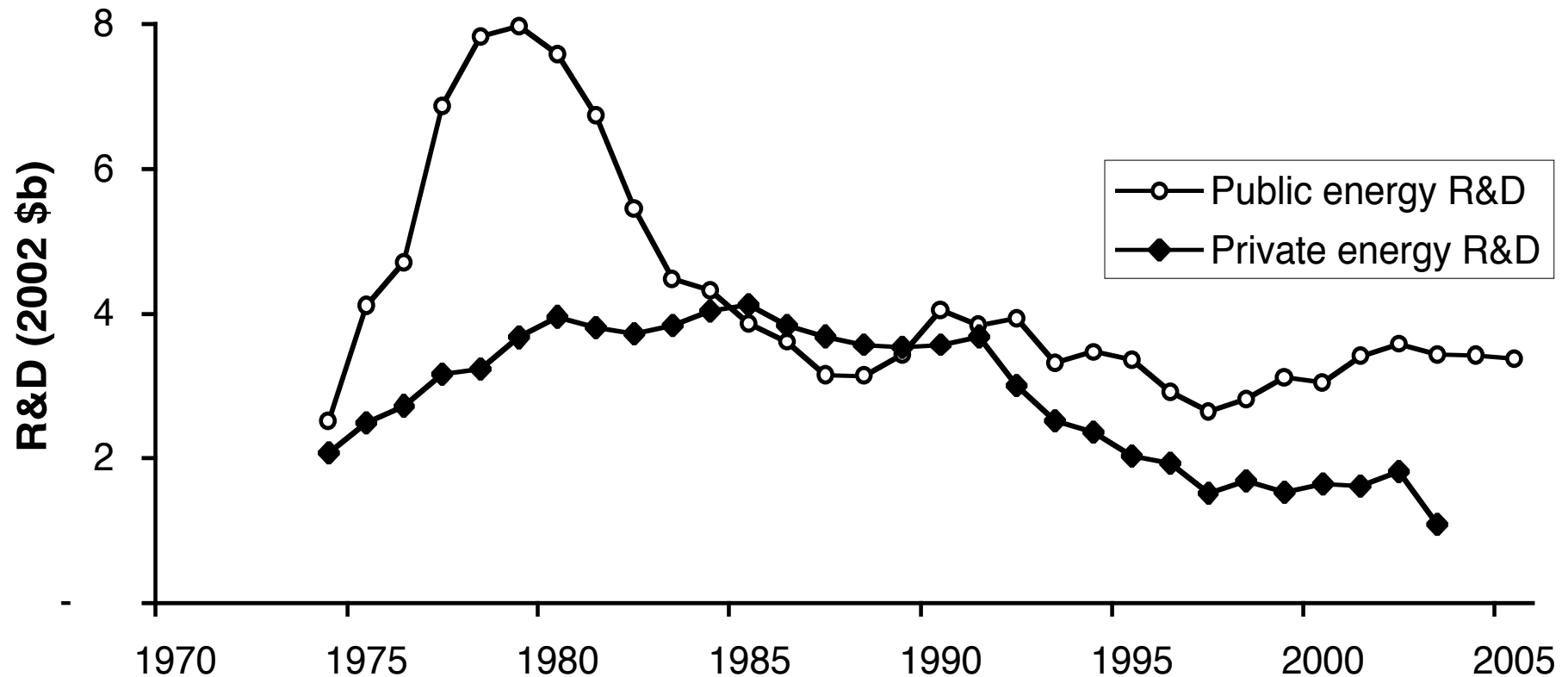


# Lack of Federal R&D policy... leads to lack of support for energy options

Figure 2. U.S. energy technology patents and total U.S. energy R&D.



# If you think US public sector energy R&D funding is doing poorly ...





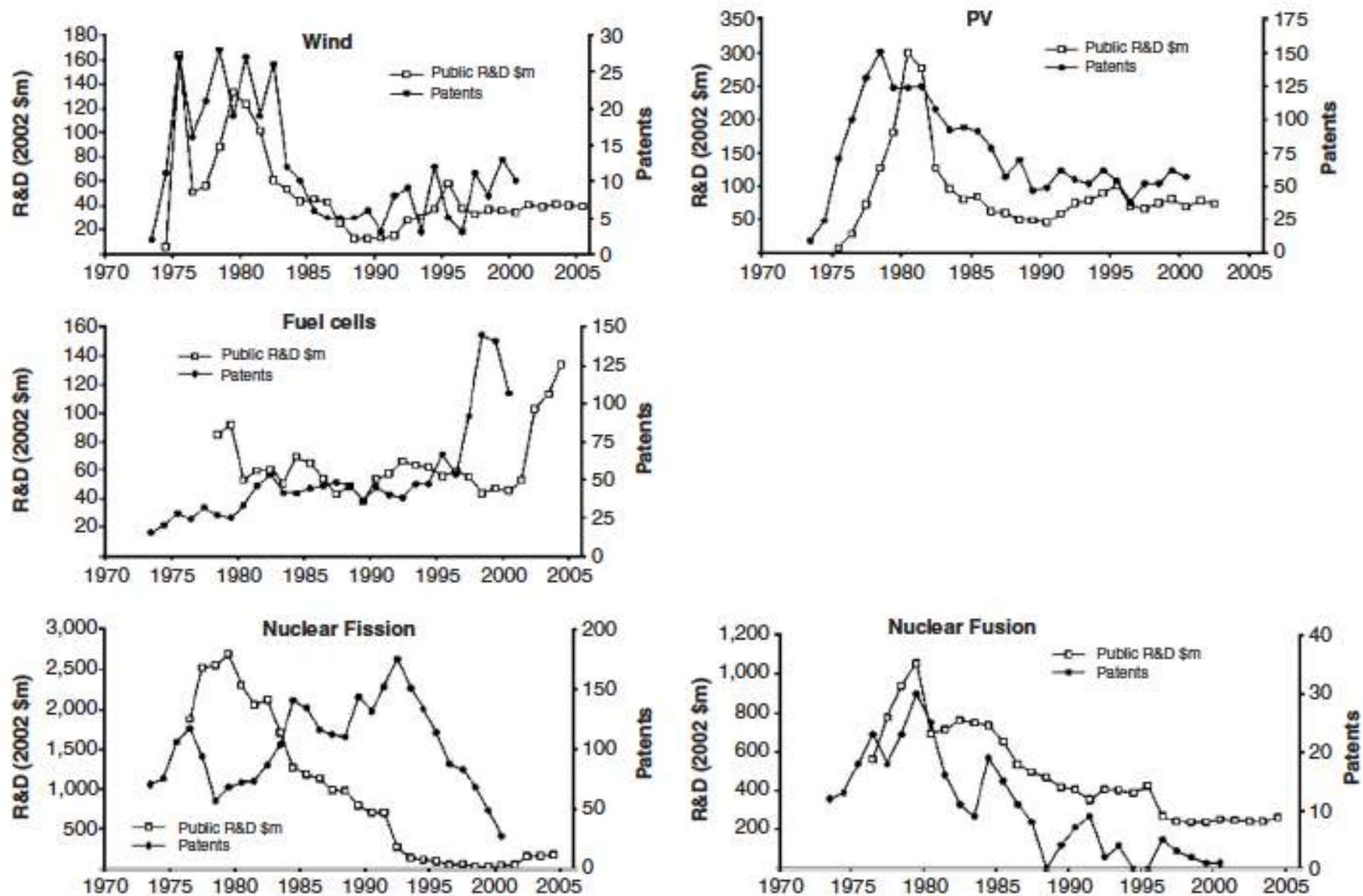
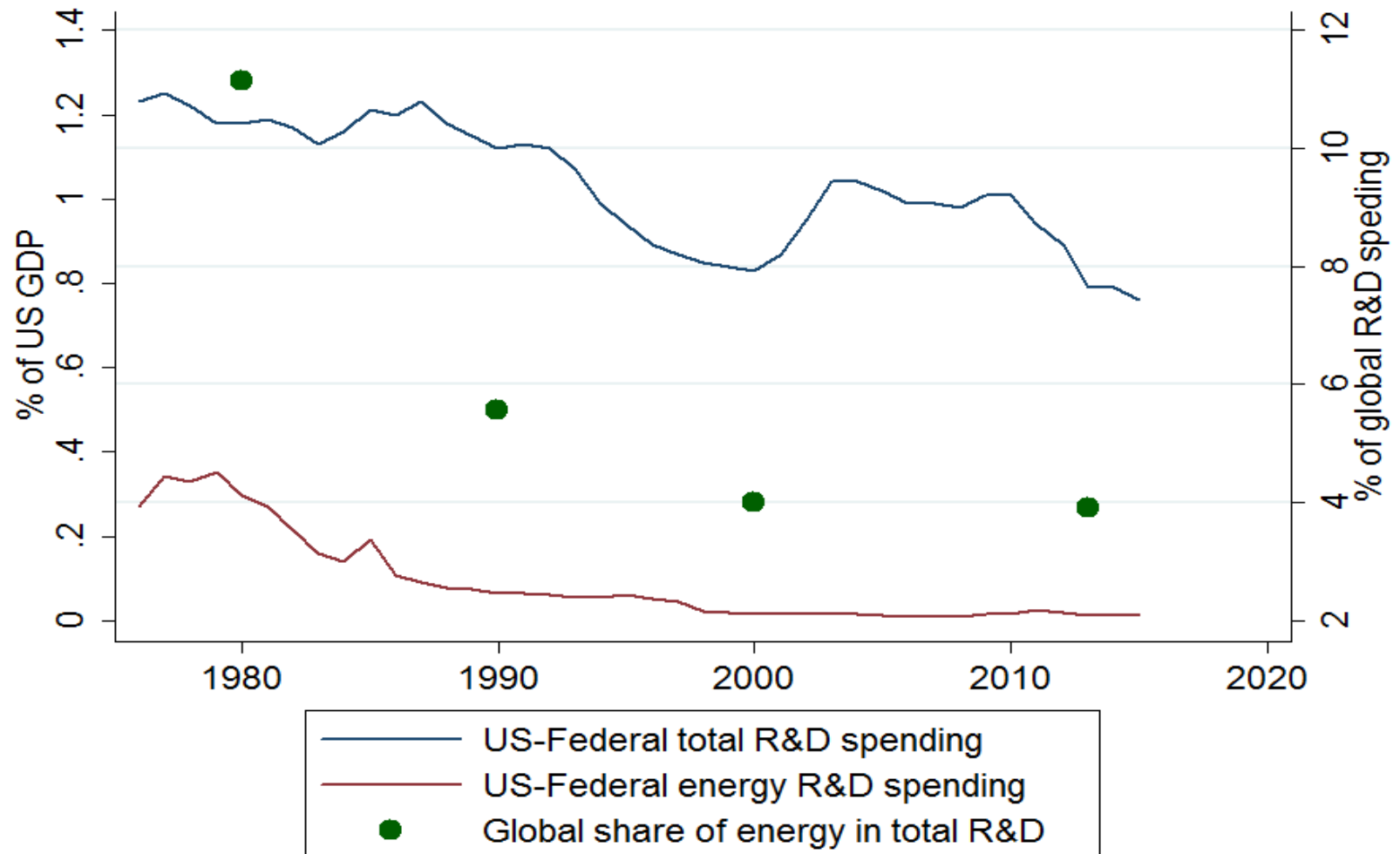
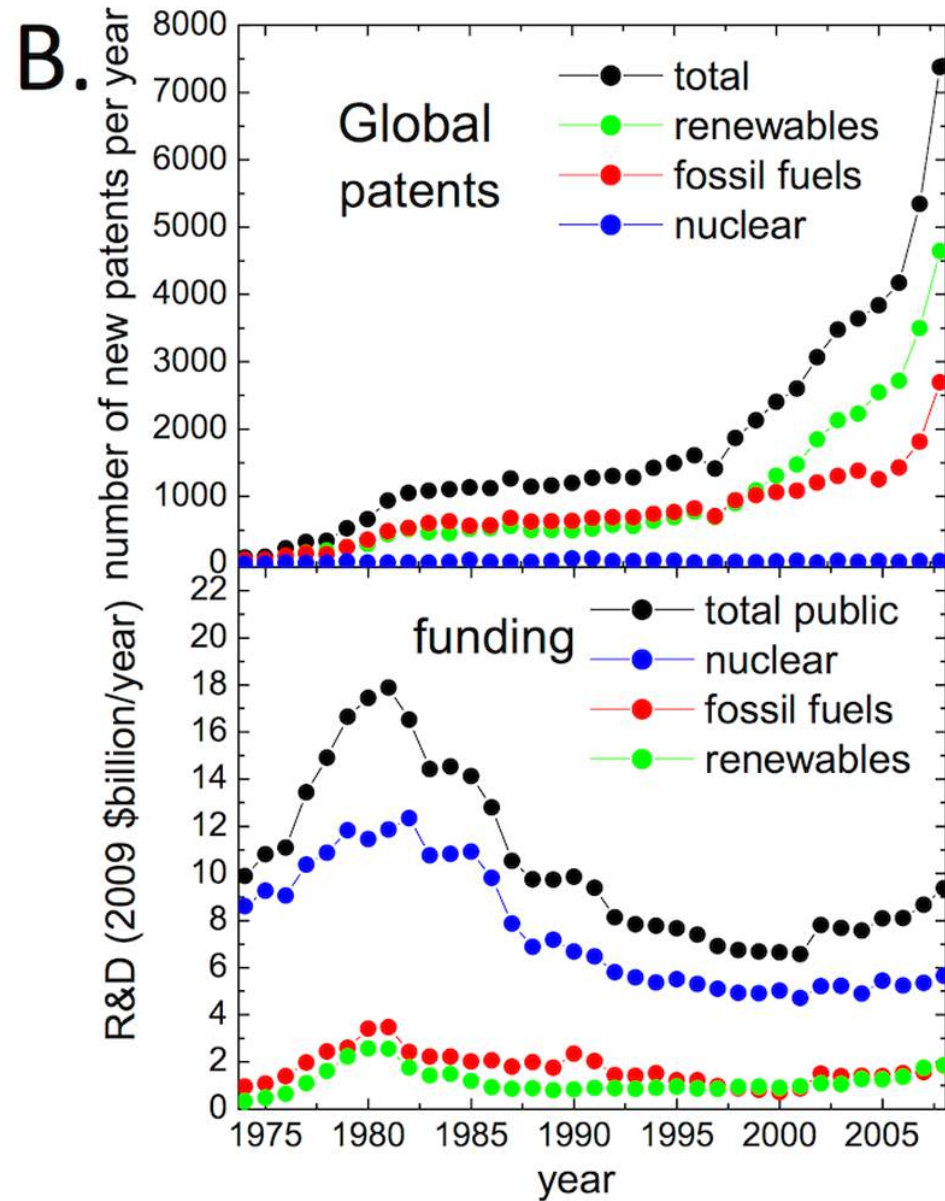
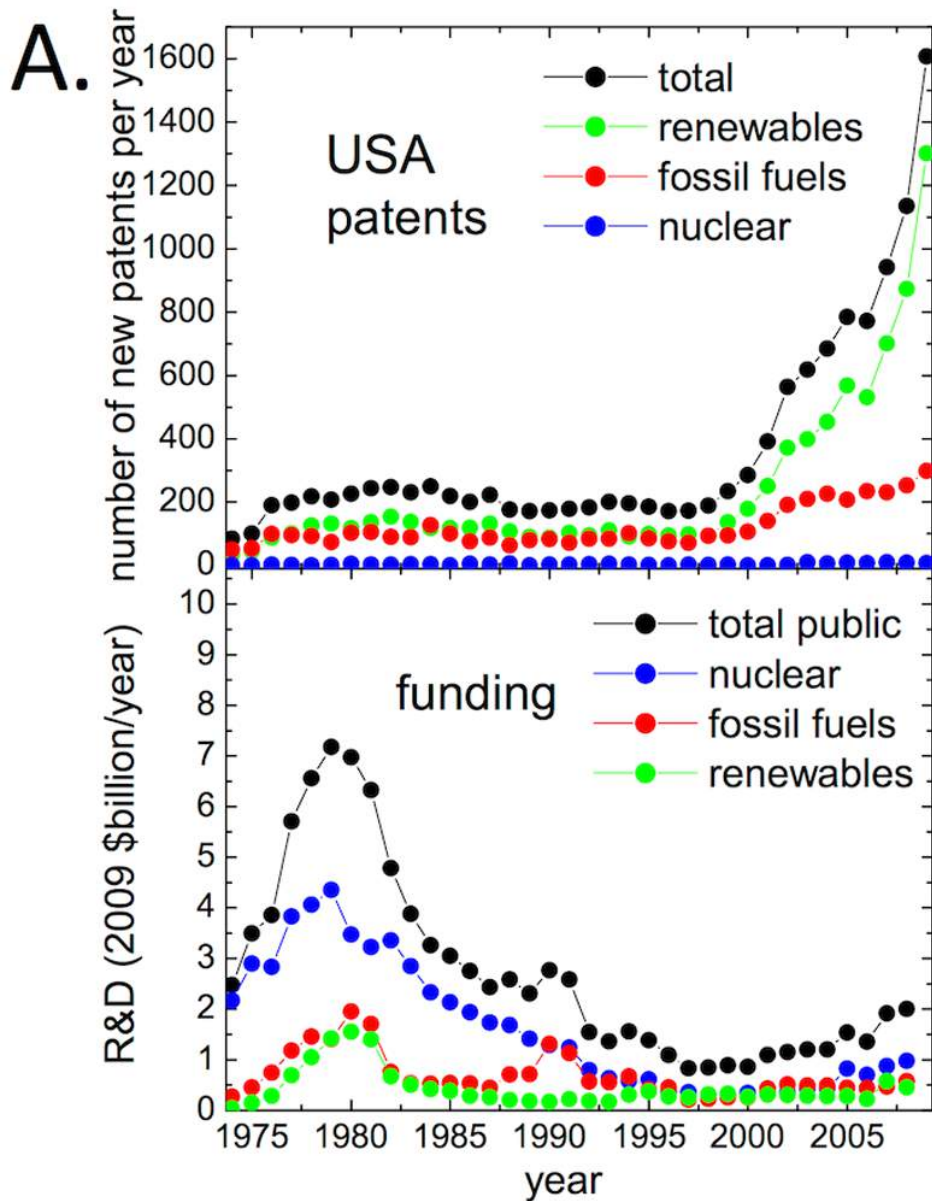
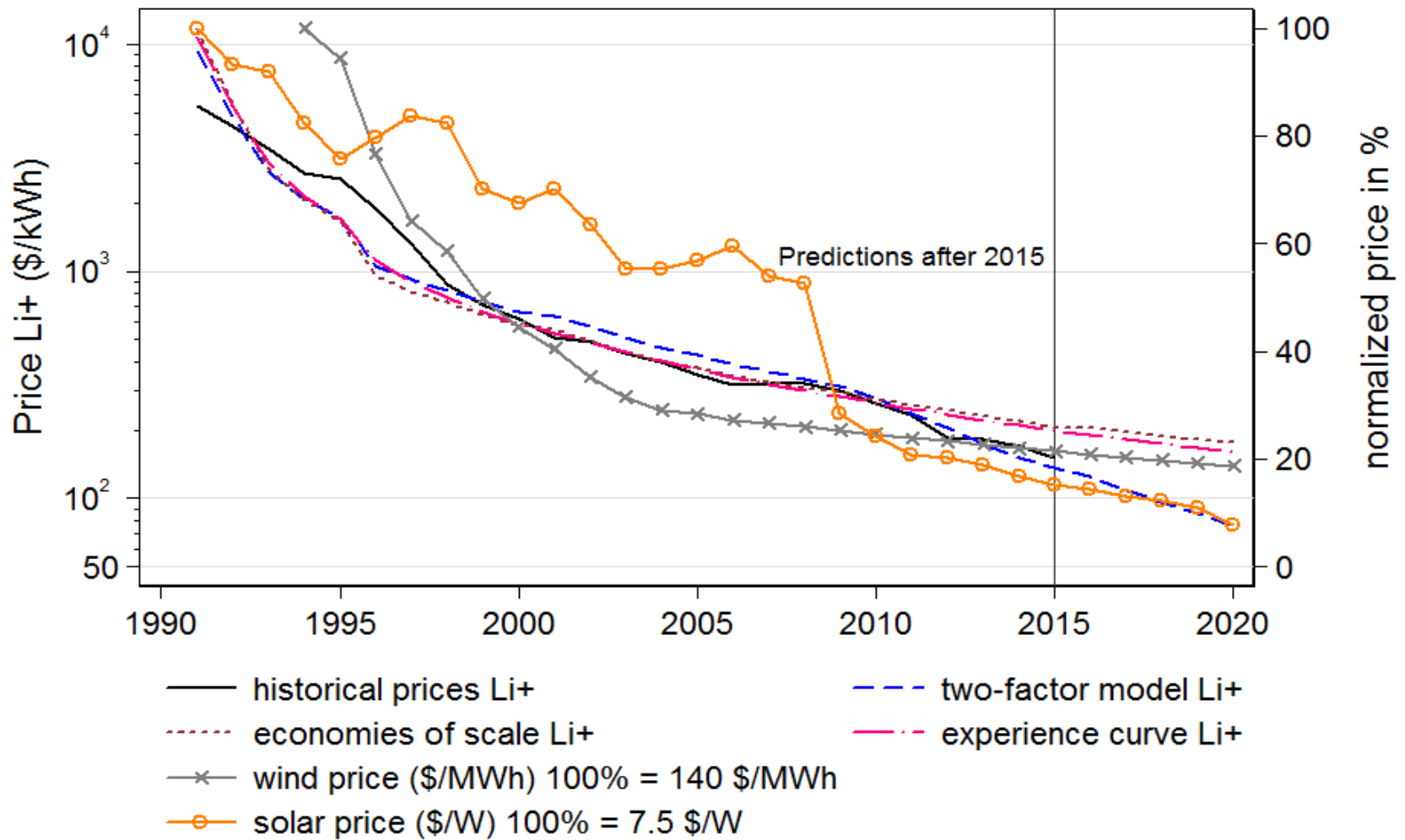


Fig. 7. Patenting and federal R&D. Patenting is strongly correlated with federal R&D. To provide comparisons with U.S. R&D funding, foreign patents are excluded. The data include granted patents in the U.S. patent system filed by U.S. inventors only. Patents are dated by their year of application to remove the effects of the lag between application and approval. This lag averages 2 years.

# Investing in Innovation (or Not)

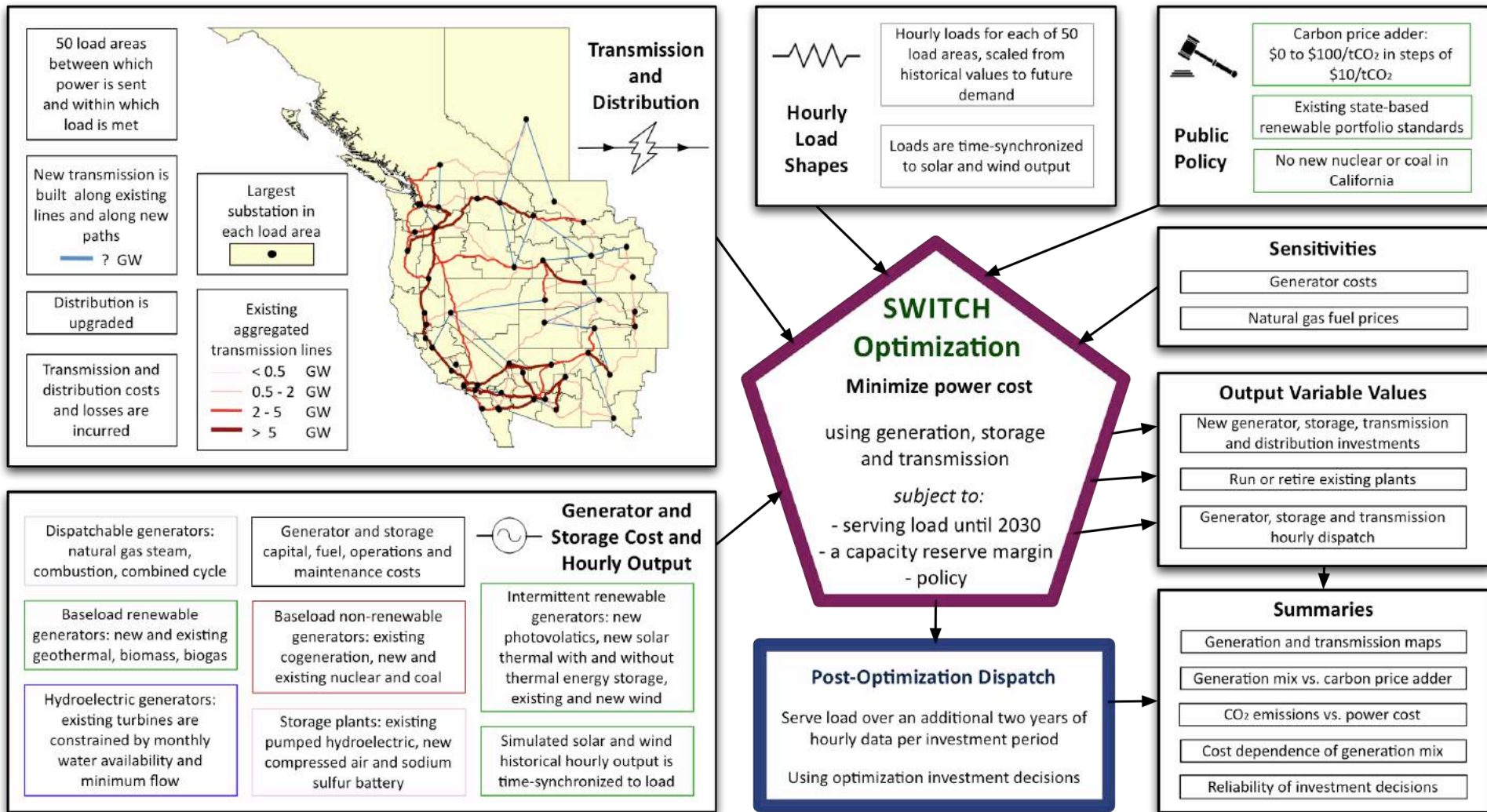








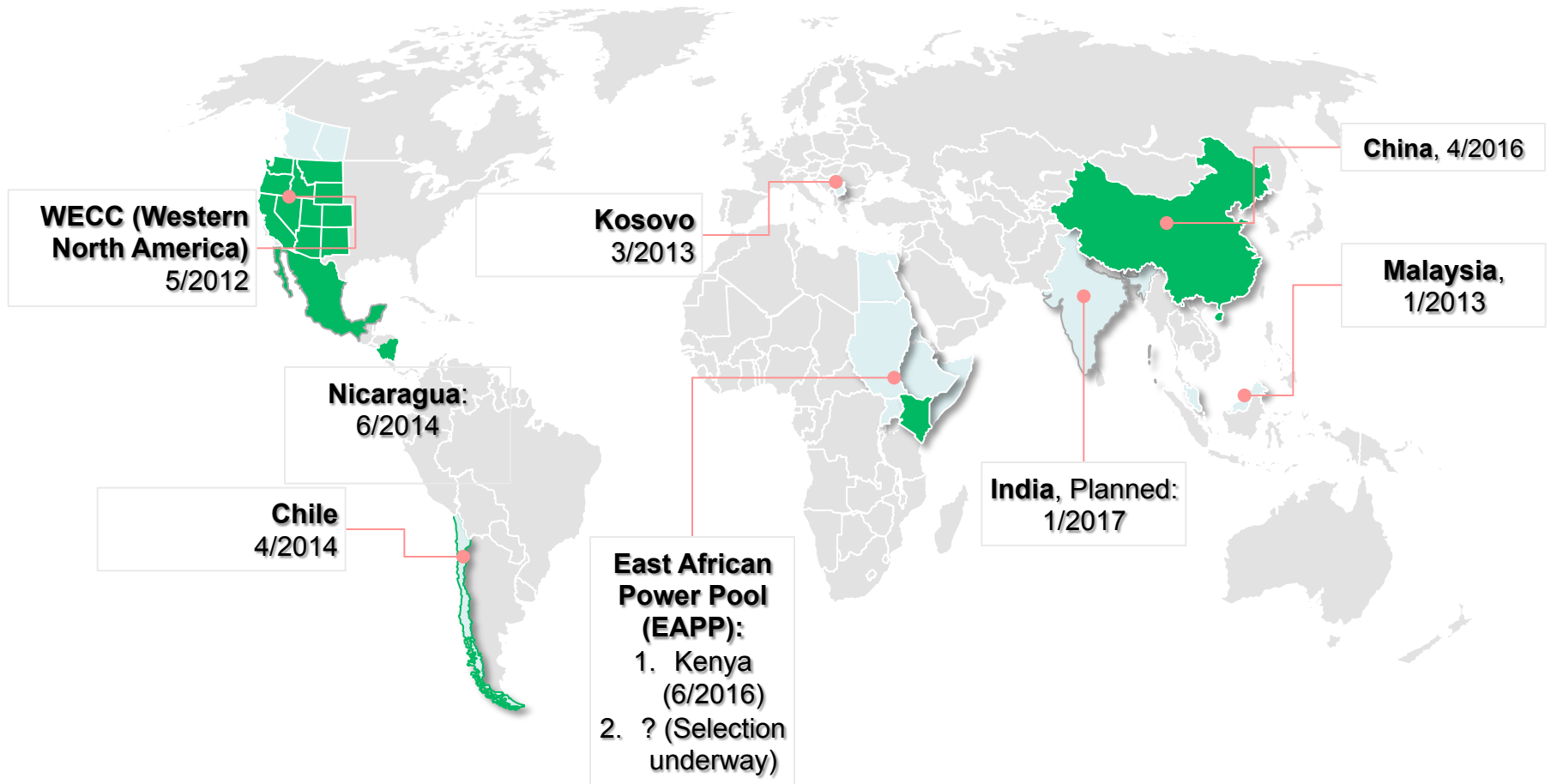
# The SWITCH-WECC Model



*Optimization and data framework of the western North American SWITCH model.*

# Power System Models

<http://rael.berkeley.edu/project/SWITCH>



# SWITCH Electricity Supply Model

<http://rael.berkeley.edu/project/SWITCH>

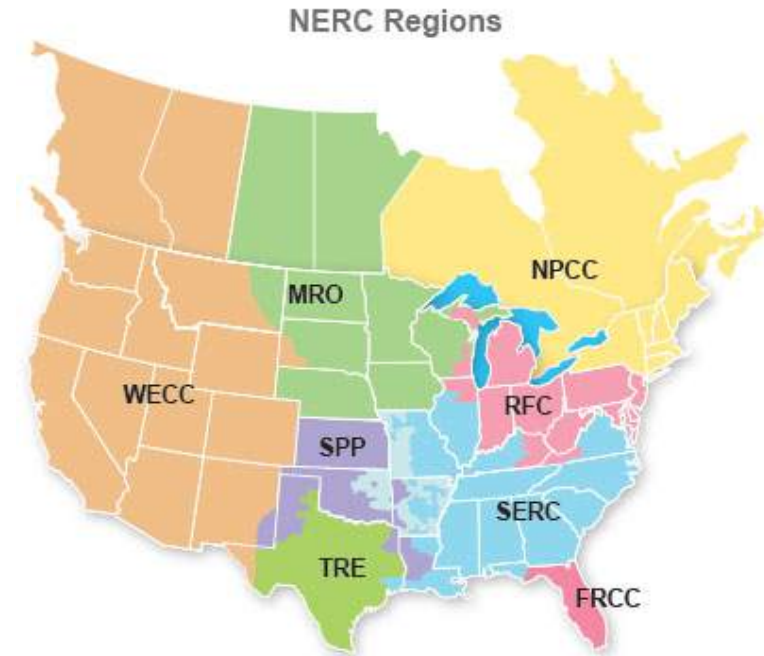
- Capacity expansion deterministic linear program
- Minimizes total cost of the power system:
  - Generation investment and operation
  - Transmission investment and operation

Geographic:

- Western Electricity Coordinating Council
- 50 load areas

Temporal:

- 4 investment periods: 2016-2025 (“2020”); 2026-2035 (“2030”); 2036-2045 (“2040”); 2046-2055 (“2050”);
- 72 distinct hours simulated per period
  - Dispatch simulated simultaneously with investment decisions



# SWITCH – high resolution power systems & PROGRESS – first pass modeling tool

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$$\min_{\tau} (c \downarrow i) . NPV \sum_{i,k=1 \uparrow n,m} TC \downarrow k (c \downarrow i)_{\text{Dispatch}}$$

$$\text{Total Cost } TC \downarrow k = \text{Capital Cost} \downarrow i * \text{Capacity} (c \downarrow i) + [\text{Variable Cost} \downarrow i * \text{Capacity} (c \downarrow i) * CF \downarrow i * \text{hours}]$$

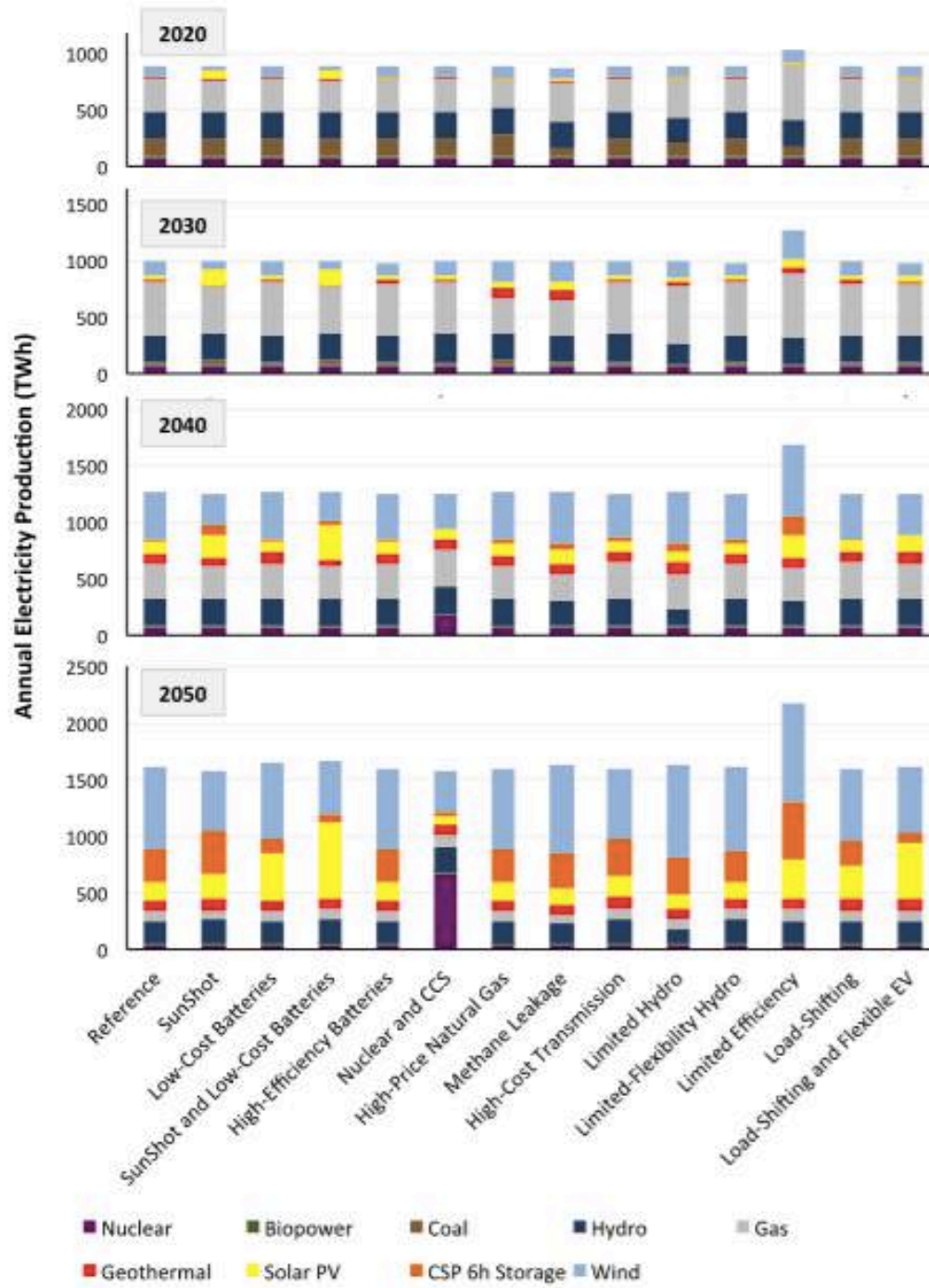
$$\sum_{i=1 \uparrow n} \text{Capacity} (c \downarrow i) * \text{Peak Contribution} \downarrow i \geq \text{Annual Peak Demand} * [1 + \text{Reserve Margin}]$$

$$\sum_{i=1 \uparrow n} \overset{\text{Installed capacity}}{\text{Capacity} (c \downarrow i)} * CF \downarrow i * \text{hours} \geq \text{Annual Load}$$

$$\text{Annual Load} * \text{Spill Factor} \geq \sum_{i=1 \uparrow n} [\text{Capacity} (c \downarrow i) * CF \downarrow i * \text{hours}]$$

$$\text{Total Resource Potential} \downarrow i \geq \sum_{k=1 \uparrow m} \text{Capacity} (c \downarrow i)$$





# 2030/2050 Path Dependence Using SWITCH

## How to plan efficiently?

- Today until 2030 and then until 2050?
- Or today until 2050?

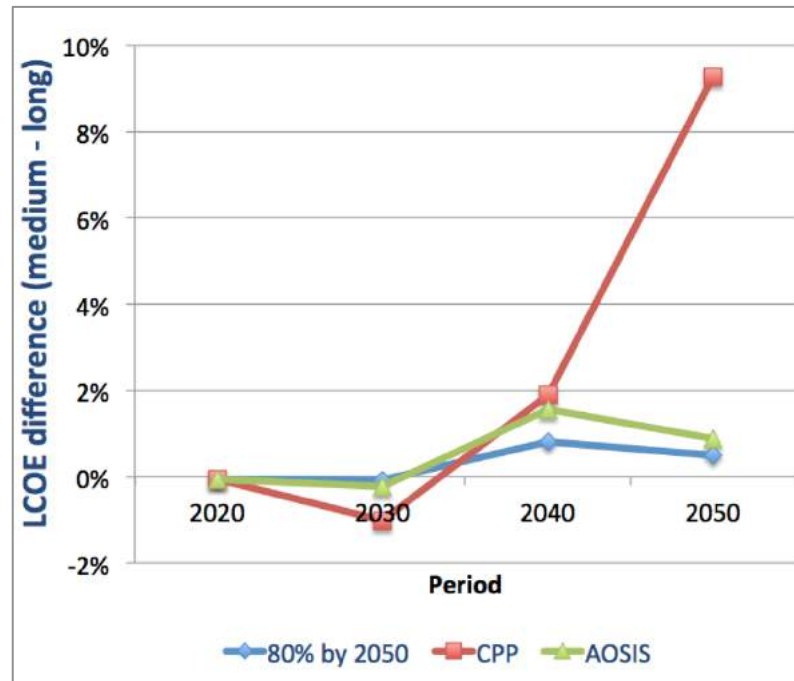
Carbon cap scenarios:

- 80% reduc. by 2050
- 1.5°C
- CA executive order (40% lower in 2030)
- Clean Power Plan

## Preliminary Findings

Planning until 2030 for CPP results in:

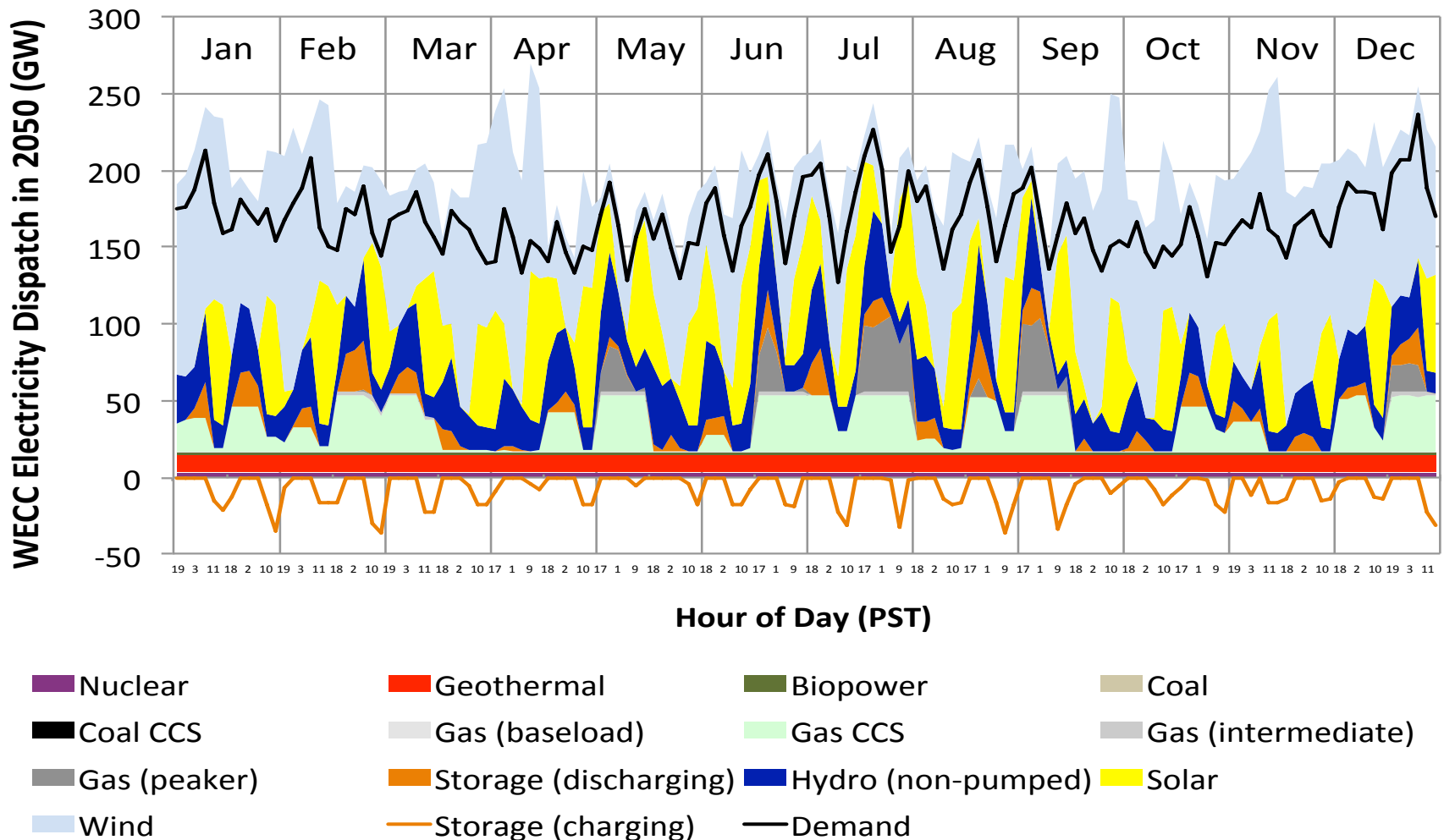
- Later coal retirements
- Minor/no savings in periods 2020 and 2030
- More costly in 2040 and 2050
- CPP 9% more expensive in 2050

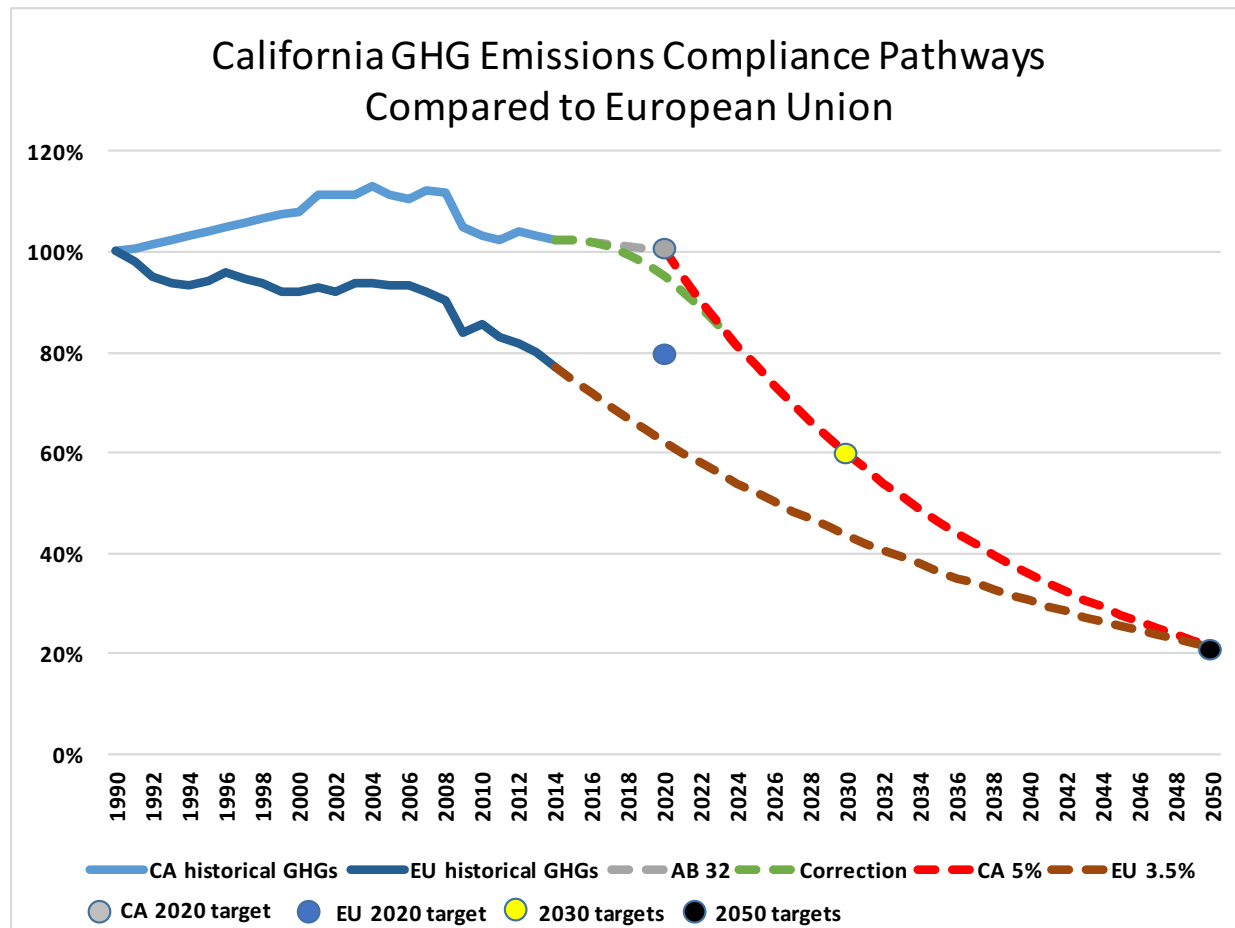


# Dispatch in 2050:

## Flexibility and variable renewables dominate

- Storage almost exclusively moves solar to the night
- Geothermal only remaining substantial baseload





Meeting the 2030 and 2050 targets will require 5% average annual abatement across the entire CA economy

The EU, by contrast, already met the same 2020 target 10 years earlier and needs 3.5% annual abatement to meet the 2050 target

5% is equivalent to GHG reductions during the Great Recession ('08-'10); CA must do this every year while growing the economy



## SWITCH-China: A Systems Approach to Decarbonizing China's Power System

Gang He,<sup>\*,†,‡,§</sup> Anne-Perrine Avrin,<sup>‡,§</sup> James H. Nelson,<sup>⊥</sup> Josiah Johnston,<sup>‡,§</sup> Ana Mileva,<sup>⊥</sup> Jianwei Tian,<sup>#</sup> and Daniel M. Kammen<sup>\*,‡,§,||</sup>

<sup>†</sup>Department of Technology and Society, College of Engineering and Applied Sciences, Stony Brook University, Stony Brook, New York 11794, United States

<sup>‡</sup>Renewable and Appropriate Energy Laboratory, <sup>§</sup>Energy and Resources Group, and <sup>||</sup>Goldman School of Public Policy, University of California, Berkeley, California 94720, United States

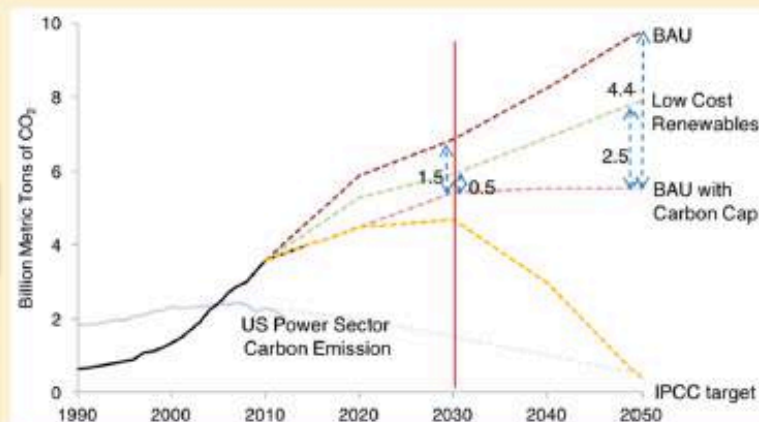
<sup>⊥</sup>Energy and Environmental Economics, Inc. (E3), San Francisco, California 94104, United States

<sup>#</sup>China National Institute of Standardization, Beijing 100191, P.R. China

### **S** Supporting Information

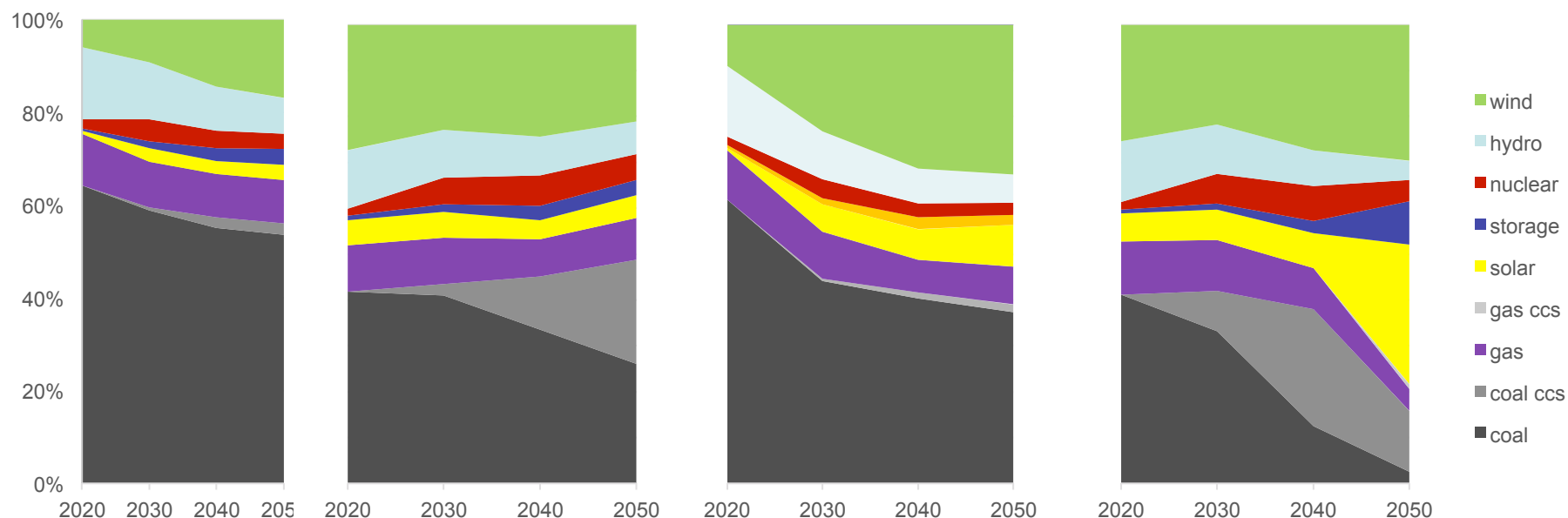
**ABSTRACT:** We present an integrated model, SWITCH-China, of the Chinese power sector with which to analyze the economic and technological implications of a medium to long-term decarbonization scenario while accounting for very-short-term renewable variability. On the basis of the model and assumptions used, we find that the announced 2030 carbon peak can be achieved with a carbon price of ~\$40/tCO<sub>2</sub>.

Current trends in renewable energy price reductions alone are insufficient to replace coal; however, an 80% carbon emission reduction by 2050 is achievable in the Intergovernmental Panel on Climate Change Target Scenario with an optimal electricity mix in 2050 including nuclear (14%), wind (23%), solar (27%), hydro (6%), gas (1%), coal (3%), and carbon capture and sequestration coal energy (26%). The co-benefits of carbon-price strategy would offset 22% to 42% of the increased electricity costs if the true cost of coal and the social cost of carbon are incorporated. In such a scenario, aggressive attention to research and both technological and financial innovation mechanisms are crucial to enabling the transition at a reasonable cost, along with strong carbon policies.



# In China even aggressive wind, solar and storage learning alone is not enough to phase out coal

<http://rael.berkeley.edu/project/SWITCH>



Business  
as Usual

BAU with  
Carbon cap

Current  
aggressive  
solar and wind  
continued

IPCC 2050  
(80% cut in  
carbon)

# SWITCH-China: A Systems Approach to Decarbonizing China's Power System

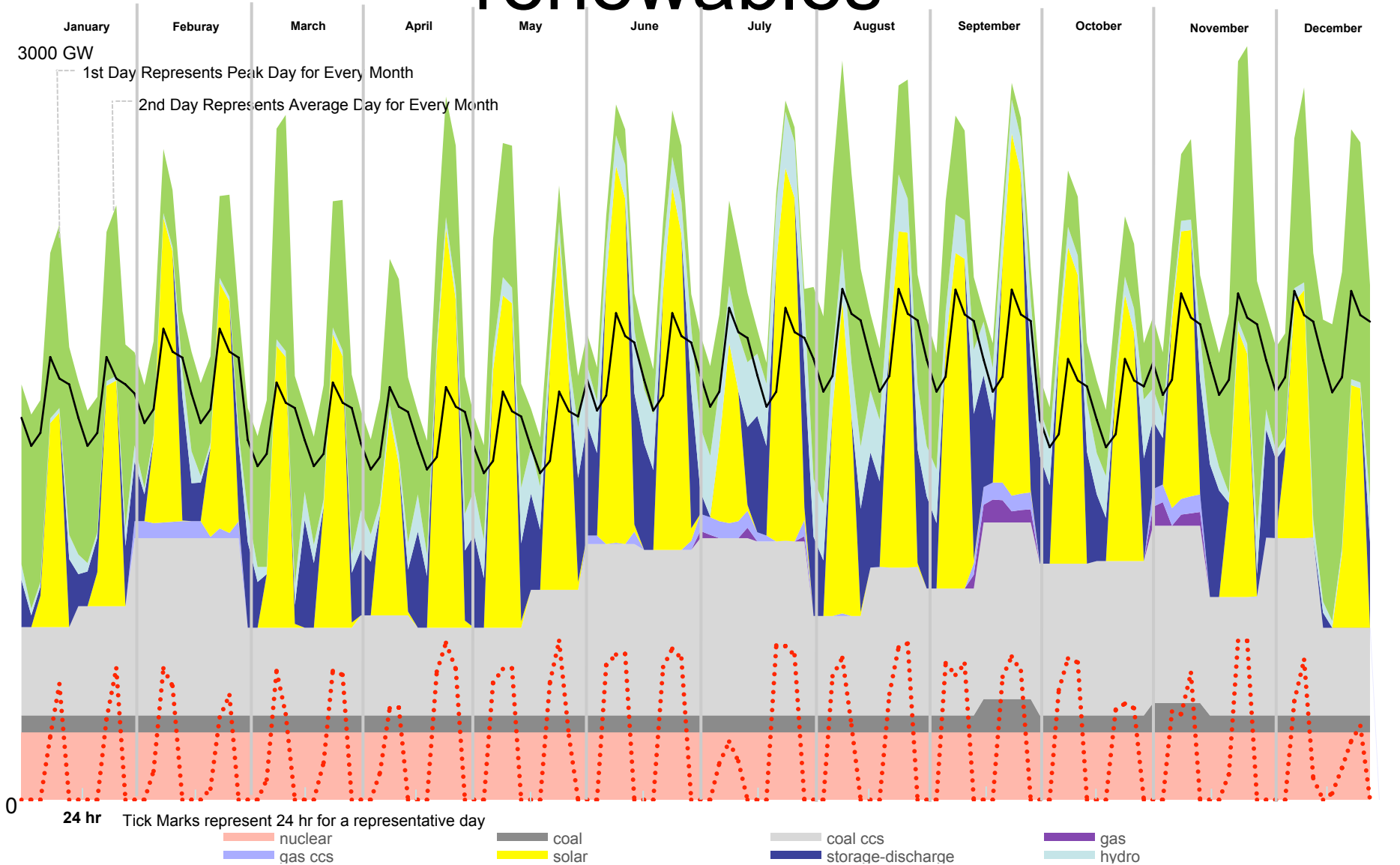
Gang He,<sup>\*,†,‡,§</sup> Anne-Perrine Avrin,<sup>‡,§</sup> James H. Nelson,<sup>⊥</sup> Josiah Johnston,<sup>‡,§</sup> Ana Mileva,<sup>⊥</sup> Jianwei Tian,<sup>#</sup> and Daniel M. Kammen<sup>\*,‡,§,||</sup>



**Figure 4.** Generation, transmission, and storage capacity needed to achieve an 80% carbon reduction in 2050. All represented lines are new transmission expansion. Inner Mongolia emerges as a major center of clean energy generation thanks to the combination of its location (a few hundred kilometers from major demand centers) and high-quality renewable energy resources.



# China: dispatch challenge for coal and renewables



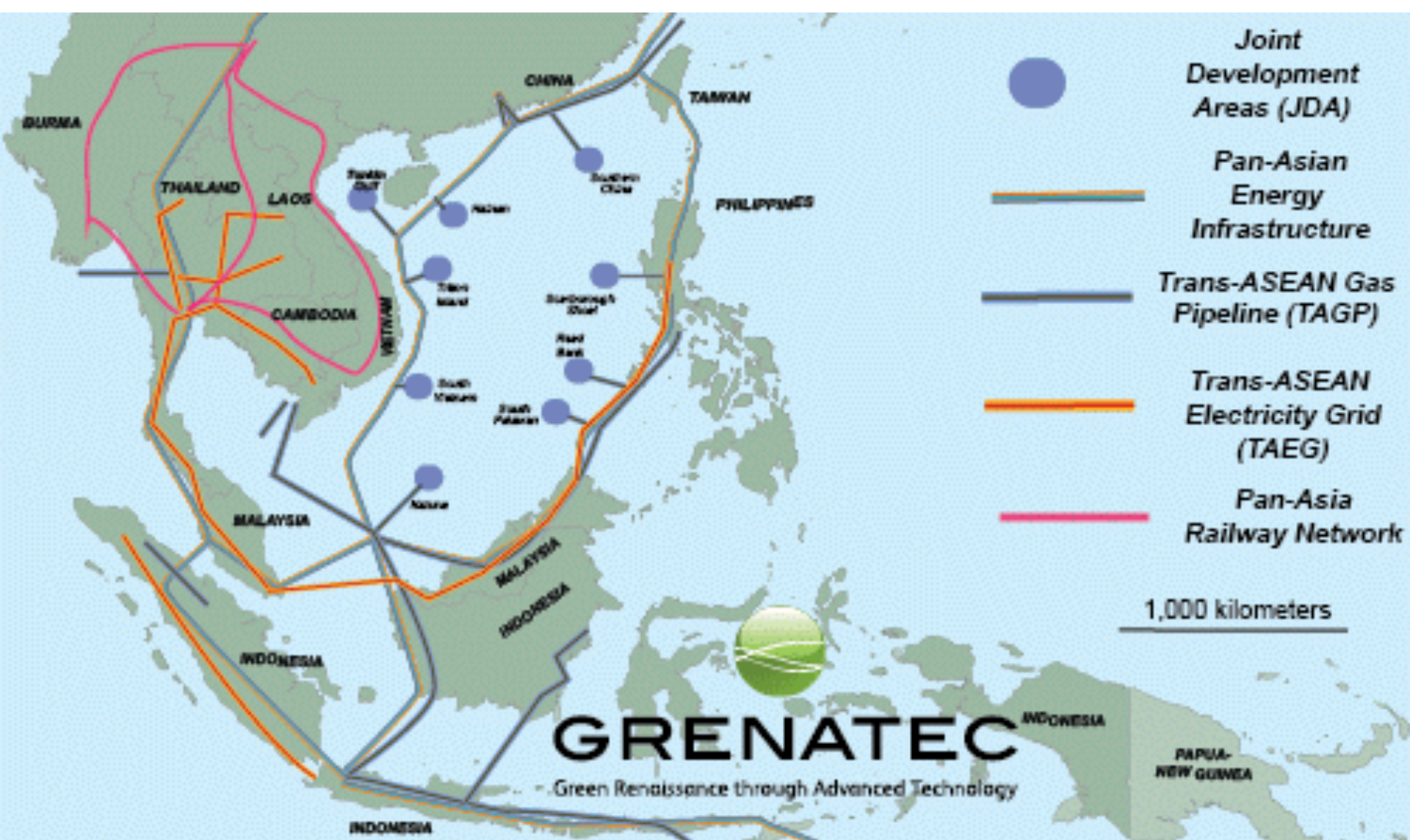
Source: He, Avrin, Nelson, Johnston, Mileva, Tian, and Kammer



# National Grid Plan in About 2020

- UHVAC corridors connecting North and Central grids
- UHVAC synchronous grid interconnecting North, Central and East grids
- UHVDC transfer long distance power Tibet
- Expected capacity of UHV: above 200GW





# The mathematical model of a planning problem

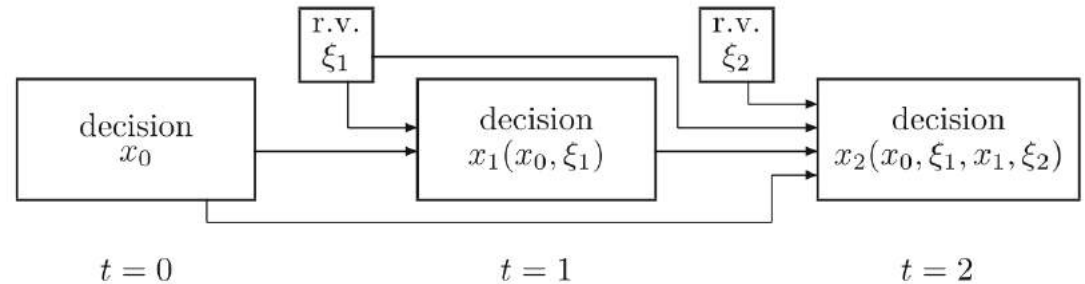
- Given a control variable  $x$ , the model maximizes discounted utility over a long term horizon.
- A planning problem is by nature a dynamic model, given the time dependencies on the equation of motion of the state variable  $y$ .
- In general planning problems are modeled as large scale static optimization mathematical programs.

$$\begin{aligned} \max_{\hat{x}} \quad & \sum_{t=0}^{\infty} \beta^t u(x_t) \\ \text{subject to} \quad & y_{t+1} = F(y_t, x_t, \rho, t) \end{aligned}$$

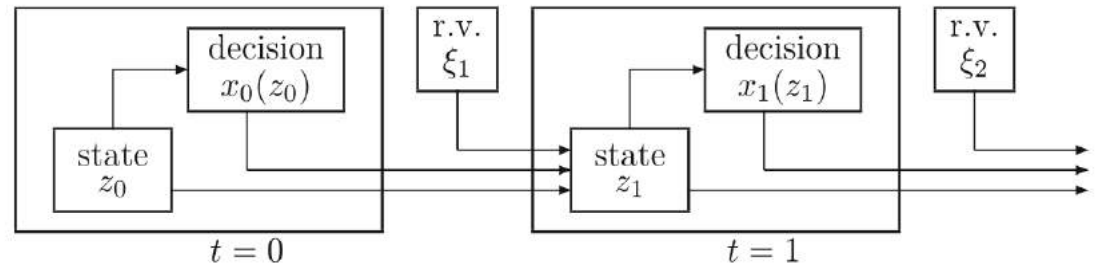


# Modeling alternatives

- Stochastic Programming



Dynamic Programming

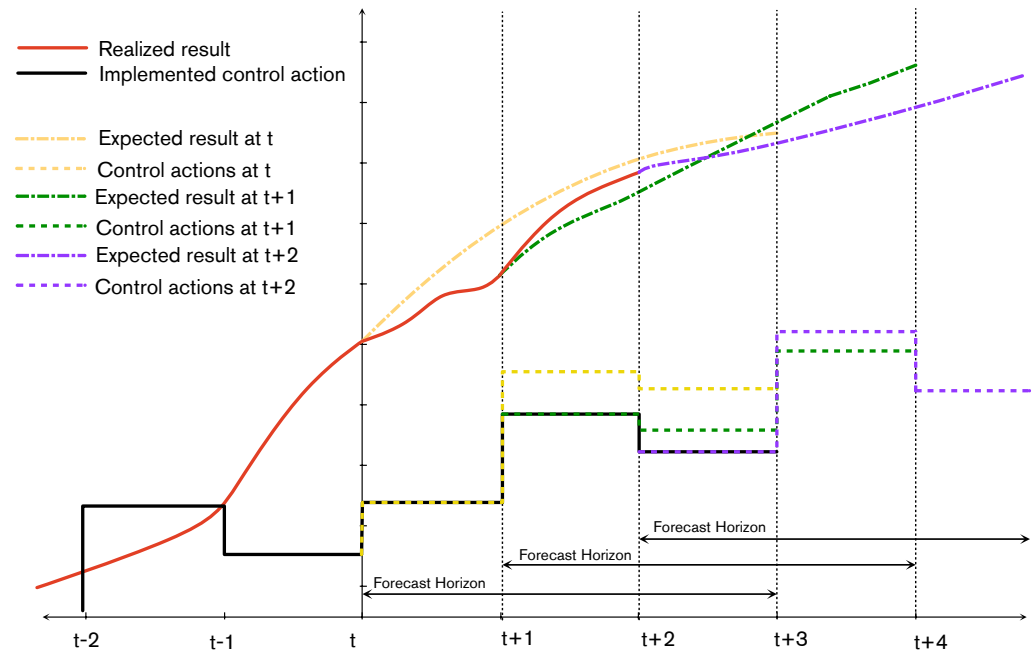


The difference between model results are mostly due to the assumptions on the exogenous process and the impact on the decisions (learning vs total uncertainty)

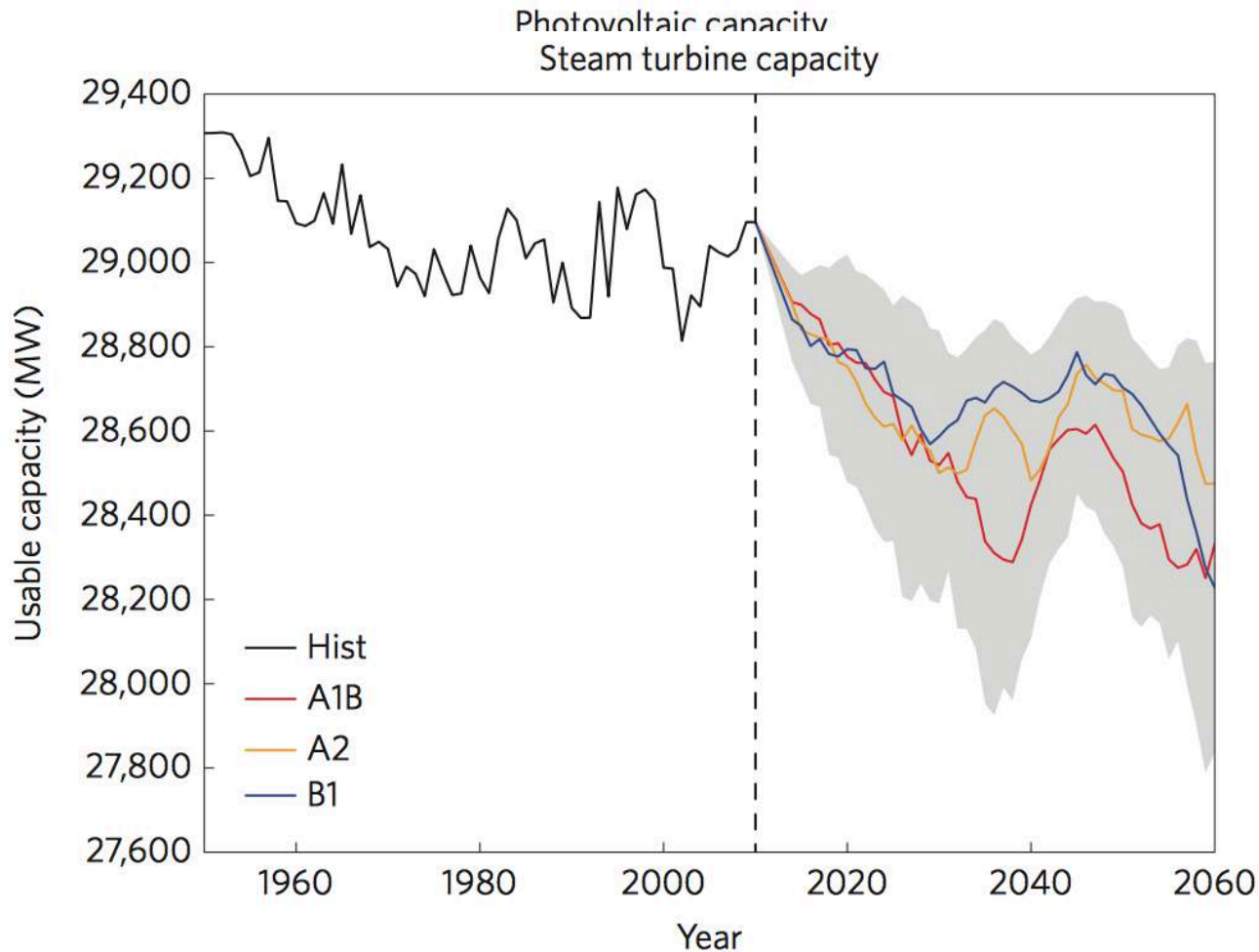


# The role of learning in modeling

- The decision maker can anticipate their own learning before making the decision.
- In the classical receding horizon problem, there is no anticipates learning.
- This difference is modeled by optimizing after taking the expected value of future periods



Bartos and Chester, "Impacts of Climate Change on Electric Power Supply in the Western United States." Nature Climate, August 2015



# Clean Energy Options for Sabah

an analysis of resource availability and unit cost

Tyler McNish<sup>1, 2</sup>

Prof. Daniel M. Kammen<sup>3, 4, \*</sup>

Benjamin Sovacool<sup>5</sup>

March 2010

<sup>1</sup> University of California, Berkeley Renewable and Appropriate Energy Laboratory

<sup>2</sup> University of California, Berkeley School of Law

<sup>3</sup> University of California, Berkeley Energy and Resources Group

<sup>4</sup> University of California, Berkeley Goldman School of Public Policy

<sup>5</sup> Harvard College

\* Address correspondence to Professor Kammen, Director of Rael

<http://rael.berkeley.edu/node/609>

By Jennifer Pinkowski Tuesday, Feb. 22, 2011

## Borneo Says No to Dirty Energy

# TIME Science



UNDER 2°



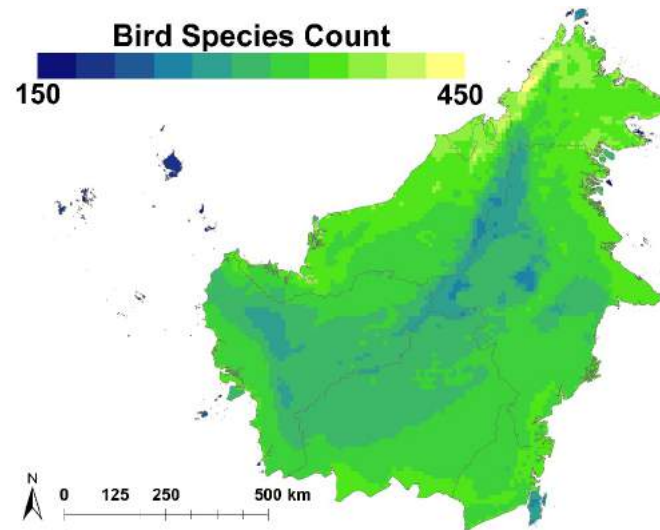
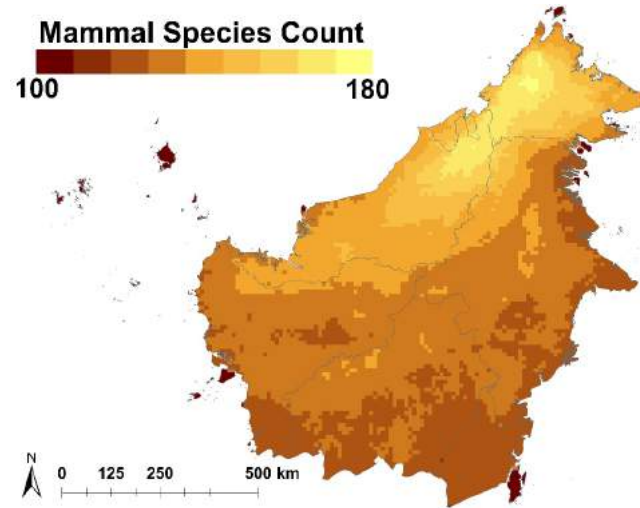
Renewable & Appropriate Energy Laboratory

**RAEL**



## Borneo Says No to Dirty Energy

Two-thirds of Bornean Bird, Mammal, Tree and Insect Species may lose habitat forever due to reservoirs





**JABATAN PEGUAM BESAR NEGERI SARAWAK**  
(SARAWAK STATE ATTORNEY-GENERAL'S CHAMBERS)

TINGKAT 15 & 16,  
WISMA BAPA MALAYSIA,  
PETRA JAYA, 93502 KUCHING,  
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Faks: 082-440525/444537

Laman Web: [www.sag.sarawak.gov.my](http://www.sag.sarawak.gov.my)



Our Ref. : CS/MYY/001(W)/C-2015

Date : 15<sup>th</sup> March, 2016

Your Ref. : Please advice

**Messrs Harrison Ngau & Co. Advocates**

Lot 1046, 1st Floor,  
Shang Garden Commercial Centre,  
Jalan Bulan Sabit, 98000 Miri,  
Sarawak

By Fax 085-421236 only

Dear Sirs,

**Re: In the High Court in Sabah and Sarawak at Miri**

**Suit No. MYY-21NCvC-1/2015**

**Plaintiffs : Tama Wing Kalang & 3 Ors**

**Defendants : Superintendent of Lands and Surveys Miri Division & 2 Ors**

We refer to the above matter and "The Land (Native Customary Rights) (No.53) 2014 Direction".

2. We are please to inform you that the above mentioned Direction has been revoked vide "The Land Native Customary Rights (No.2) (Revocation) Direction 2016" published on 18<sup>th</sup> February, 2016 in the Sarawak Government Gazette under G.N. 569. We forward herewith a copy of the Gazette for your record and further action.

Thank you.

**"BERSATU BERUSAHA BERBAKTI"**  
**"AN HONOUR TO SERVE"**

[MA XIANG RUI]

# Energy Storage is Not Just Batteries

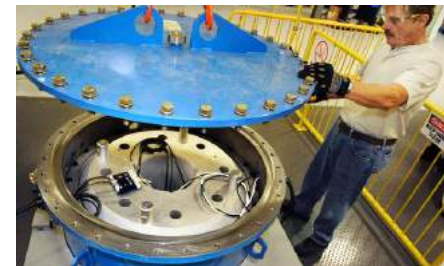
Natural gas (without & with storage)



Traditional and pumped hydropower



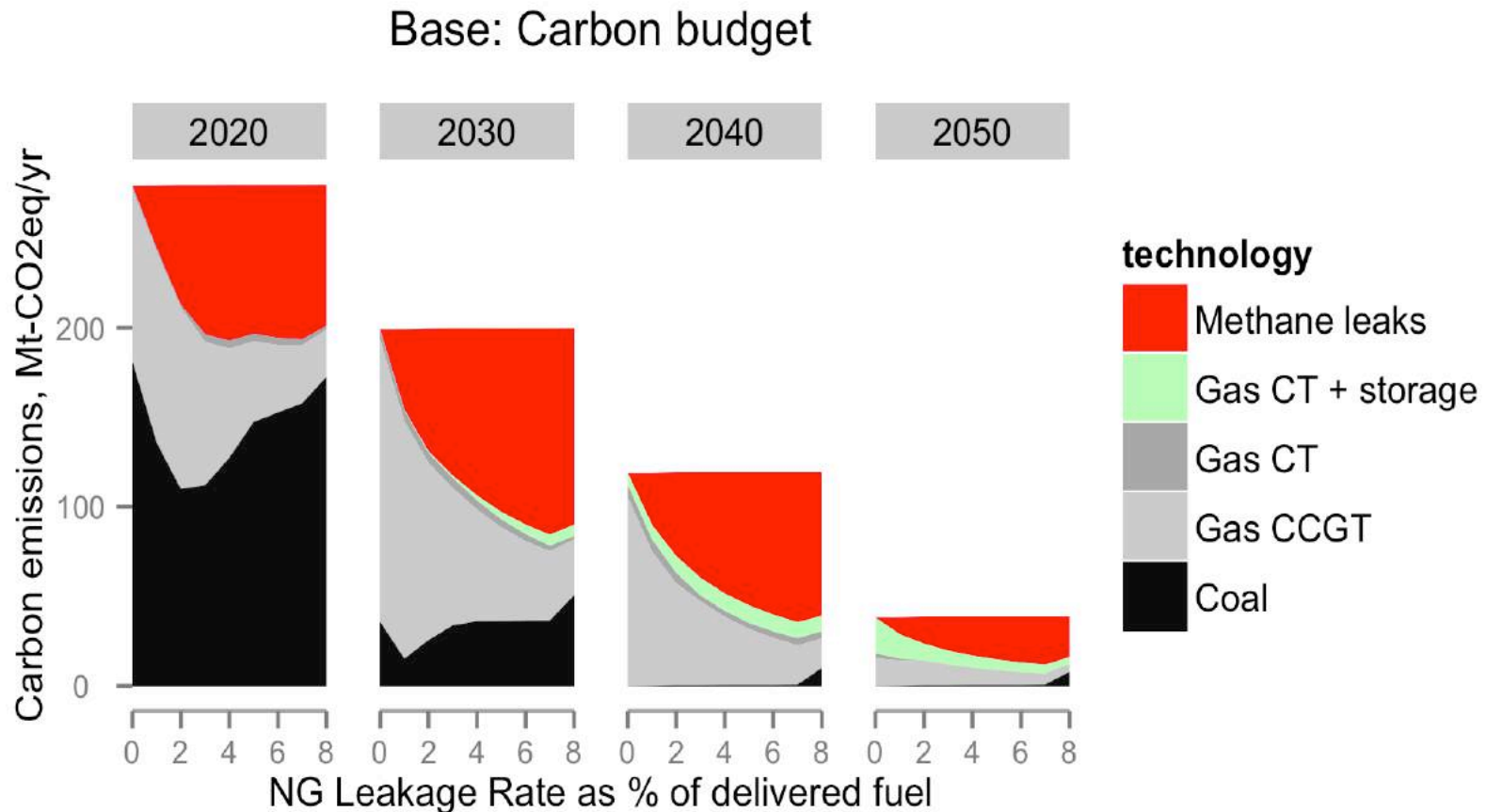
Flywheels



Flow batteries



# Example: the impact of Natural Gas Leakage on carbon budgets

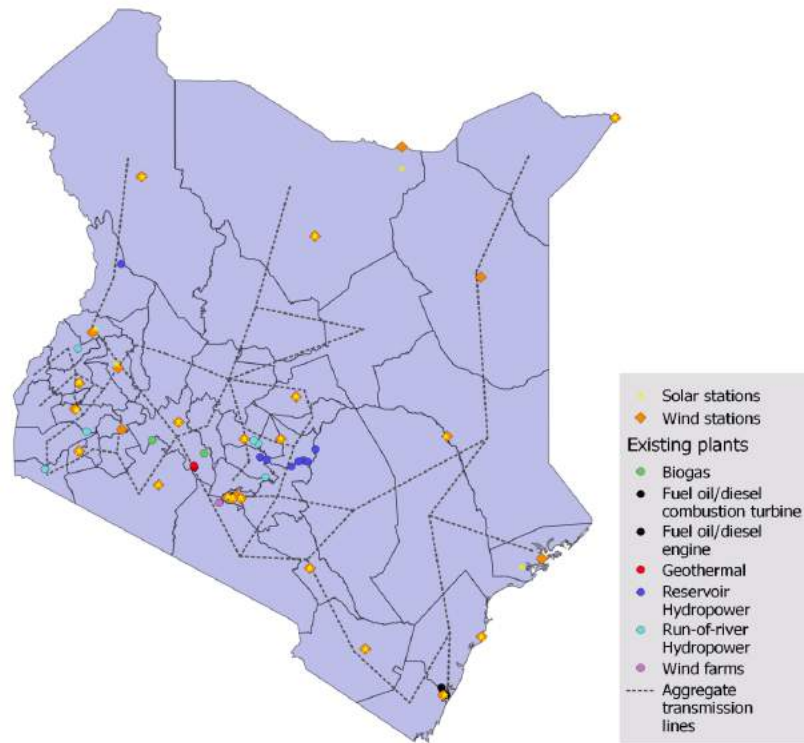


Johnstone and Kammen, 2017 in press



# Results - Kenya

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<http://rael.berkeley.edu>

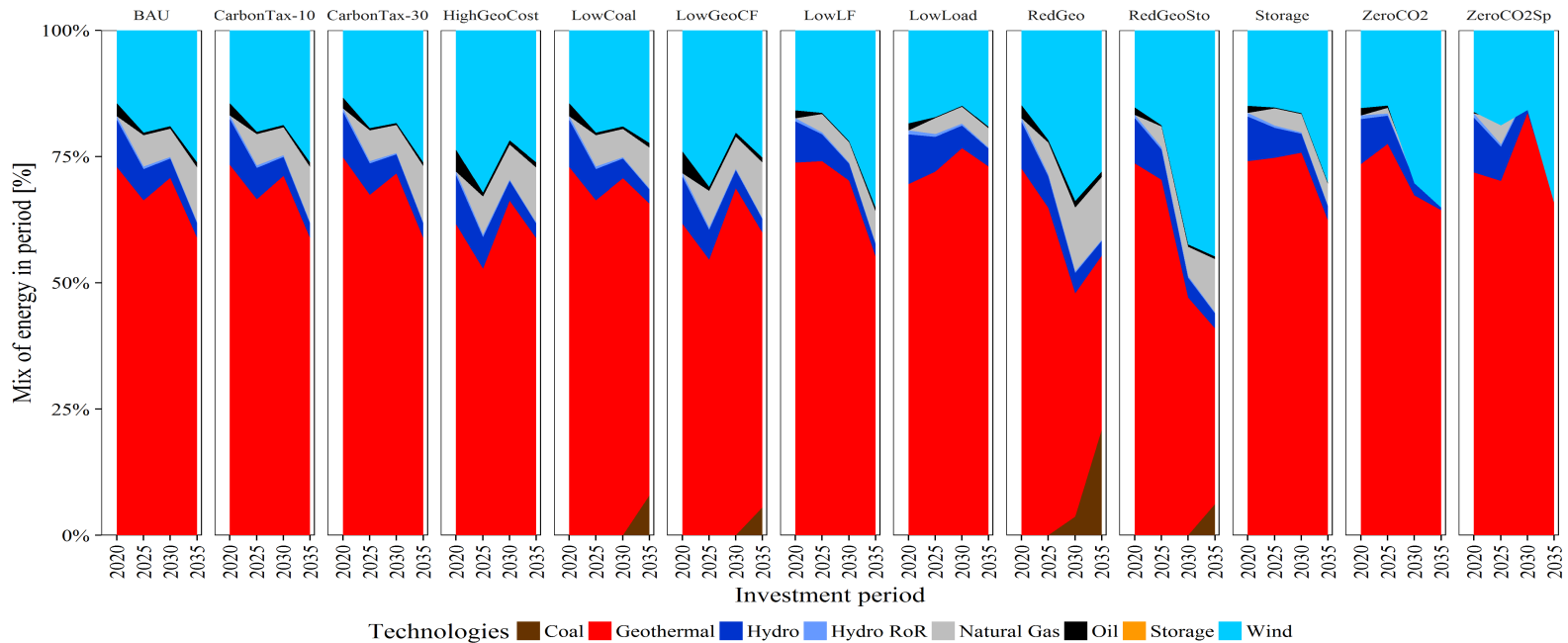
# East African Rift Valley is currently the world's most active geothermal development zone



- 10MW test well at Olkaria field in Hell's Gate National Park, Kenya
- KenGen's first plant commissioned in 1985 (45MW) – now over 300MW at Olkaria

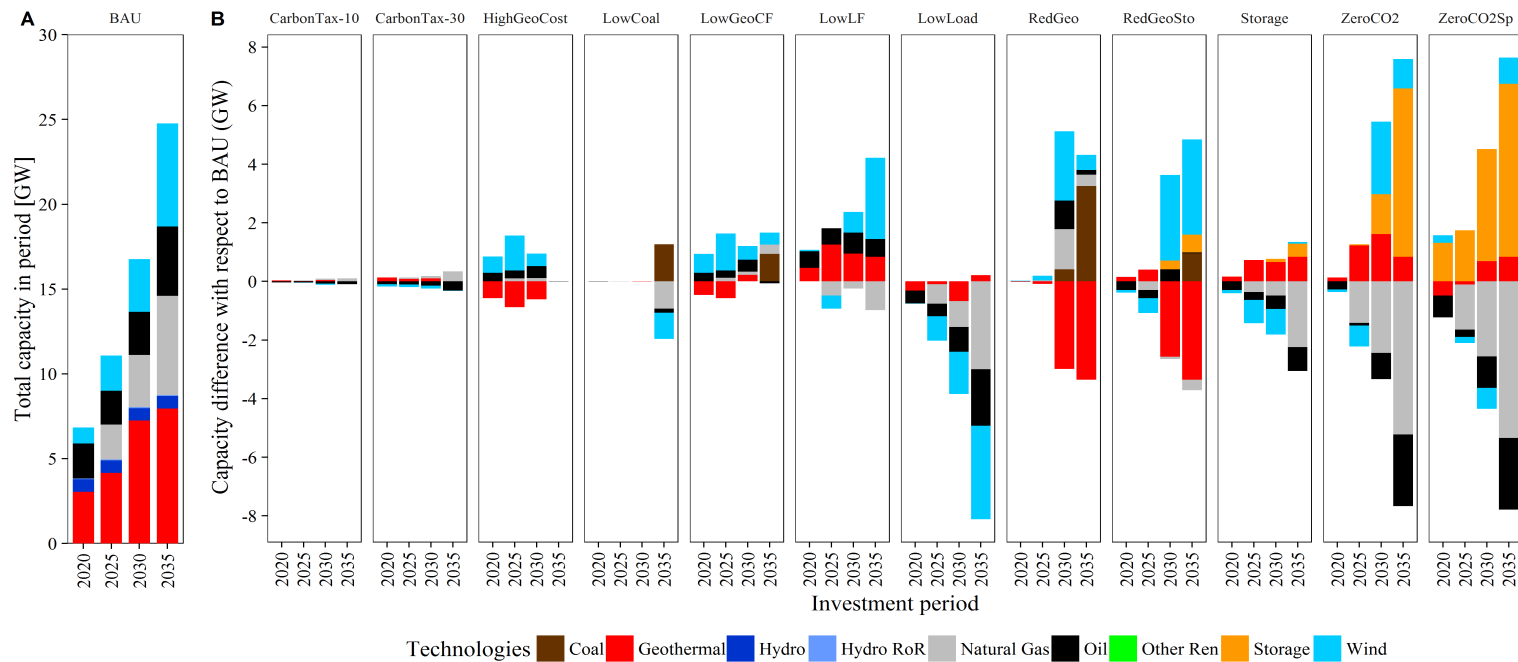


# Results – Kenya (Energy mix for each scenario )



<http://rael.berkeley.edu>

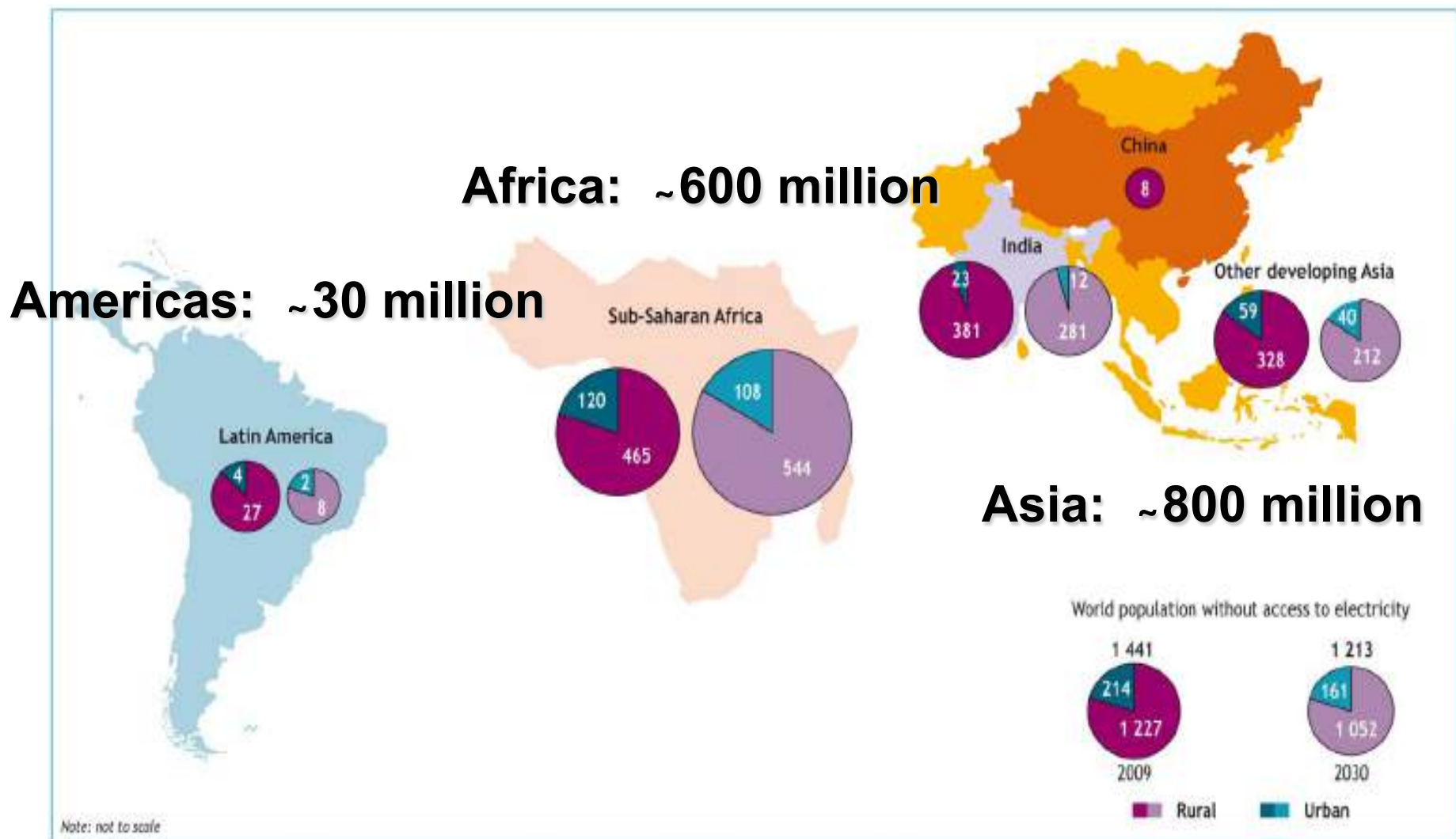
# Kenya (Cumulative generation capacity expansion)



<http://rael.berkeley.edu>



# Unelectrified People (and fuel based lighting users) in Asia is Even Higher than in Africa



The boundaries and names shown and the designations used on maps included in this publication do not imply official endorsement or acceptance by the IEA.

Source: IEA, 2010 World Energy Outlook

# Fuel Based Lighting: Displacing the Incumbent in Low-Income Areas

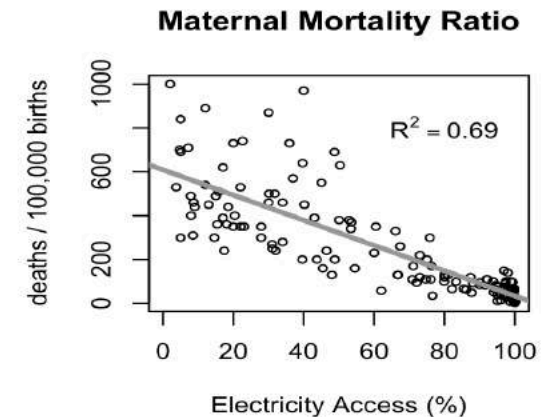
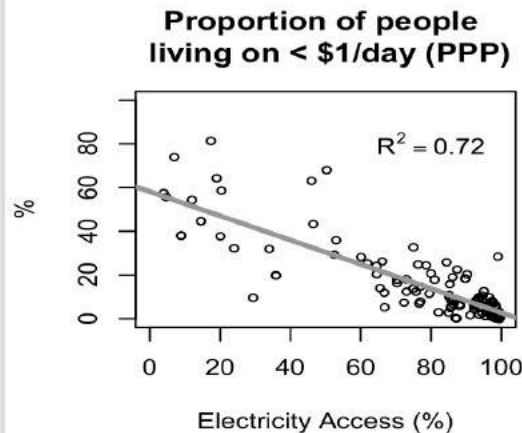
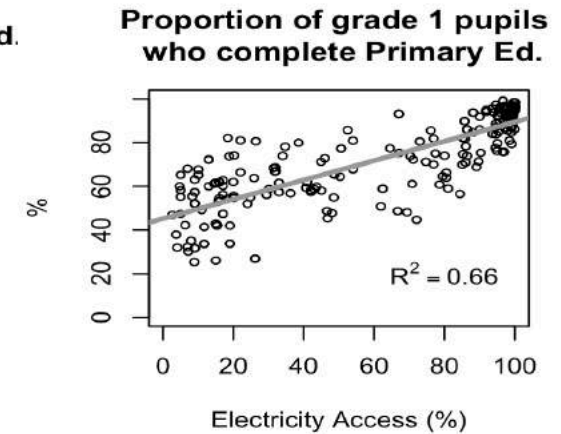
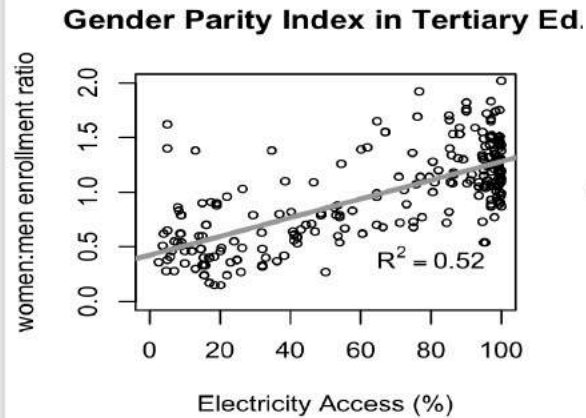
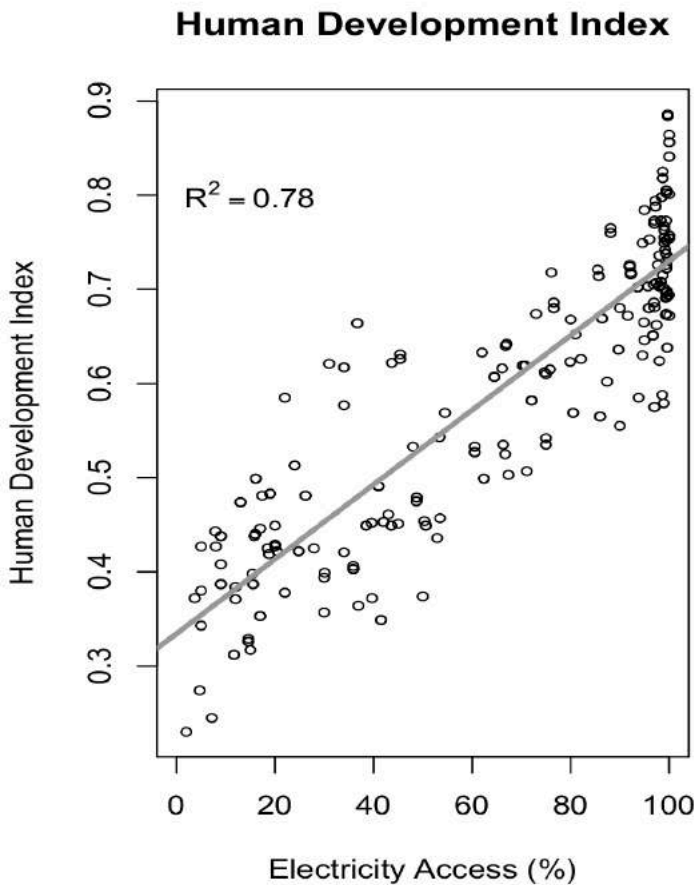
Fuel Based Lighting : Expensive, Unhealthy, and Inefficient



Kerosene for lighting is a \$25 billion per year industry globally (source: UNEP, 2013)



# Quantitative Assessments of the Sustainable Development Indicators



Alstone, Gershenson & Kammen, *Nature Climate Change*, 2015



# Off-grid Electricity Enabled by Storage and Efficient Lights, but ...

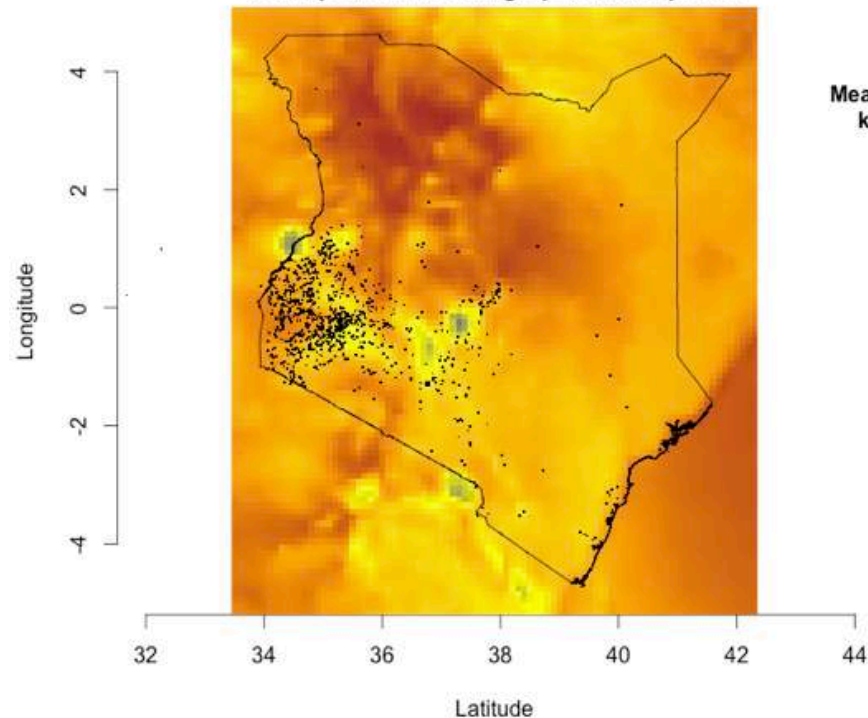


**Impossible without secure mobile money**

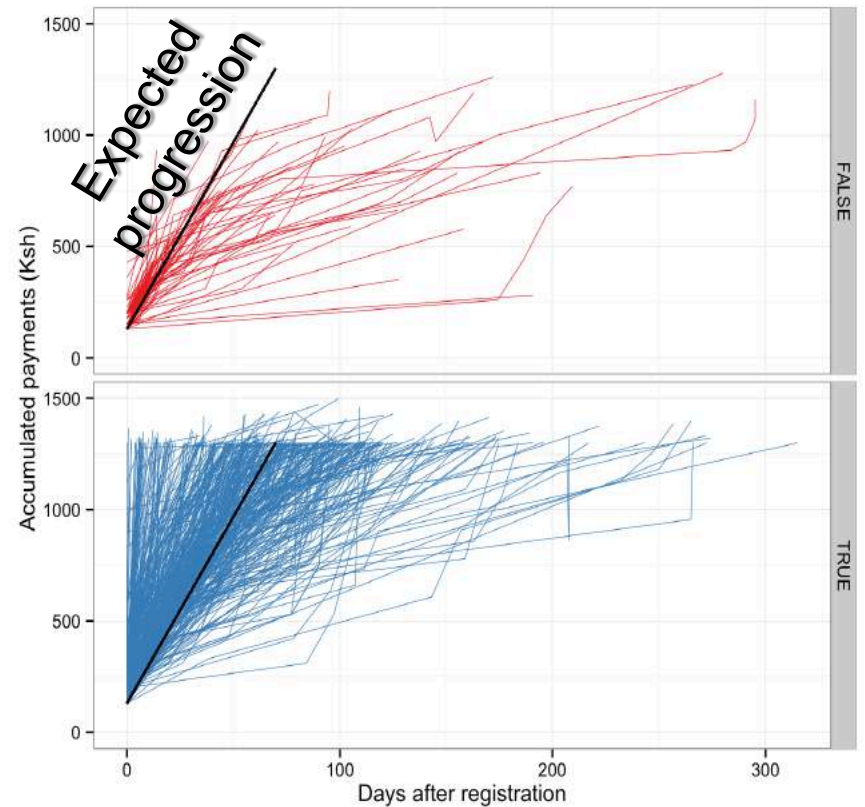
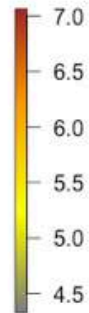


# Information Technology Enables Transformative Energy Access Technologies

All SHS with data (n=1025) marked on a map with satellite-derived estimates of solar potential during operations period



Mean Solar Ob:  
kWh/m<sup>2</sup>/day



# Information Technology Enables Transformative Energy Access Technologies



# The Tragedy of the Commons

The population problem has no technical solution;  
it requires a fundamental extension in morality.

Garrett Hardin

At the end of a thoughtful article on the future of nuclear war, Wiesner and York (1) concluded that: "Both sides in the arms race are . . . confronted by the dilemma of steadily increasing military

security. *It is our considered professional judgment that this dilemma has no technical solution.* If the great pow-

the area of science and technology only, the result will be to worsen the situation."

sional judgment. . . ." Whether they were right or not is not the concern of the present article. Rather, the concern here is with the important concept of a class of human problems which can be called "no technical solution problems," and, more specifically, with the identification and discussion of one of these.

It is easy to show that the class is not a null class. Recall the game of tick-tack-toe. Consider the problem, "How can I win the game of tick-tack-toe?" It is well known that I cannot, if I as-

## What Shall We Maximize?

Population, as Malthus said, naturally tends to grow "geometrically," or, as we would now say, exponentially. In a finite world this means that the per capita share of the world's goods must steadily decrease. Is ours a finite world?

A fair defense can be put forward for the view that the world is infinite; or that we do not know that it is not. But, in terms of the practical problems that we must face in the next few generations with the foreseeable technology, it is clear that we will greatly increase human misery if we do not, during the immediate future, assume that the world available to the terrestrial human population is finite. "Space" is no escape (2).

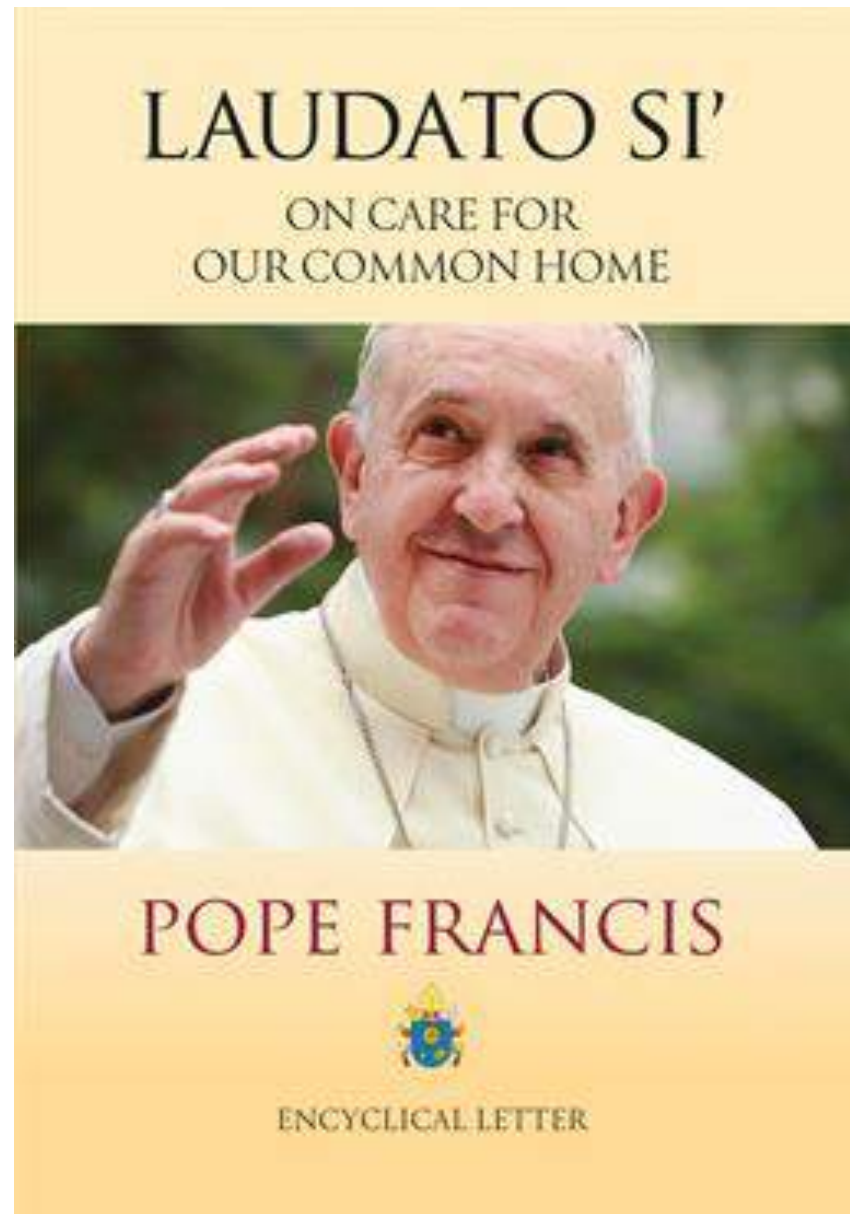
A finite world can support only a finite population; therefore, population growth must eventually equal zero. (The case of perpetual wide fluctuations above and below zero is a trivial variant that need not be discussed.) When this condition is met, what will be the situation of mankind? Specifically, can Bentham's goal of "the greatest good for the greatest number" be realized?

No—for two reasons, each sufficient



# **Laudato Si'**

## **Encyclical Letter on Care for our Common Home**





# Spatial Distribution of U.S. Household Carbon Footprints Reveals Suburbanization Undermines Greenhouse Gas Benefits of Urban Population Density

Christopher Jones<sup>\*,†</sup> and Daniel M. Kammen<sup>\*,†,‡,§</sup>

<sup>†</sup>Energy and Resources Group, <sup>‡</sup>Goldman School of Public Policy, and <sup>§</sup>Department of Nuclear Engineering, University of California, Berkeley, California 94720, United States

**<http://coolclimate.berkeley.edu/maps>**



Renewable & Appropriate Energy Laboratory

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UNIVERSITY OF CALIFORNIA

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## TAKE ACTION TO KEEP THE PLANET COOL



**WELCOME TO COOLCALIFORNIA.org**, our goal is to provide resources to all Californians in order to reduce their environmental impact and take action to stop climate change. Realizing local governments, businesses, schools and individuals have different needs, we have customized pages for each audience. Click the tabs above to find:

- Money saving actions and best practices
- Financial incentives for actions and projects
- Carbon footprint and greenhouse gas emissions calculation tools
- Case studies and Success stories
- Educational resources

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- Household Actions
- About Us
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- Small Business Award Program

## Recent Case Studies

- Diamond D General Engineering  
Heavy civil general engineering construction company...
- The Living Christmas Company



INTRODUCTION



TRANSPORTATION



HOUSING



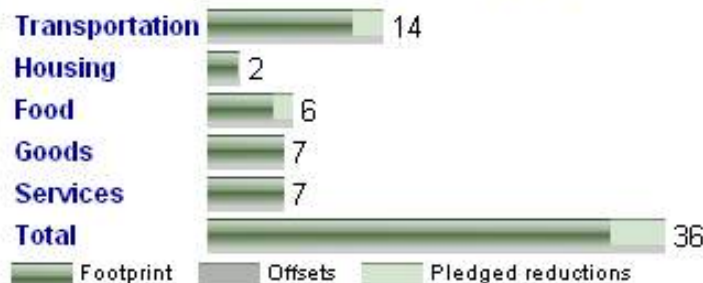
SHOPPING



SUMMARY



TAKE ACTION

**Carbon Footprint Summary** (tons CO<sub>2</sub>e / year)**Climate Action Plan Summary**

MY CURRENT FOOTPRINT	41	100%
Pledged reductions	5	12%
Offsets	0	0%
MY NEW FOOTPRINT	36	88%
financial savings per yr	\$2223	
10 year net savings	\$20321	
Payback	0.9	

1) Click [view / hide](#) 2) Pledge 3) [Save](#)☐ Assumptions☐ Pledge allmt CO<sub>2</sub>e/yr  
reduced\$ / yr  
saved10 year net  
savings

✓	<a href="#">view</a>	Buy a More Efficient Vehicle	1.86	\$500	\$3000
✓	<a href="#">view</a>	Telecommute to Work	1.07	\$528	\$5280
	<a href="#">view</a>	Ride my Bike	0.58	\$156	\$1560
	<a href="#">view</a>	Take Public Transportation	0.47	\$156	\$1560
	<a href="#">view</a>	Practice Eco-Driving	0.93	\$249	\$2490
	<a href="#">view</a>	Maintain my Vehicles	0.71	\$190	\$1900
	<a href="#">view</a>	Reduce Air Travel	0.45	\$100	\$1000
	<a href="#">view</a>	Offset Remaining Transportation Footprint	13.07	\$-261	\$-2610
✓	<a href="#">view</a>	Switch to CFLs	0.18	\$63	\$721
	<a href="#">view</a>	Turn Down Thermostat in Winter	0.52	\$95	\$950
	<a href="#">view</a>	Turn up Thermostat in Summer	0.15	\$54	\$540
	<a href="#">view</a>	Choose an Energy Star Refrigerator	0.05	\$17	\$140
	<a href="#">view</a>	Dry your Clothes on the Line	0.22	\$75	\$750
	<a href="#">view</a>	Purchase Green Electricity	0	\$0	\$0



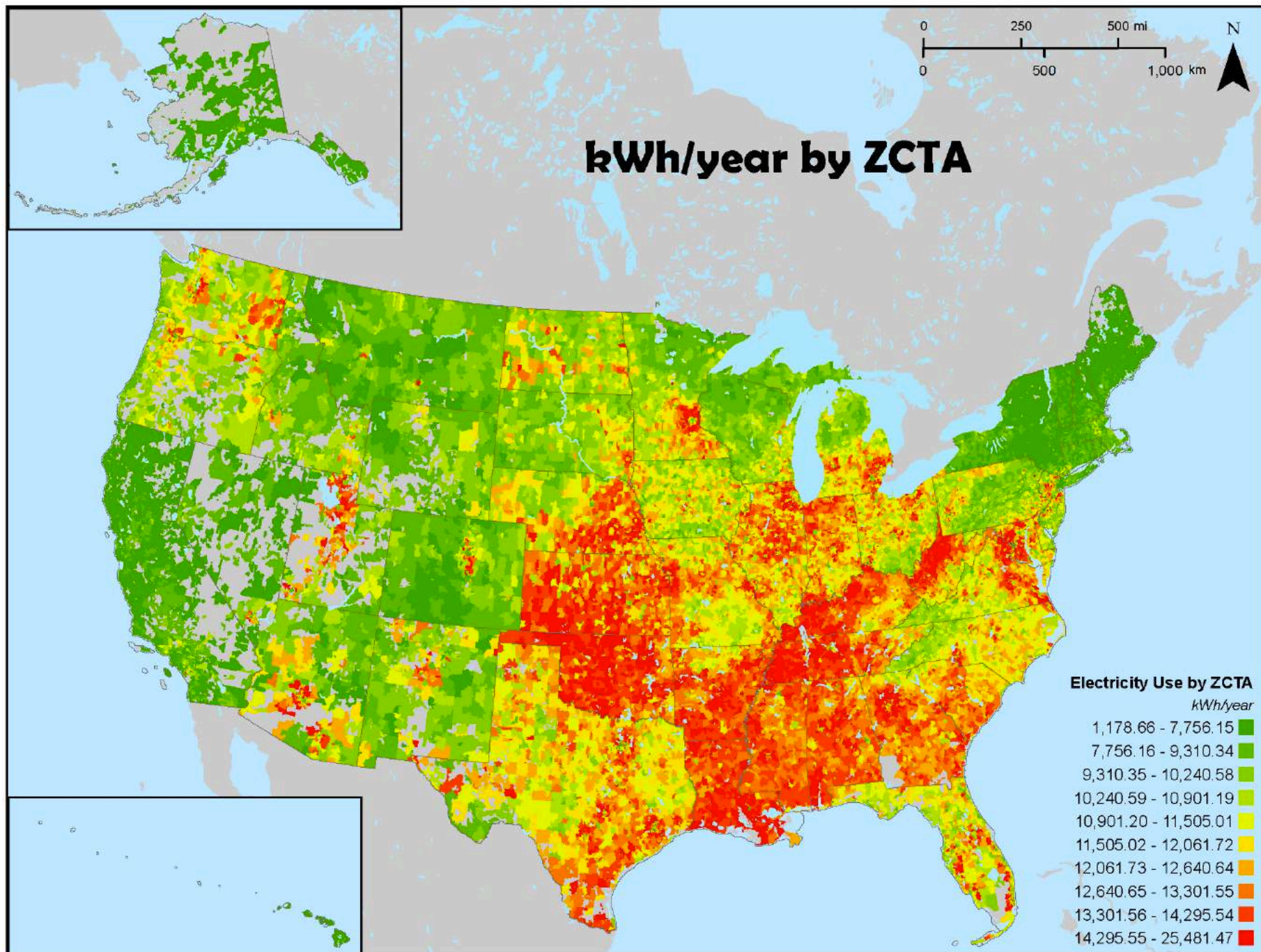
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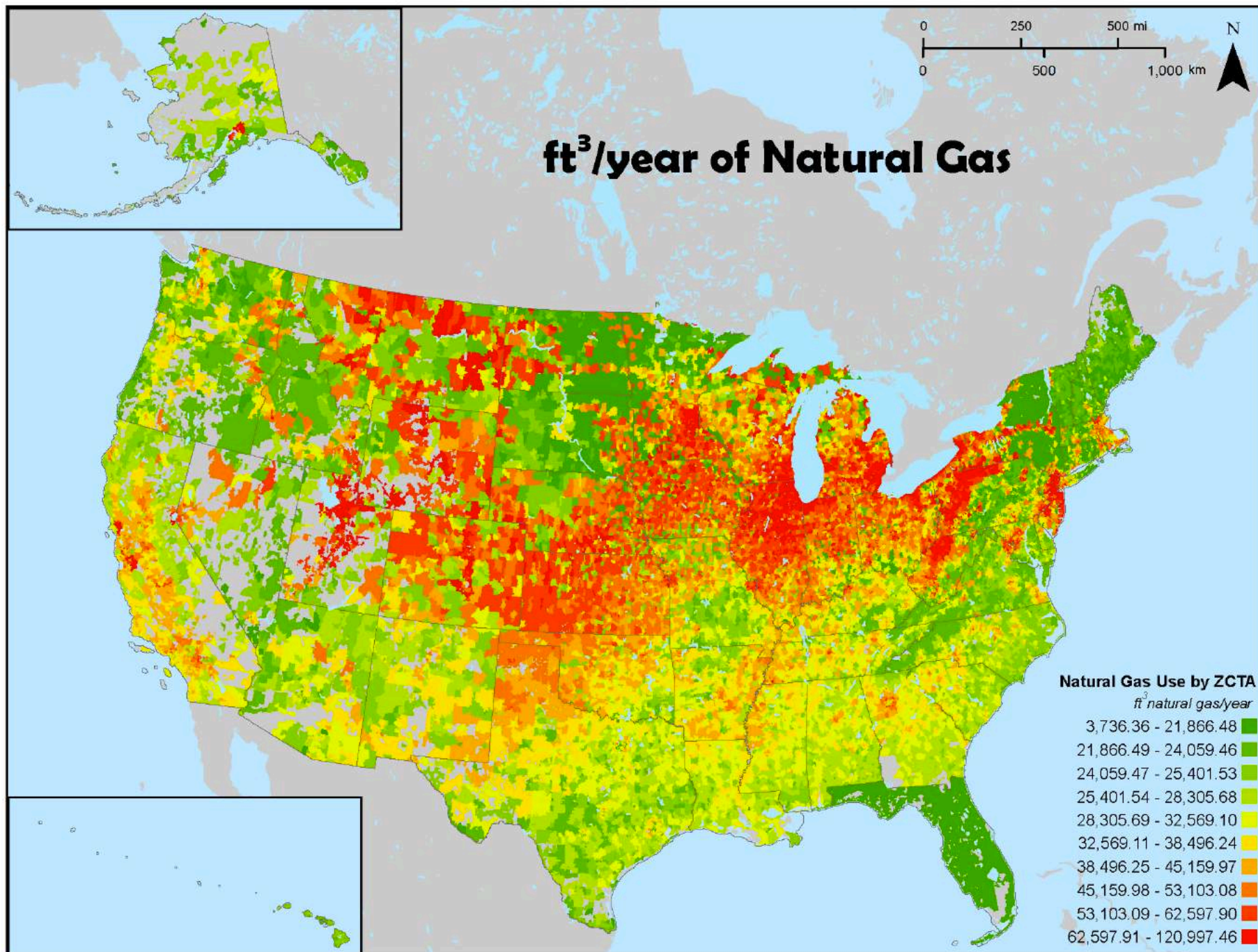
<sup>†</sup>Energy and Resources Group, <sup>‡</sup>Goldman School of Public Policy, and <sup>§</sup>Department of Nuclear Engineering, University of California, Berkeley, California 94720, United States

**<http://coolclimate.berkeley.edu/maps>**

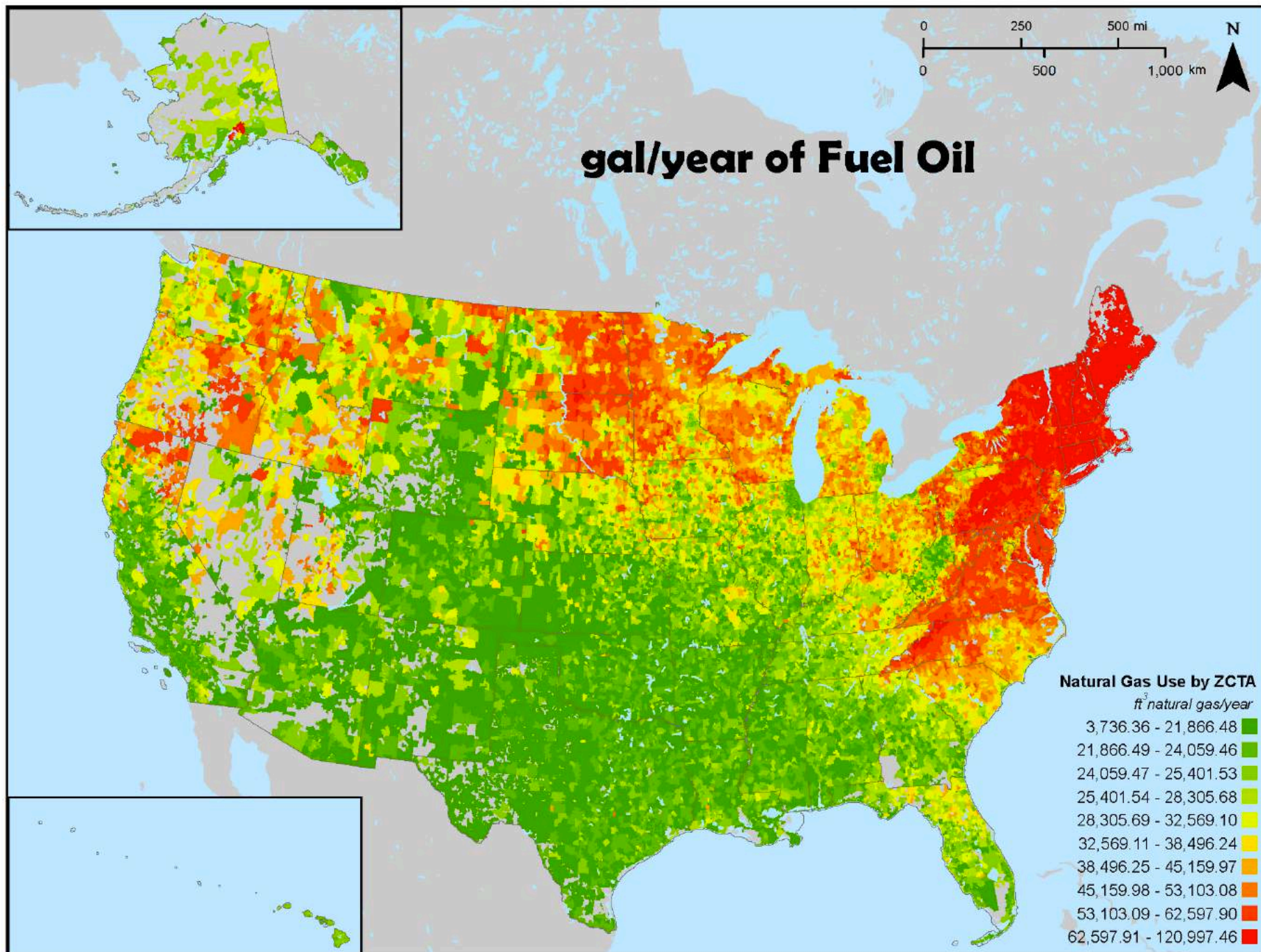




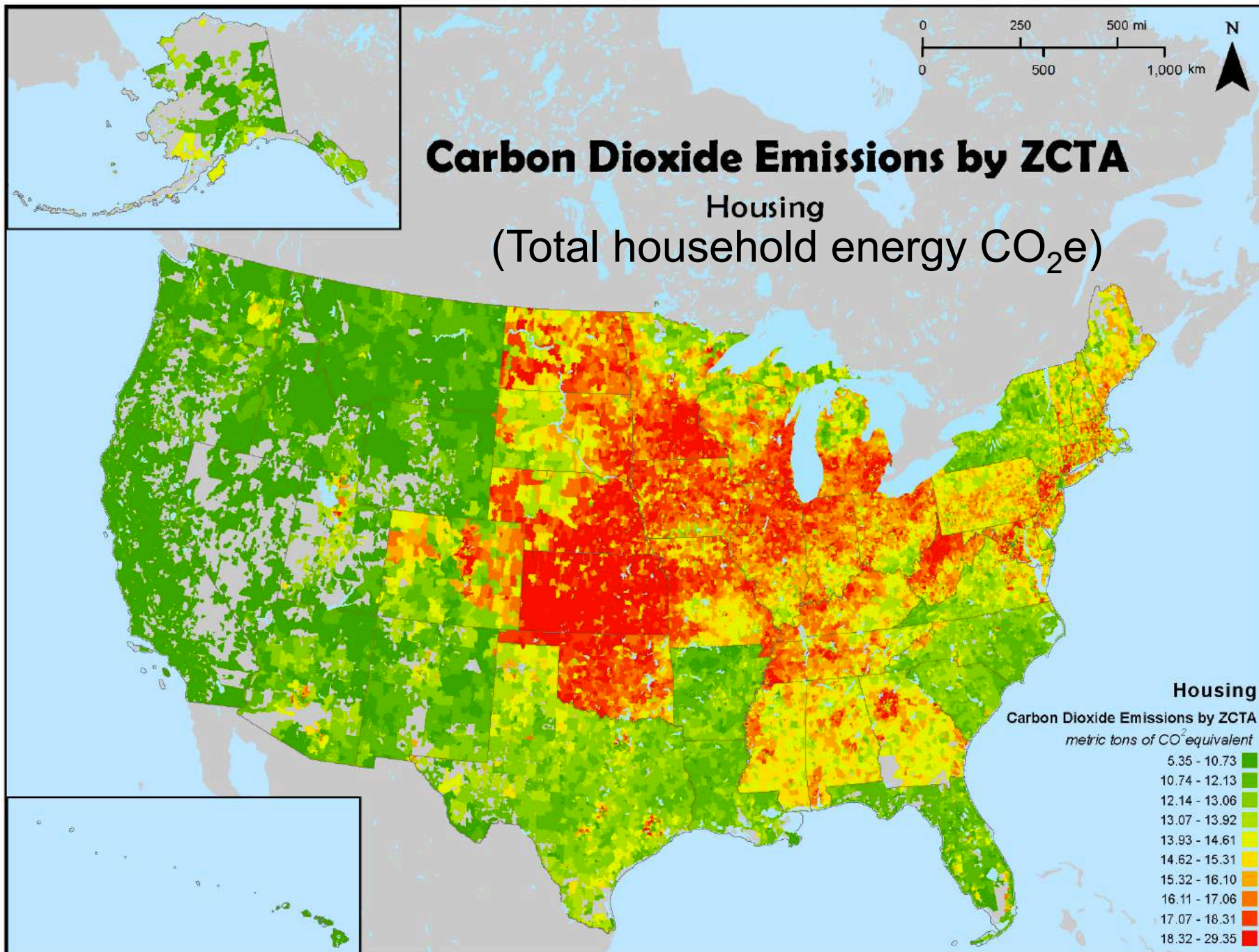




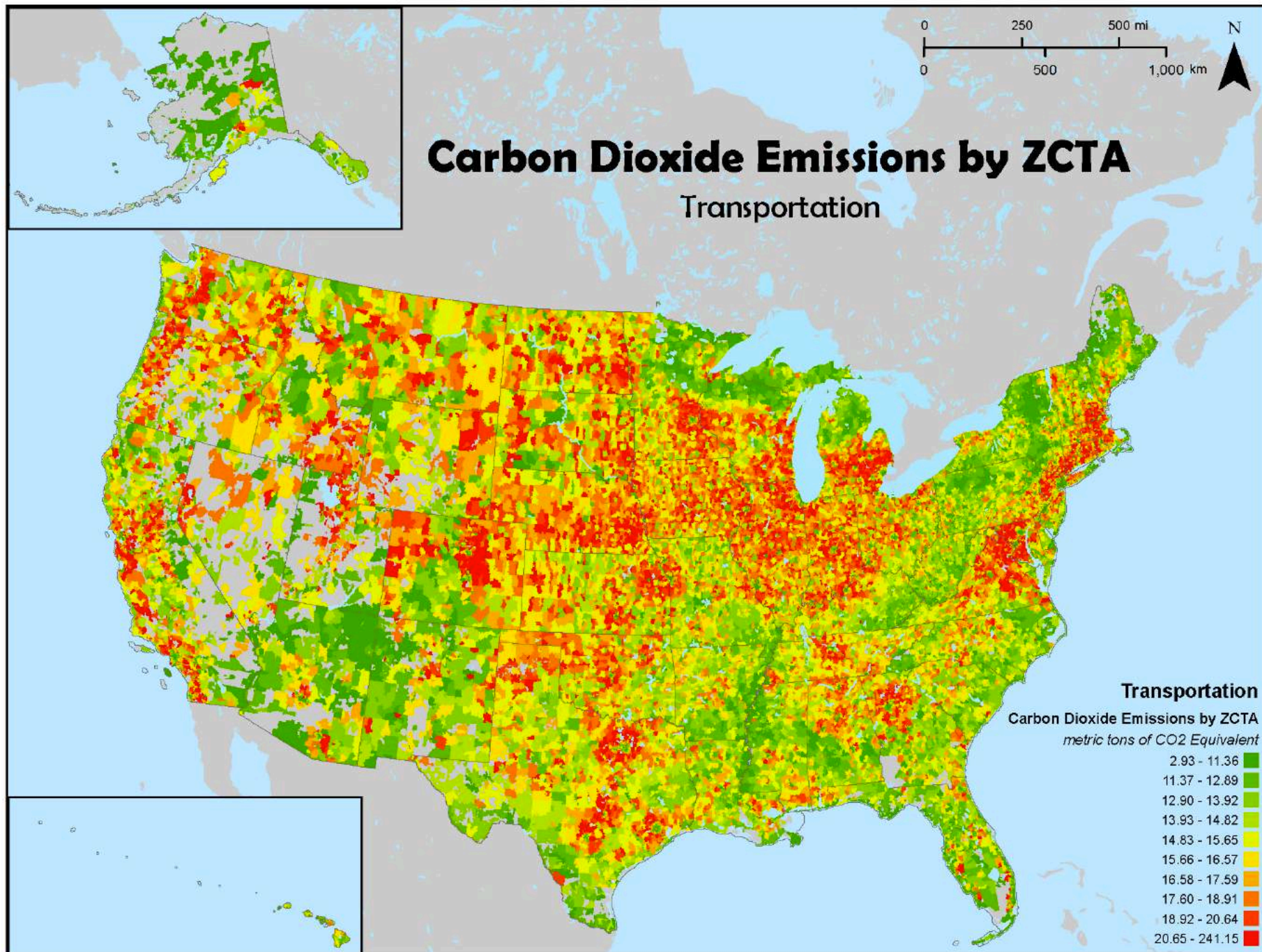




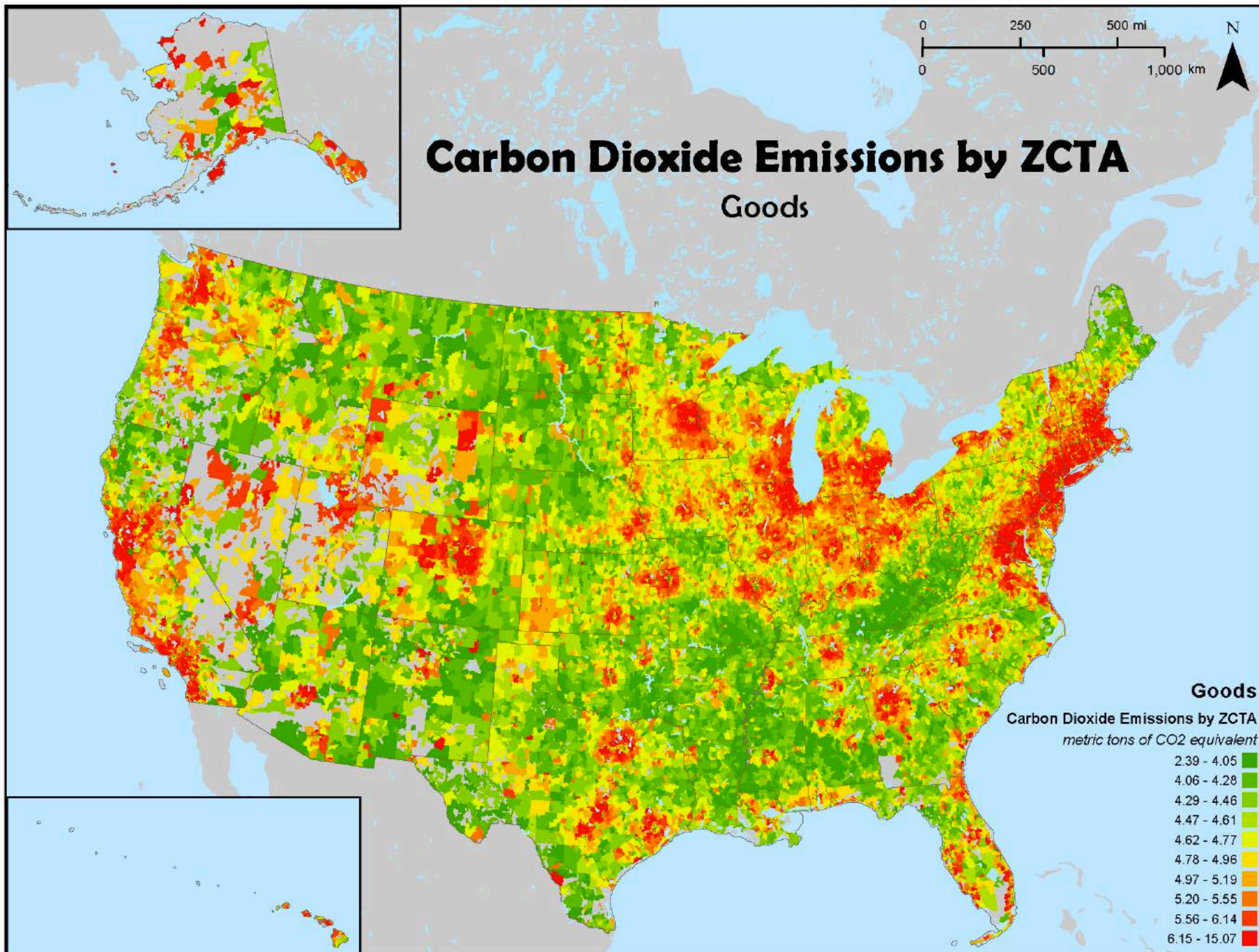




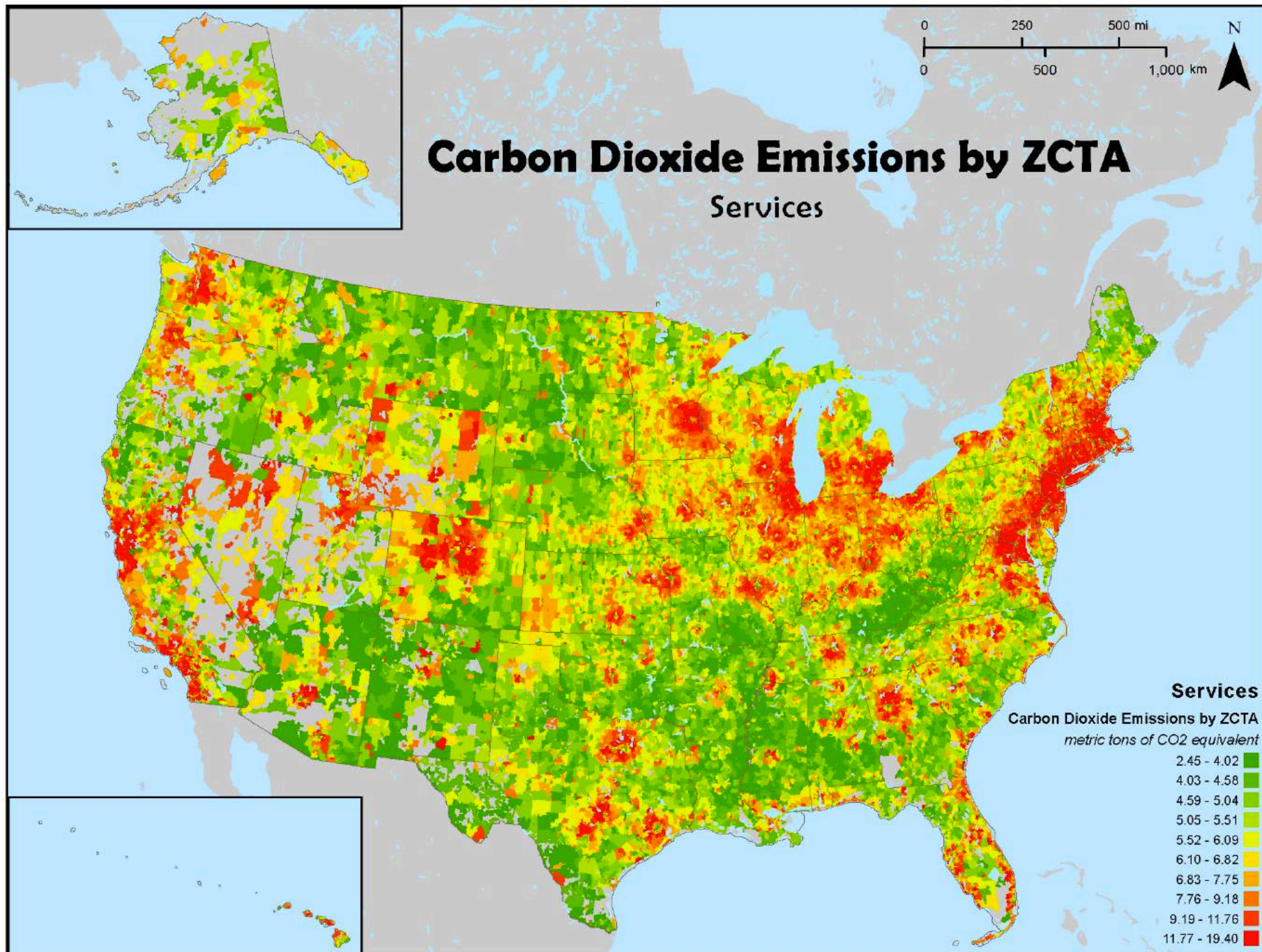




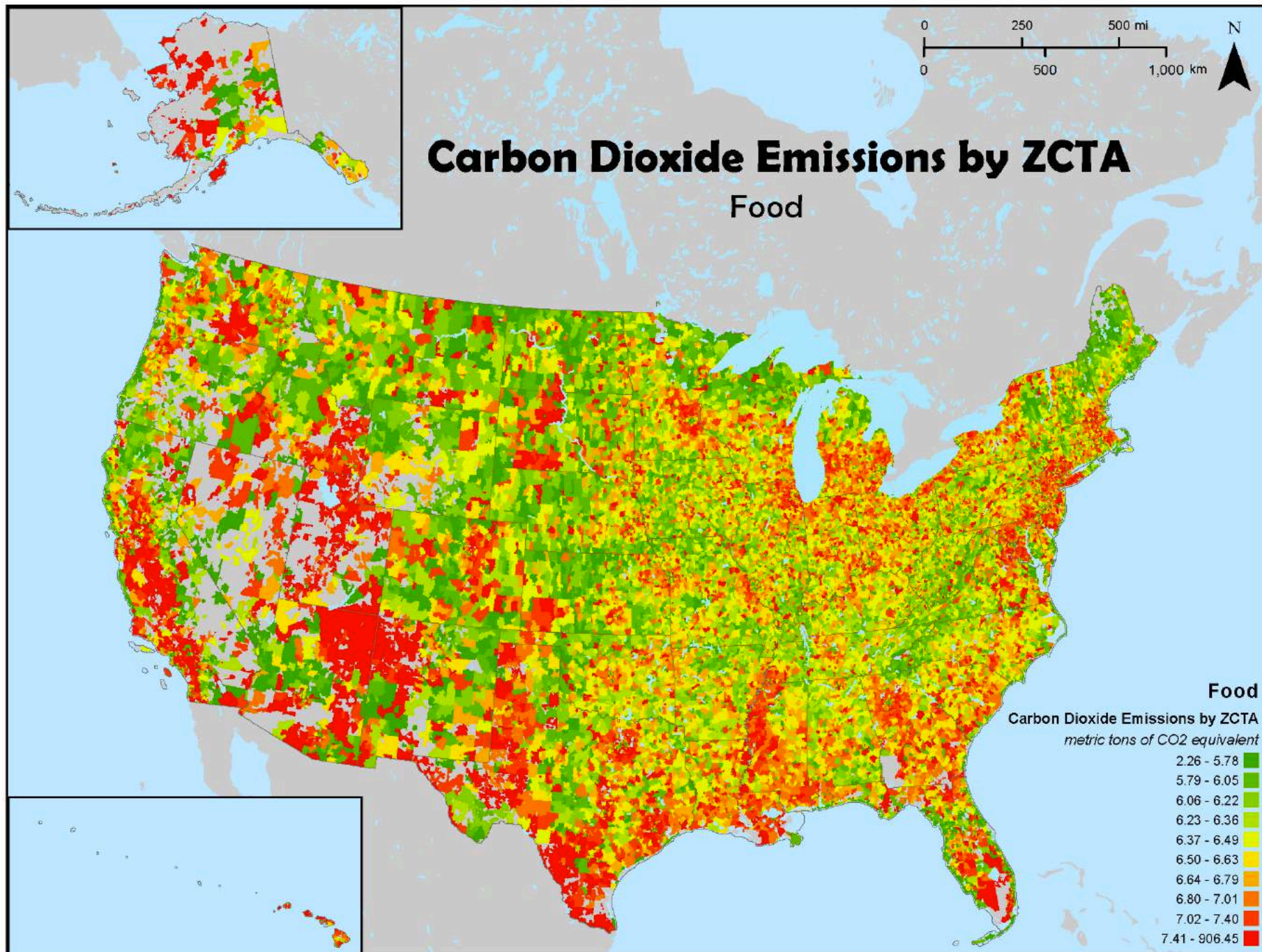




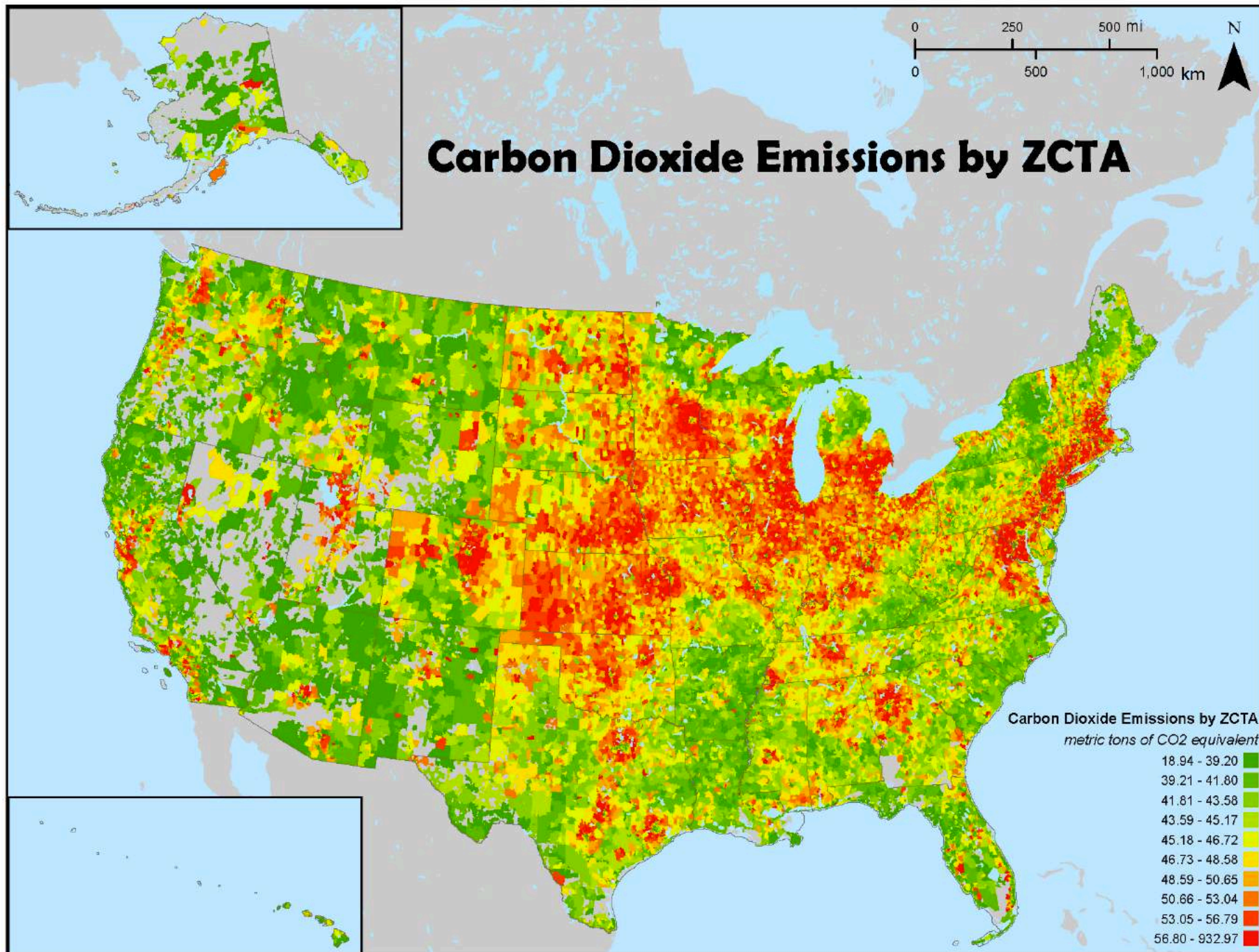






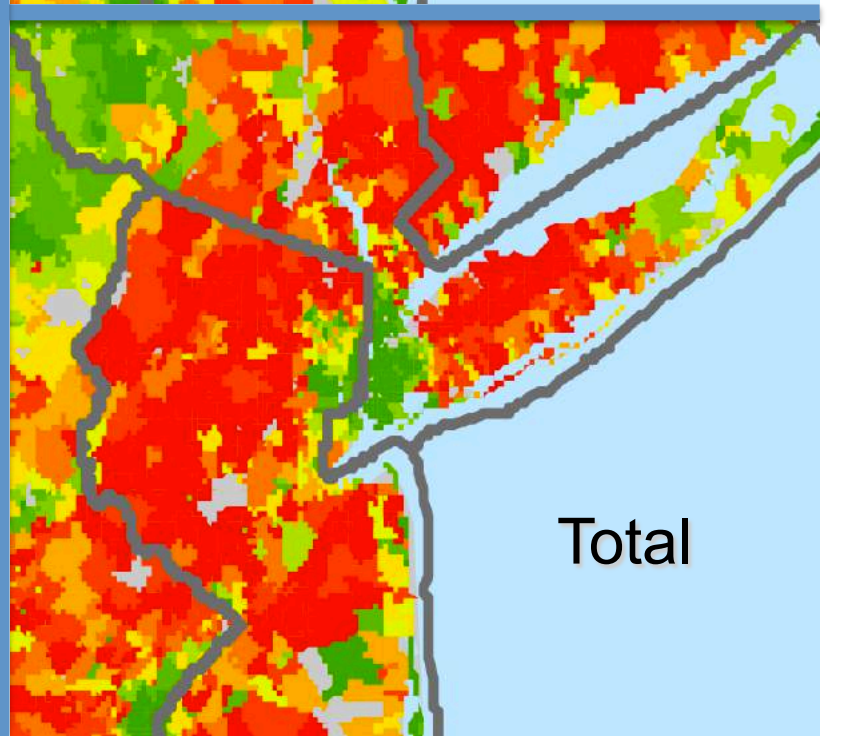
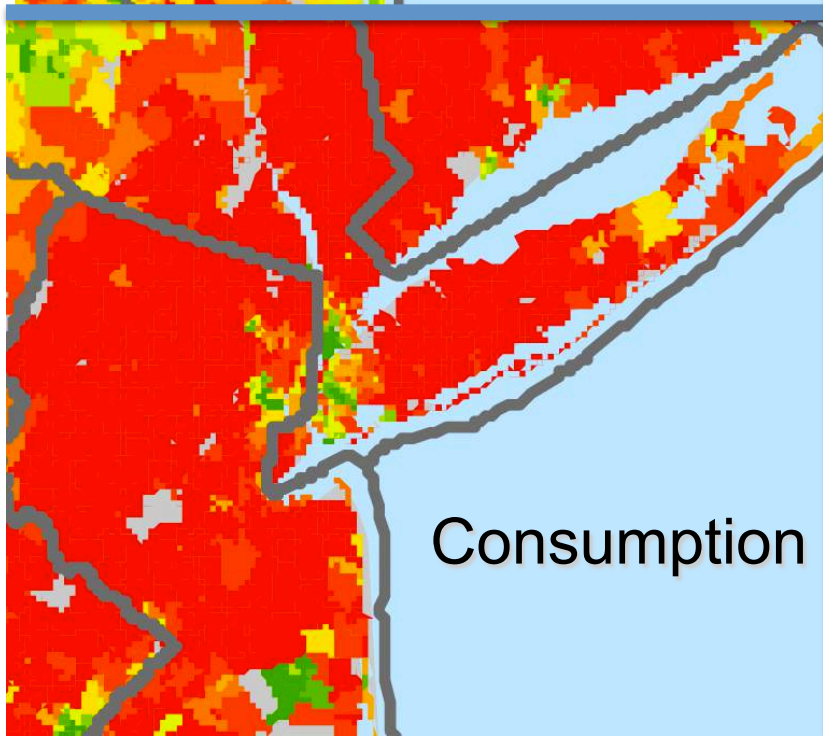
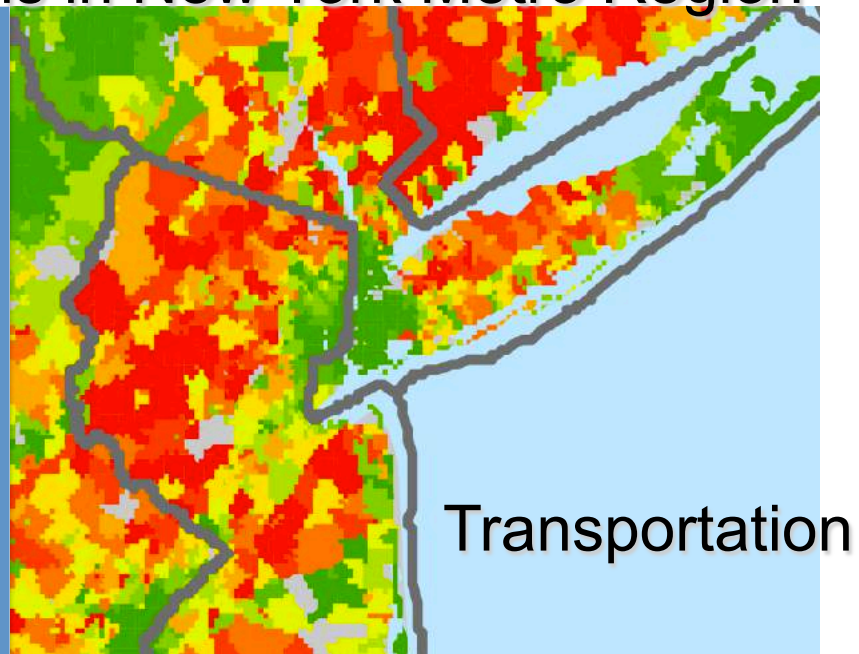
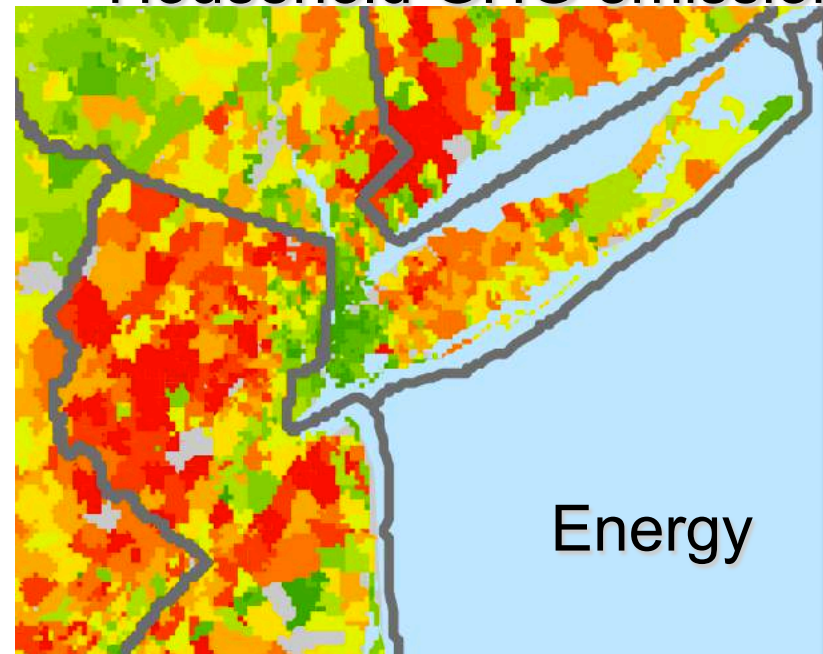






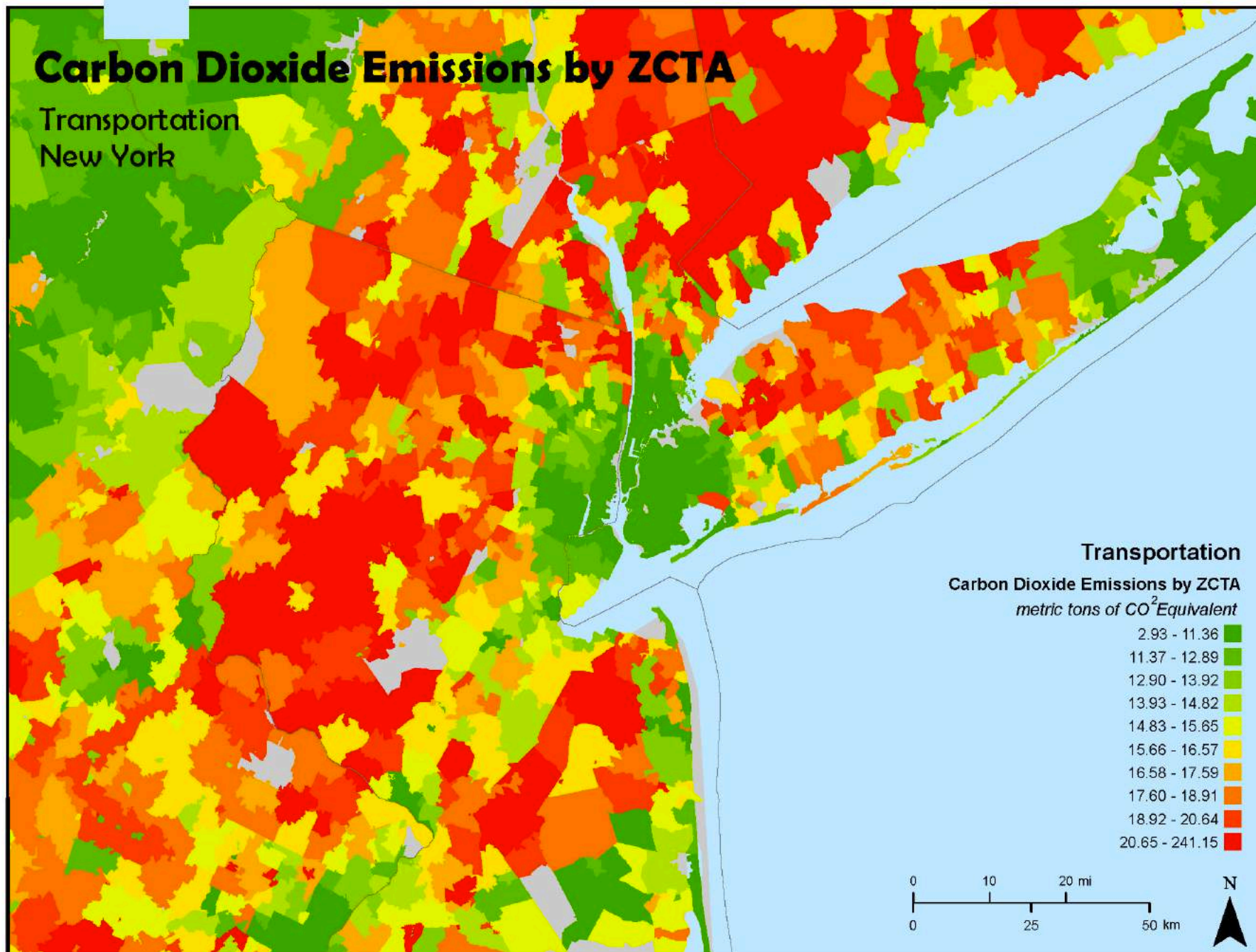


# Household GHG emissions in New York Metro Region



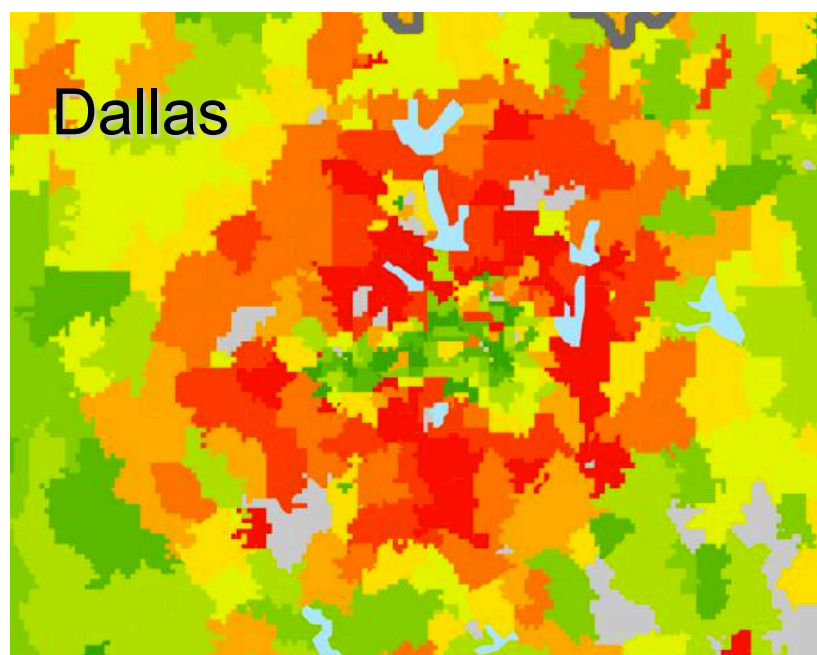
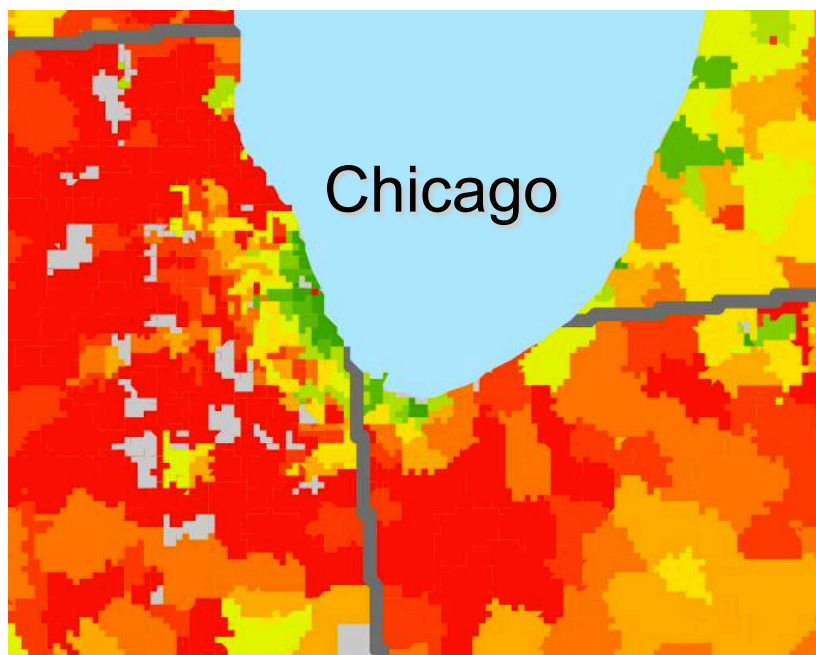
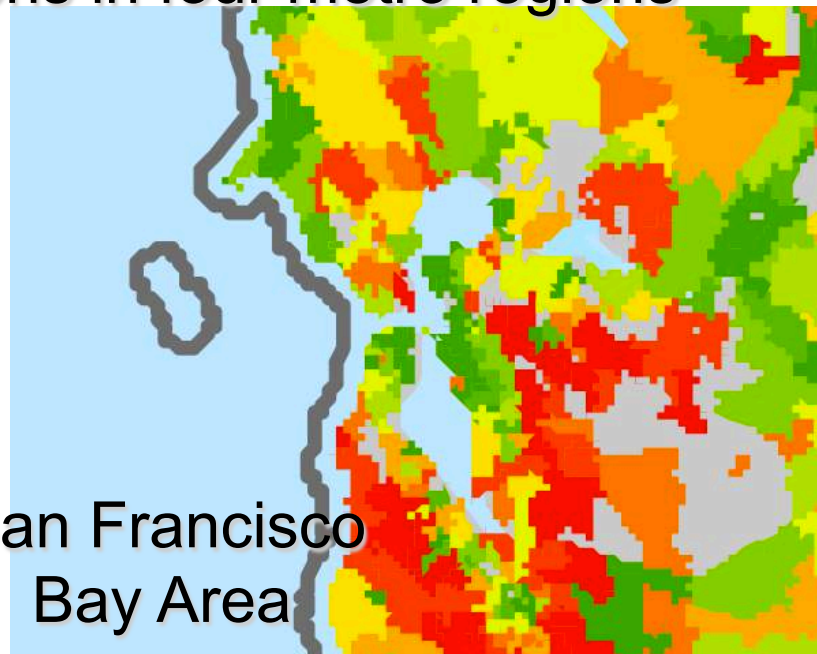
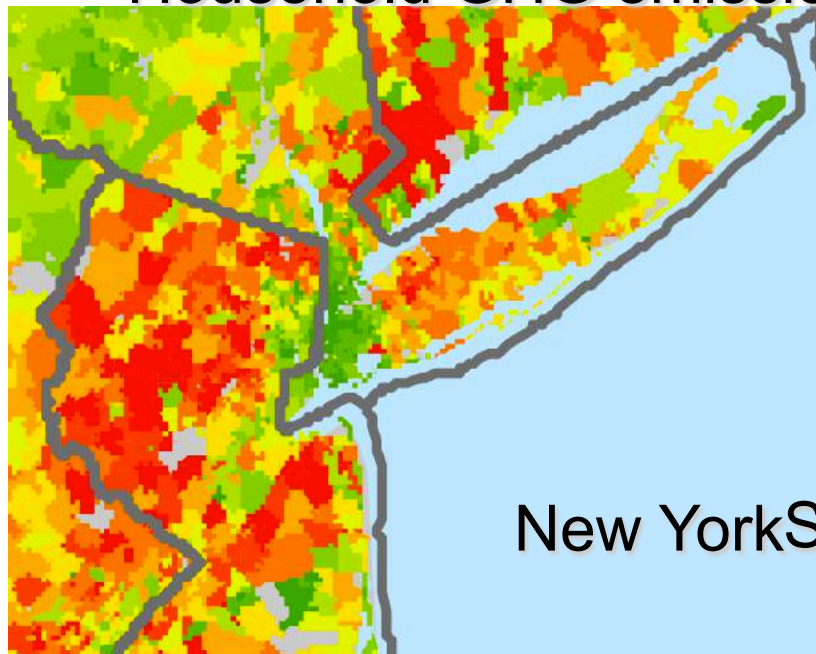
# Carbon Dioxide Emissions by ZCTA

Transportation  
New York

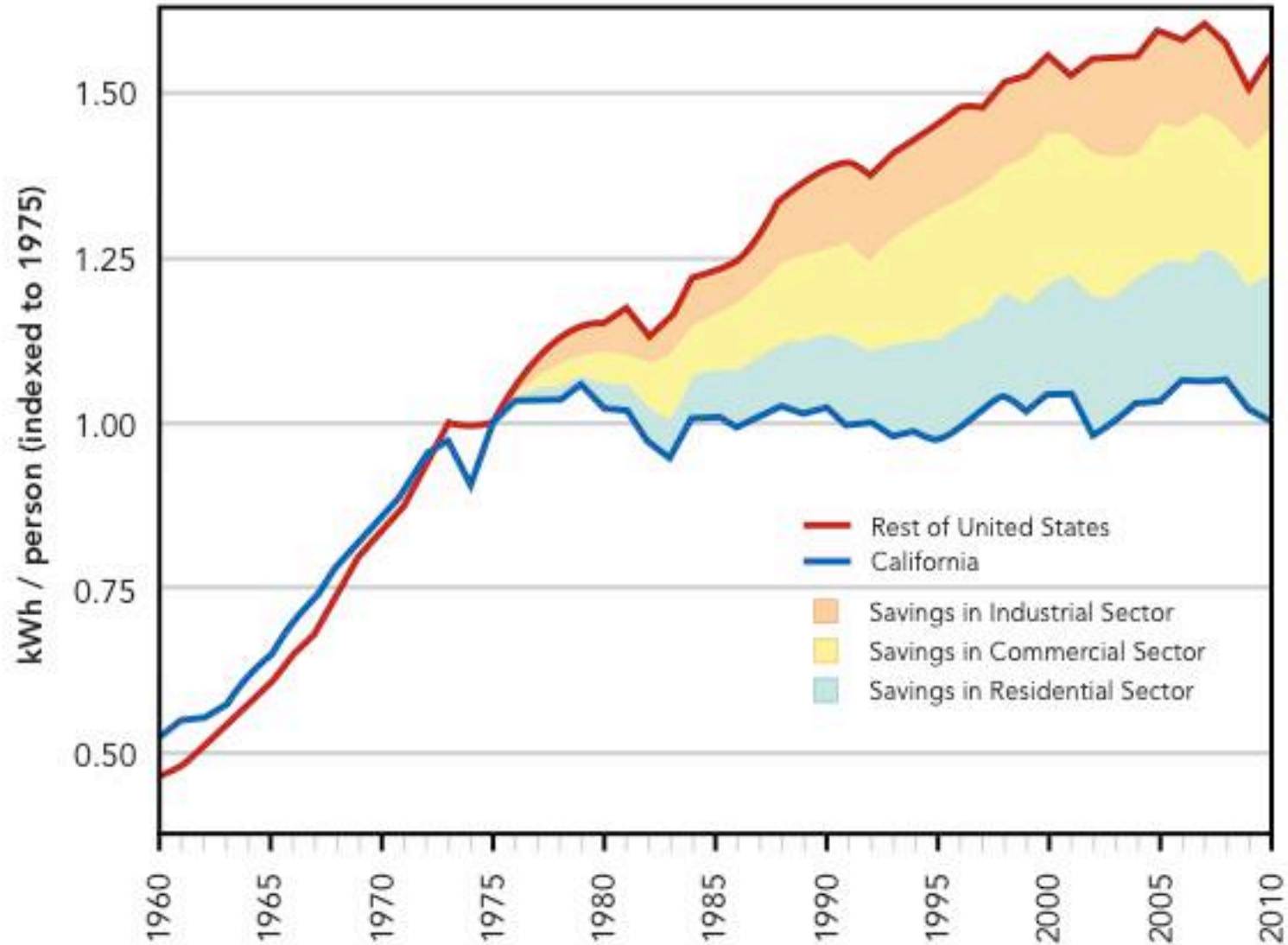




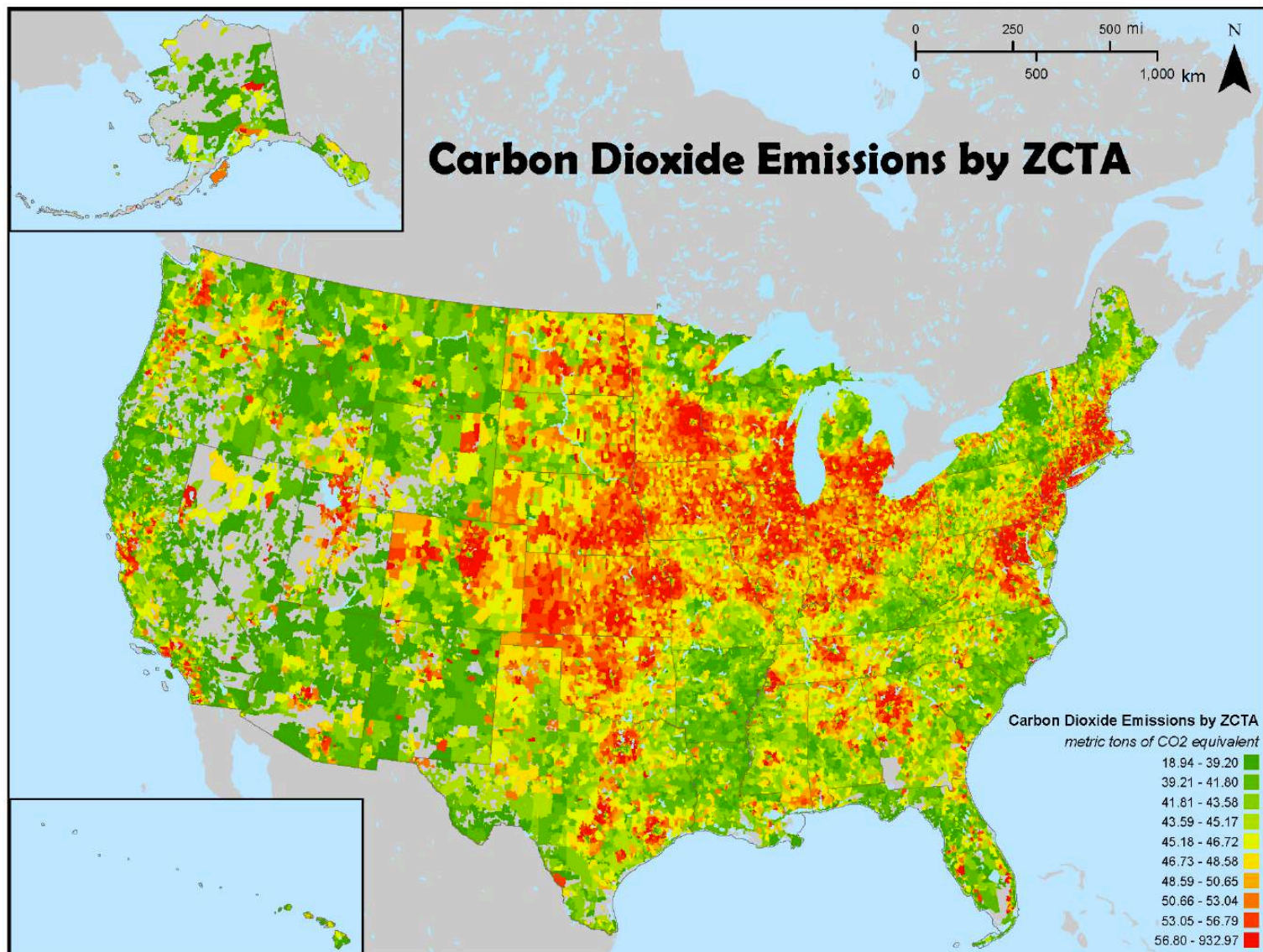
# Household GHG emissions in four metro regions



# California Advancing Energy Efficiency



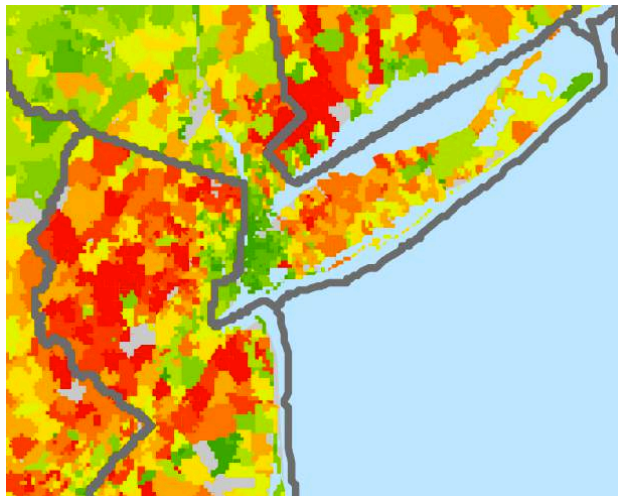




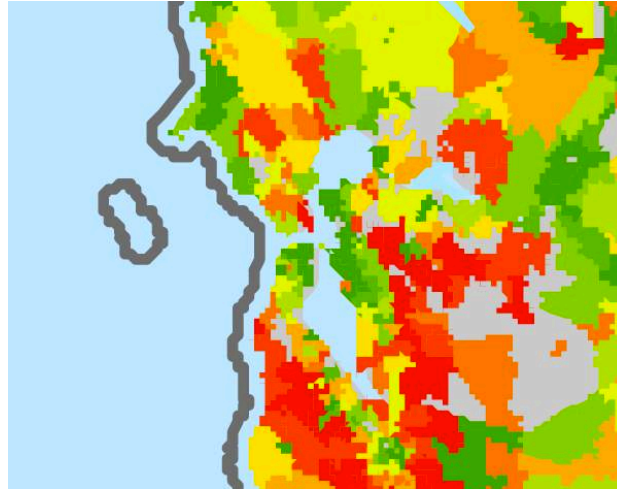
**Jones and Kammen, 2014**

**<http://coolclimate.berkeley.edu/maps>**

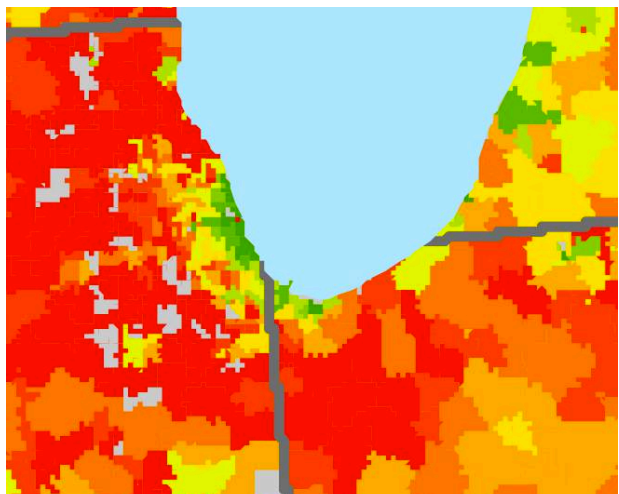




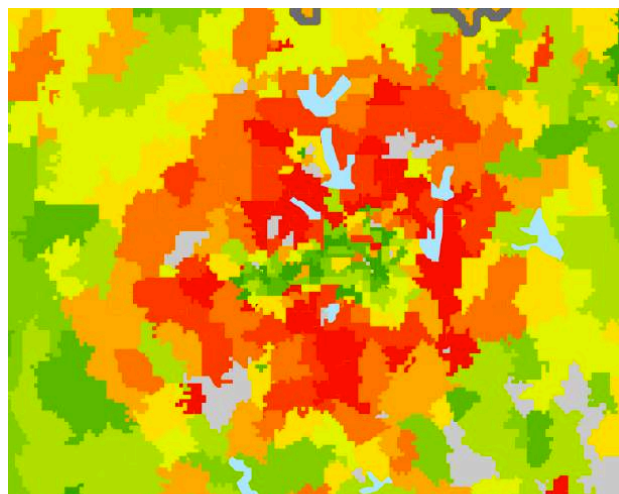
New York



San Francisco  
Bay Area



Chicago



Dallas



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Jones and Kammen, 2014  
<http://coolclimate.berkeley.edu/maps>

## **Takeaway message:**

**Scientific and technical transformations are critical to enabling a sustainable energy system, but it is the energy-information nexus that provides the ‘killer app’ for change**